Synthetic photometry for carbon-rich giants

II. The effects of pulsation and circumstellar dust*

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ABSTRACT

Context. Red giant stars approaching the end of the evolutionary phase of the asymptotic giant branch (AGB) are, inter alia, characterised by (i) pulsations of the stellar interiors; and (ii) the development of dusty stellar winds. Therefore, such very evolved objects cannot be adequately described with hydrostatic dust-free model atmospheres.

Aims. By using self-consistent dynamic model atmospheres which simulate pulsation-enhanced dust-driven winds we studied in detail the influence of the above mentioned two effects on the spectral appearance of long period variables with carbon-rich atmospheric chemistry. While the pulsations lead to large-amplitude photometric variability, the dusty envelopes (resulting from the outflows which contain dust particles composed of amorphous carbon) cause pronounced circumstellar reddening.

Methods. Based on one selected dynamical model which is representative of C-type Mira variables with intermediate mass loss rates, we calculated synthetic spectra and photometry for standard broad-band filters (Johnson-Cousins-Glass system) from the visual to the near-infrared. The synthetic photometry was subsequently compared with observational results.

Results. Our modelling allows to investigate in detail the substantial effect of circumstellar dust on the resultant photometry. The pronounced absorption of amorphous carbon dust grains (increasing towards shorter wavelengths; \(Q_{\text{abs}} \propto \lambda^{-\beta}\) with \(\beta \approx 1\), leads to colour indices which are significantly redder than the corresponding ones based on hydrostatic dust-free models. Only if we account for this circumstellar reddening we get synthetic colours that are comparable to observations of evolved AGB stars. The photometric variations of the dynamical model were compared to observed lightcurves of the C-type Mira RU Vir which appears to be quite similar to the model (although the model is not a dedicated fit). We found good agreement concerning the principal behaviour of the \(BVRIJKH\) lightcurves and also quantitatively fitting details (e.g. magnitude ranges, the amplitude decrease from visual to NIR, absolute magnitudes). The analysed model is able to reproduce the variations of RU Vir and other Miras in \((J - H)\) vs. \((H - K)\) diagrams throughout the light cycle (ranges, loops). Contrasting the model photometry with observational data for a variety of galactic C-rich giants in such colour-colour diagrams proved that the chosen atmospheric model fits well into a sequence of objects with increasing mass loss rates, i.e., redder colour indices.

Conclusions. The comparison of our synthetic photometry with observational results provides a further indication that the applied dynamic model atmospheres represent the outer layers of pulsating and mass-losing C-rich AGB stars reasonably well.

Key words. stars: late-type – stars: AGB and post-AGB – stars: atmospheres – stars: carbon – stars: variables: general – circumstellar matter

1. Introduction

In the first paper of this series, Aringer et al. (2009, from now on Paper I), we investigated synthetic spectra and photometry based on a grid of hydrostatic model atmospheres for C-rich red giant stars. A comparison with observational data demonstrated that these models are able to reproduce the emerging spectral energy distributions (SEDs) and the resulting fluxes in different photometric bands quite well, at least for objects with effective temperatures above \(\approx 2800\) K. However (and not surprisingly), deviations are clearly visible for the very cool carbon stars as can be seen for example in Fig. 14 of Paper I for the \((J - K)\) colours. This is due to the fact that two significant phenomena, not covered by the basic assumptions of the COMARCS models, become important for the evolved stars on the asymptotic giant branch (AGB), which is the evolutionary stage of the objects discussed here.

First, it is well known that the more evolved AGB stars show pronounced photometric variability caused by pulsations of the stellar interiors (e.g., Lattanzio & Wood 2004). Already in 1596, David Fabricius reported the distinct light variation of a noticeable red star in the stellar constellation of the Whale which he named \(Stella Mirabilis\), i.e., the remarkable star. Considerable progress has been achieved since then in the field of stellar variability with \(o\) Cet nowadays representing the prototype of a whole class of variable stars, the so-called long period variables (LPVs). They are characterised by variations with amplitudes of up to several magnitudes in the visual and on time scales of a few 10 to several 100 days. Historically, LPVs were subdivided into Mira variables, semiregular variables (SRV) and irregular variables (Lb) according to the regularity of the light change and

* Appendices are only available in electronic form at http://www.aanda.org
the visual amplitude. As a consequence of the findings of the large variability surveys of the past (e.g., MACHO, OGLE) it is now considered being more appropriate to characterise LPV's by their pulsation mode, with Miras pulsating in the fundamental mode and the others in different overtone modes (e.g., Wood 2000; Ita et al. 2004; Lebzelter & Wood 2005). While the amplitudes of Mira lightcurves reach several magnitudes in the visual (up to 10\textsuperscript{mag} as for example for \(\gamma\) Cyg, Mattei 1997), they decrease further in the red (<3\textsuperscript{mag} in the \(I\)-band, e.g. Fig. 5 in Hughes & Wood 1990) and infrared (0.5–1\textsuperscript{mag}, e.g. Fig. 7 of Le Bertre 1992). Bolometric light changes are of the order of 1\textsuperscript{mag} (e.g. Fig. 5 in Whitelock et al. 2000).

Second, the stars on the upper part of the AGB lose mass via strong stellar winds with characteristic terminal velocities of 15 km s\(^{-1}\) and mass loss rates (MLRs) of typically \(10^{-6} M_\odot\) yr\(^{-1}\) (e.g., Olofsson 2004, Fig. 6 in Ramstedt et al. 2009). Not only are AGB stars thereby contributing significantly to the enrichment of the surrounding ISM (e.g., Ferrarotti & Gail 2006), but the dusty outflows – producing cool circumstellar envelopes – have also a considerable effect on the spectral appearance. The interaction of the dust grains in the outflowing material with the radiation field of the star leads to distinctive spectral features in the mid- to far-infrared range (e.g., Posch et al. 2002; Cami 2002; Molster & Waters 2003; Lebzelter et al. 2006; Cami & Blommaert 2006) as well as to a general circumstellar reddening due to the overall absorption from the visual to the infrared (IR) of common circumstellar dust species (e.g., Fig. 1 of Wolfire & Cassinelli 1986; Fig. 1 of Groenewegen 2006; Andersen 2007). With increasing mass-loss rates \(M\) the SEDs as a whole become more and more redistributed from shorter to longer wavelengths (e.g., Fig. 4 of Bedijn 1987; Fig. 1 of Sylvester et al. 1999; Fig. 5.3 of Glass 1999; van Loon 2007), the colour indices of such mass-losing red giants are considerably increased (e.g., van der Veen & Habing 1988; Le Bertre & Winters 1998) and the stars appear reddened.

Different dynamical modelling approaches have been used to model the photometric properties of evolved LPVs.

Based on the Berlin models (Fleischer et al. 1992; Winters et al. 2000) for C-rich dust-driven winds, Winters et al. (1994) presented theoretical light variations in the wavelength range of 0.5–25 \(\mu\)m. They discussed the influence of dust formation processes on the shapes of the lightcurves, as for example the asymmetries during the pulsation cycle caused by newly emerging dust layers or the superposed long-term variations over a few cycles due to multiperIODICITY effects in the dust-forming layers. The authors also confronted their results with observed JHKLM lightcurves for selected mass-losing C-type Miras showing qualitative agreement in the above mentioned points. Similar comparisons of synthetic lightcurves with observational photometric data were later published by Winters et al. (1997) and for an M-type Mira by Jeong et al. (2003).

By using the Australia-Heidelberg models (Hofmann et al. 1998; Tej et al. 2003) for pulsating atmospheres of M-type Mira variables, Ireland et al. (2004a) studied the effect of molecular absorption at different wavelengths in the NIR on the resulting light variations and compared synthetic JK lightcurves with observational data of O-rich Miras with quite moderate mass-loss rates. Very similar results were presented in Ireland et al. (2004b), also here the authors showed that their models were able to reproduce selected aspects of the observed photometric variabilities (not being the focus of either paper, though).

As described in detail in Nowotny et al. (2005a; Sect. 3), both modelling approaches have their shortcomings when it comes to consistent and comprehensive modelling of the outer layers of evolved LPVs – for example, the Berlin wind models are based on an insufficient description of the dust-free inner photospheric layers (no molecular opacities, grey radiative transfer) while the Australia-Heidelberg atmospheric models do not allow for dust formation and the resulting stellar wind. For the synthetic photometry presented here we applied the combined atmosphere and wind models of Höfner et al. (2003) which include these two points (non-grey molecular opacities and time-dependent treatment of dust-formation). In this way, they provide a detailed and consistent description of all the outer layers of a pulsating, mass-losing AGB star (cf. Nowotny et al. 2010, below NHA10). In order to enable a direct comparison with observational data, we also chose a more subtle way to compute synthetic photometric magnitudes compared to the above mentioned studies, i.e., we used real filter systems instead of adopting simplified rectangular bandpasses, and we employed correspondingly the proper zeropoint magnitudes for an absolute calibration. Thereby, we carried on with the studies on synthetic photometry started by Windsteig et al. (1997) and further pursued by Gautschy-Loidl et al. (Sect. 4 of Gautschy-Loidl 2001). The latter computed magnitudes and colour indices for a variety of different filter systems (among these also the one used here) based on C-rich model atmospheres. Most of these models were hydrostatic, but they also analysed a few proto-type non-grey dynamic model atmospheres. Significant improvements concerning the spectral synthesis (e.g., atomic lines) have been implemented since then (cf. Aringer et al. 2009).

The aims for the work presented here were the following: (i) to point out the limited applicability of the static models from the grid presented in Paper I when dealing with very evolved red giant stars for which the assumptions of a hydrostatic configuration and no circumstellar dust are not necessarily valid anymore; (ii) to investigate the effects of pulsation and mass loss on the spectral and photometric appearance of AGB stars with the help of one selected state-of-the-art dynamical model atmosphere (Sect. 2); and (iii) to test the quality of the applied dynamical models in representing the outer layers of mass-losing C-rich LPVs in a further and different way (compared to, e.g., the past spectroscopic studies; Gautschy-Loidl et al. 2004), namely via comparing of photometric modelling results with observational data (Sect. 3).

For this purpose, we start with an investigation of the influence of different opacity sources on spectra and photometry in Sect. 4.1, continue with the photometric temporal variations (lightcurves, change in colour indices) in Sect. 4.2, and present a detailed comparison with observed colour–colour diagrams in Sect. 4.3.

2. Model atmospheres and spectral synthesis

2.1. Dynamic model atmospheres

The synthetic photometry presented below is based on an atmospheric model which simulates the scenario of a pulsation-enhanced dust-driven wind as described in Höfner et al. (2003). The specific dynamical model used (model S) resembles a typical C-type Mira losing mass at an intermediate rate. In Table 1 the corresponding parameters are listed. This model proved to reproduce various observational results quite reasonably (e.g., NHA10), for details we refer to Appendix A.2.

Apart from the hydrostatic initial model (which is the starting point for the dynamic calculation), we studied atmospheric structures of model S from three different and time-separated pulsation cycles – namely \(\phi_{\text{bol}} = [0, 1], [7, 8], [14, 15]\) – with
Table 1. Characteristics of the dynamic atmospheric model for a pulsating and mass-losing C-rich AGB star that was used for computing synthetic photometry.

<table>
<thead>
<tr>
<th>Model</th>
<th>S</th>
</tr>
</thead>
<tbody>
<tr>
<td>(i) $L_\star$</td>
<td>10000</td>
</tr>
<tr>
<td>$M_\star$</td>
<td>1.0</td>
</tr>
<tr>
<td>$T_\star$</td>
<td>2600</td>
</tr>
<tr>
<td>[Fe/H]</td>
<td>0.0</td>
</tr>
<tr>
<td>C/O ratio by number</td>
<td>1.4</td>
</tr>
<tr>
<td>(ii) $R_\star$</td>
<td>493</td>
</tr>
<tr>
<td>$v_p$</td>
<td>2.29</td>
</tr>
<tr>
<td>log $(g_\circ/\text{cm}^2)$</td>
<td>–0.94</td>
</tr>
<tr>
<td>(iii) $P$</td>
<td>490</td>
</tr>
<tr>
<td>$\Delta v_p$</td>
<td>4</td>
</tr>
<tr>
<td>$\xi_\circ$</td>
<td>2.0</td>
</tr>
<tr>
<td>$\Delta m_{\text{bol}}$</td>
<td>0.86</td>
</tr>
<tr>
<td>(iv) $M$</td>
<td>2.0–6.5 $\times 10^{-6}$</td>
</tr>
<tr>
<td>$u$</td>
<td>13–15.5</td>
</tr>
<tr>
<td>$(f_c)$</td>
<td>0.28</td>
</tr>
</tbody>
</table>

Notes. Listed are (i) parameters of the hydrostatic initial model; (ii) quantities derivable from these parameters; (iii) attributes of the inner boundary (piston) used to simulate the pulsating stellar interior as well as the resulting bolometric amplitude $\Delta m_{\text{bol}}$; and (iv) properties of the resulting wind. The notation was adopted from previous papers (DMA3, NHA10): $P$, $\Delta v_p$, period and velocity amplitude of the piston at the inner boundary; $f_c$, free parameter to adjust the luminosity amplitude at the inner boundary; $M$, $u$ – mass loss rate and outflow velocity at the outer boundary; $(f_c)$ – mean degree of condensation of carbon into dust at the outer boundary. The radial coordinates in Figs. A.1 and A.2 are plotted in units of the corresponding stellar radius $R_\star$ of the hydrostatic initial model, calculated from the luminosity $L_\star$ and temperature $T_\star$ via the relation $L_\star = 4\pi R_\star^2 c T_\star^4$.

23 individual dynamic phases for each cycle (cf. Fig. 8 in Nowotny et al. 2005b). This allowed to study the variability throughout a light cycle as well as any cycle-to-cycle variations.

Following the convention of previous papers (e.g., NHA10), the modelling results will be marked throughout this work by bolometric phases $\phi_{\text{bol}}$ within the light cycle in luminosity (cf. Fig. A.2a) with numbers written in italics, in contrast to the visual phases $\phi_{\circ}$, which are usually used to designates observational results (with $\phi_{\circ} = 0$ corresponding to phases of maximum light in the visual). The difficulties emerging when the two kinds of phase informations are to be related were discussed in detail in Appendix A of NHA10.

2.2. Synthetic spectra

The outcome of the dynamic atmospheric modelling are snapshots of the radial atmospheric structure during the temporal evolution as shown in Fig. A.2. These served as input for the subsequent detailed a posteriori radiative transfer calculations.

Based on the given $T$-$p$-structures, opacities were then calculated with the COMA code which was already described in detail in Paper I. Additional information concerning this opacity generation code and the various improvements in the past years can be found in Aringer (2000), Gautschy-Loidl (2001), Gorfer (2005), Cristallo et al. (2007), Lederer & Aringer (2009), Aringer et al. (2009), and Nowotny et al. (2010). In accordance with the dynamical model (cf. Table 1), we also assumed – except for carbon (see below) – solar-like elemental abundances for the spectral synthesis. Aringer (2005) pointed out, that it is important to be consistent in this point. We adopted the values from Anders & Grevesse (1989), except for C, N and O where we took the data from Grevesse & Sauval (1994). This agrees with our previous work (e.g., Aringer et al. 1999, Paper I) and results in a $Z_{C,O}$ of $=0.02$. Starting from the adopted solar abundance pattern, the carbon abundance was subsequently increased according to the C/O ratio of the model (without changing the rest of the composition). Abundances of various important neutral and ionised species (atomic, molecular) were then computed for all layers of the model atmosphere assuming chemical equilibrium for molecule formation and equilibrium for ionisation (see Lederer & Aringer 2009, for a detailed discussion and an extensive list of references). In addition, the depletion of the element C from the gas phase due to consumption by formation of amC dust grains was accounted for. In the next step, the resulting abundances – i.e. partial pressures of the neutral atoms, ions, and molecules as a function of atmospheric depth (cf. Fig. 2 of Loidl et al. 1999 or Fig. 5 of NHA10) – can be used to calculate absorption coefficients for every point of a chosen grid in wavelength $\lambda$ and under the assumption of LTE. All necessary opacity sources were considered:

- continuous;
- lines (= atoms + molecules); and
- dust.

For the continuous absorption all the sources listed in Lederer & Aringer (2009) were taken into account. We also followed their approach concerning the atomic lines with line data taken from the VALD database (Kupka et al. 2000). However, for the sake of computing time only Doppler profiles were adopted for the description of the line shapes (see Sect. 2.4 in NHA10 for details) instead of full Voigt profiles. Test calculations have shown that this simplification has only a marginal effect on the synthetic photometry (e.g. differences in the second decimal place for the V-magnitude) while the computing time is smaller by a factor of two. For the microturbulence velocity we assumed $\xi = 2.5$ km s$^{-1}$, which is in line with our previous work (Aringer et al. 1997, 1999) and also with observational results for AGB stars (e.g., Smith & Lambert 1990; Lebzelter et al. 2008), as well as it is consistent with the values that were used for preparing the gas opacity tables for the hydrodynamic modelling. For the molecular contributions we did not use line lists1 as in Paper I, but used the same opacity tables2 as Gautschy-Loidl et al. (2004), accounting for the following C-bearing molecules: CO (Goorvitch & Chackerian 1994), CN (Jørgensen & Larsson 1990), $C_2$ (Querci et al. 1974), CH (Jørgensen et al. 1996), HCN (Jørgensen et al. 1985), $C_3$H$_2$ (Jørgensen 1997), and $C_3$ (Jørgensen et al. 1989). An overview of the individual spectral features of the different molecular species can be found in Fig. 4 of Paper I or in Gautschy-Loidl et al. (2004, Fig. 1). The significant contributions in the diverse wavelength regions are also identified in the upper panel of Fig. 1. Finally, the absorption due to dust grains of amorphous carbon was – in consistency with the preceding modelling of the atmospheric structures – calculated using the data given in

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1 The set of molecular species included in the current version of the COMA code and the corresponding references of the line lists can be found in Lederer & Aringer (2009), with recent updates described in Aringer et al. (2009).

2 Mainly from the SCAN data base (Jørgensen 1997; see also http://www.astro.ku.dk/~uffegj/), a detailed description of which can be found in Jørgensen et al. (1993, 2001). For a discussion of the original OS data and revisions we refer to Gautschy-Loidl (2001, Sects. 2.1 and 2.2).
Fig. 1. Synthetic spectrum based on the hydrostatic and dust-free initial model \((R = 18,000, \text{grey})\) calculated as described in Sect. 2.2, and some spectra of lower resolutions (as given in the legend) to illustrate the effect of the rebinning on the appearance of the molecular features. In the top panel, the whole spectral range is shown, while the middle panel show the details of a smaller sections. The dashed line marks a calculation where all line contributions were neglected (theoretical continuum level). The bottom panel shows the difference for a spectrum of lower resolution if oversampled by a factor of \(10\) (magenta) or not (black).

Rouleau & Martin (1991; set AC, cf. bottom panel of Fig. 3) and assuming the small particle limit of the Mie theory to be valid\(^4\).

Summing up the opacity contributions of all sources, we computed combined opacity tables \(k_\nu(\lambda, r)\) in the wavelength range of \(0.2-25\ \mu m\) with a spectral resolution of \(R = 18,000\) (as determined by the above listed molecular opacity tables). On the basis of these the radiative transfer (RT) was solved for every wavelength point \(\lambda_i\) in spherical geometry (e.g., Yorke 1980; Nordlund 1984) with the help of our dedicated RT code (Balluch 1986; Windsteig 1995), which was originally developed for the studies presented in Windsteig et al. (1997). Note that no velocity effects were taken into account in the a posteriori RT (Doppler shifts), in contrast to the recent work published in Nowotny et al. (2010).

The resulting spectra served then as input for calculating photometric magnitudes as described in the following Sect. 2.3. With the spectral resolution of \(R = 18,000\) there are statistically meaningful numbers of points (a few \(\times 10^3\)) in the wavelength ranges of the chosen broad-band filters. However, the resolution of the synthetic spectra is much lower than what would be needed for an appropriate description of individual lines (the typical line widths require a sampling with \(R\) larger than \(\approx 100,000\)). Thus, our approach works similar to the opacity sampling (OS) method\(^5\). Like OS spectra, our computed spectra have a statistical nature and need to be averaged over a certain number of wavelength points (\(\approx 20-100\)) for further spectroscopic investigations (e.g., comparison with observational data). The influence of such a decrease in spectral resolution on absorption features is demonstrated in Fig. 1, its severeness changes with wavelength (compare, e.g., in the middle panel \(\lambda = 2.4\ \mu m\) and \(3.3\ \mu m\)). In this case, realistic representations of observed spectra have resolutions below \(R = 1000\) (yellow, black). For the plots containing spectra below (Figs. 3 and 6), the original spectra were averaged over 50 points leading to a reduced spectral resolution of \(R = 360\). In addition, the sampling rate of the output wavelength grid should be higher (roughly by a factor of \(\approx 5-10\)) than the nominal resolution of the spectrum with decreased resolution, in order to obtain a smooth spectrum (similar to spectrographs; cf. sampling theorem). In the bottom panel of Fig. 1, the effect of this oversampling on the appearance is illustrated by a comparison of a low-resolution spectrum with \(R_{\text{nominal}} = R_{\text{sampling}} = 900\) (black) with the corresponding spectrum having \(R_{\text{nominal}} = 900\) and \(R_{\text{sampling}} = 9000\) (magenta).

2.3. Synthetic photometry

To compute magnitudes on the basis of the synthetic spectra we needed filter transmissions as a function of wavelength to convolve with and associated calibration standards (i.e., photometric zeropoints). From the large number of photometric systems in use (e.g., Bessell 2005) we chose standard broad-band filters to facilitate the comparison of modelling results with observational findings. Thus, we utilised as in Paper I the response curves \(R(\lambda) \in [0, 1]\) for the following filters: Johnson-Cousins UBV and Cousins RI as given in Bessell (1990), and JHKLL’M from the homogenized Johnson-Glass system described in Bessell & Brett (1988). The data were retrieved in electronic format from

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\(^4\) The influence of using different dust data (opacity data sets, grain densities, etc.) when modelling C-type LPVs with dusty outflows was already discussed in Andersen et al. (2003). There the effect on the resulting winds (mass loss rates, wind velocities, condensation degrees) as well as on the resulting colour indices was demonstrated.

\(^5\) In the OS technique (e.g., Ekberg et al. 1986; Alexander et al. 1989; Jørgensen 1992), the mono-chromatic molecular absorption is computed at a limited number of wavelength points, distributed over the spectral range considered (more or less randomly, but not in such numbers that the spectrum is resolved). Opacities at the selected wavelength points are directly calculated from line lists and subsequently used to solve the radiative transfer. Due to the statistical nature of the OS approximation, it is necessary to average the OS spectrum over a number of frequency points in order to produce realistic spectra and reproduce observed features (e.g., Gautschy-Loidl 2001; Aringer et al. 2009). As a consequence, the OS technique is in general only applied for spectroscopic studies with low spectral resolutions.
the Asiago Database on Photometric Systems (see Moro 
& Munari 2000). Bessell et al. (1998) quote the corresponding 
absolute fluxes \( f^*_\lambda \) and zeropoint magnitudes \( m^0 \) for the standard 
Johnson-Cousins-Glass \( UBVRIJHKLM' \) system. Those were 
derived from models of Vega (Castelli & Kurucz 1994) and 
Sirius (as for example in Cohen et al. 1992) and observed colours 
of these stars.

The magnitude \( m_x \) for a particular filter \( X \) out of the 
above mentioned set is calculated by means of (see footnote 
of Table A.2 in Bessell et al. 1998)

\[
m_x = -2.5 \log f_{\lambda,X} - 21.1 - m^0_x,
\]

whereby

\[
f_{\lambda,X} = \frac{\int_{\lambda_1}^{\lambda_2} f_{\lambda}(\lambda) R_X(\lambda) \, \text{d} \lambda}{\int_{\lambda_1}^{\lambda_2} R_X(\lambda) \, \text{d} \lambda}
\]

and \( f_\lambda \) is the energy flux per unit area and wavelength interval. 
The lower and upper cut-off wavelength of the filter passband are 
represented by the quantities \( \lambda_1 \) and \( \lambda_2 \), respectively. Setting 
\( f_{\lambda,X} = f^0_{\lambda,X} \) in Eq. (1) leads to \( m_X = 0 \).

The absolute fluxes \( f^0_\lambda \) refer to values measured at the Earth. 
Our synthetic spectra specify the flux at the stellar surface \( F^*_\lambda \). 
To deduce absolute magnitudes we have to “shift” the star to 
a distance of \( d = 10 \) pc. Ignoring the effects of the interstellar 
extinction, we get

\[
m - M = 5 \log d \, [\text{pc}] - 5 = 0
\]

and, thus, the apparent magnitude \( m \) equals the absolute magnitude \( M \). 
The stellar flux \( f^*_\lambda \) at a distance \( d \) emerges from the identity

\[
L_\lambda = 4 \pi R^2 F^*_\lambda = 4 \pi d^2 f^*_\lambda
\]

with \( R \) depicting the stellar radius.

With the prescription given in Eq. (2) we calculate \( f^*_\lambda \) 
from \( f^0_\lambda \). We choose to infer the magnitude by setting \( f^0_{\lambda,X} \) 
into relation to the zeropoint flux \( f^0_{\lambda,X} \) rather than inserting \( f^0_{\lambda,X} \) 
directly into Eq. (1). That way the additive constants cancel 
out, i.e.,

\[
m_x = -2.5 \log f^*_{\lambda,X} - 21.1 - m^0_x - (-2.5 \log f^0_{\lambda,X} - 21.1 - m^0_x)_{\lambda=0}
\]

and we arrive at

\[
M_X = m_X = -2.5 \log \left( \frac{f^0_{\lambda,X}}{f^0_{\lambda,X}} \right).
\]

The desired synthetic absolute magnitudes (e.g., Fig. 7) could 
then be computed with the help of Eq. (3), where absolute 
fluxes \( f^0_{\lambda,X} \) for a fictitious AO reference star were taken from the 
literature (Table A.2 in Bessell et al. 1998)\(^5\) and the filter fluxes 
of our model \( f^*_\lambda \) were determined by convolving the synthetic spectra 
with the corresponding filter transmission curves \( R_X(\lambda) \) 
according to Eq. (2).

For test purposes we computed synthetic photometry in the 
way sketched above for the grid of hydrostatic COMARCS model 
s presented in Paper I and compared the values with the photometry 
given there which was calculated following the more sophisticated 
approach described in Girardi et al. (2002). Averaged 

\(^5\) Note that the magnitude zeropoints \( zp(f_\lambda) \) and \( zp(f^*_\lambda) \) in this table 
are erroneously reverse labelled as stated by Bessell (2005).

over 738 models we found mean differences of \( \approx 0^\circ 024 \)–\( 0^\circ 077 \) 
for the filters \( VRIJKL \). For the \( M \) filter we found a larger 
difference of \( \approx 0^\circ 13 \), while no direct comparison could be carried out 
for the \( B \) filter due to the missing wavelength coverage of the 
spectra in Paper I. Note that these differences are largely caused 
b y a systematic shift in the zeropoints, while the standard deviations 
of the magnitude difference distributions for most filters 
are below \( \approx 0^\circ 009 \).

A note concerning the scaling of \( C_2 \) opacities and the resulting 
shift in \( (H - K) \); as described by Loidl et al. (2001), 
the \( g^f \) values of the \( C_2 \) lines in the list of Querci et al. (1974) 
were scaled down beyond 1.15 \( \mu m \) for the preparation of their 
OS tables. According to Loidl et al. this should provide a more 
realistic description of the \( C_2 \) absorption compared to the 
unscaled Querci list. In Paper I we found that the applied scaling 
leads to moderate changes of the spectra in the region of 
1.3–2.1 \( \mu m \), i.e., mainly in the \( H \)-band. From a comparison of 
observations of \( C \) stars with synthetic photometry based on hyd-
static models and computed with scaled \( C_2 \) data (see Fig. 15 
in Paper I) it was suspected that this correction leads to worse 
\((H - K)\) colour indices. As a rule of thumb, it was recommended 
in Paper I to add \( 0^\circ 1 \) to the synthetic \((H - K)\) colours of the 
hydrostatic grid to compensate for this alleged deficient scaling 
equal to using the original line list). This was done for the 
hydrostatic models in Figs. 15 and 16. For the spectral synthesis 
based on dynamic models presented here we used the same 
OS tables as Loidl et al. (2001). However, no investigations on 
the differences in \((H - K)\) colours were made for the dy-
namic models as the effects of pulsation and circumstellar dust 
(cf. Fig. 11) are significantly larger than arguable changes due to 
certain molecular line lists.

To allow for a direct comparison with the modelling results, 
all observational data (Sect. 3) were, if necessary, transformed to 
the Johnson-Cousins-Glass or Bessell photometric system which 
was used for the calculation of synthetic magnitudes as just 
described and should serve as the standard system throughout 
this work.

3. Observational results for comparison

In order to test the ability of our models to reproduce the 
photometric appearance of real \( C \)-rich LPVs we used observational 
data from the literature. A valuable source in this respect was 
the compilation of near-IR data for a large sample of galactic 
carbon-rich variable AGB stars presented by Whitelock et al. (2006). 
They distinguished between Miras with clearly periodic 
lightcurves and peak-to-peak amplitudes in \( K \) larger than \( 0.4^{\text{mag}} \) 
(i.e., their variability type 1n) and other LPVs with varia-
tions that are not periodic or have amplitudes less than \( 0.4^{\text{mag}} \) 
(i.e., their class 2n for non-Miras). On top of the photometric 
time-series in \( JHKL \), they also derived for a subsample of \( C \)-rich 
Miras other quantities which are useful for a detailed comparison 
with modelling results: estimates for the distance of the targets, 
the interstellar reddening in the corresponding direction, boloc-
metric magnitudes and corrections, or estimates for the mass-
loss rates.

We chose one specific object from the sample of Whitelock et al. (2006) – namely the \( C \)-type Mira RU Vir – for a more 
detailed comparison as the known properties of this star (listed 
in Table 2) are roughly comparable to the model parameters 
(Table 1) and it showed a photometric behaviour quite similar 
to our model \( S \). However, model \( S \) was not intended to be a spe-
cific fit for RU Vir. The difficulties arising when we aim at re-
late dynamic model atmospheres to certain observable targets
Table 2. Observed and derived properties of the C-type Mira RU Vir collected from the literature.

<table>
<thead>
<tr>
<th>Refs.</th>
<th>( L ) ( [L_\odot] )</th>
<th>( m_{bol} ) ( [\text{mag}] )</th>
<th>( K_0 ) ( [\text{mag}] )</th>
<th>distance ( [\text{pc}] )</th>
<th>((m-M)) ( [\text{mag}] )</th>
<th>( A_V ) ( [\text{mag}] )</th>
<th>spectral type</th>
<th>( P_K ) ( [\text{d}] )</th>
<th>( P ) ( [\text{d}] )</th>
<th>( \Delta V ) ( [\text{mag}] )</th>
<th>( \Delta K ) ( [\text{mag}] )</th>
<th>( M ) ( [M_\odot \text{yr}^{-1}] )</th>
<th>( v_{\text{exp}} ) ( [\text{km} \text{s}^{-1}] )</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>6888</td>
<td>4.94</td>
<td>1.79</td>
<td>910</td>
<td>9.80</td>
<td>0.08</td>
<td>C8.1e (R3ep)</td>
<td>444</td>
<td>433.2</td>
<td>5.2</td>
<td>6.7</td>
<td>0.98</td>
<td>2.0–8.3 ( \times 10^{-6} )</td>
</tr>
</tbody>
</table>

References. (1) Whitelock et al. (2006); (2) GCVS, Samus et al. (2009); (3) AAVSO data (V_{max} – V_{min}); (4) Bergeat & Chevalier (2005); (5) Loup et al. (1993); (6) Knapp & Morris (1985).

were discussed in Nowotny et al. (2005b). Crucial for the choice of RU Vir was, in addition, the fact that it is one of the few LPVs available (although obtained at a different interval in JD than the Whitelock observations). The respective \( BVRI \) lightcurves were published in Eggen (1975a) and analysed by Eggen (1975b). Furthermore, the quite low interstellar extinction in the direction of RU Vir (Table 2) is convenient.

From Whitelock et al. (2006) we adopted for RU Vir a series of 46 data points in \( JHKL \) over a time span of \( \approx 17 \) years (i.e., \( \approx 14 \) pulsation periods). The observed magnitudes were not transformed as the differences between the SAAO photometric system and the Bessell filters we used for the modelling (Sect. 2.3) are almost negligible according to Bessell & Brett (1988, Table I).\(^6\)

Eggen (1975a) lists 27 measurements in BV as well as 23 in RI over an interval of \( \approx 2.5 \) years (i.e., about 2 periods). The \( BV \) magnitudes are given in the Cousins system (Eggen 1975c), which is essentially identical to \( BV \) in the Johnson system (Bessell & Weis 1987), and could, therefore, directly be used here. For a comparison of the \( RI \) magnitudes with our synthetic photometry we needed to transform these from the (Eggen-)Kron system to the Cousins system with the help of the following conversions which are based on the data of Bessell & Weis (1987) and were kindly provided by Bessell (priv. comm.):

\[
I_C = I_K - 0.227 + 0.0367 (R - I)_K
\]
\[
R_C = R_K - 0.113 - 0.0609 (R - I)_K + 0.33 (R - I)_{BB} - 0.085 (R - I)_K^2
\]

Our aim was to combine the available photometric observations of RU Vir – coming from different light cycles sampled irregularly and insufficiently (Fig. 2) – into one composite light cycle with \( \phi_0 \in [0, 1] \), leading to well-sampled lightcurves as a function of phase (Fig. 8). However, this kind of phase information is not given by Whitelock et al. (2006) for the NIR data and the values listed by Eggen (1975a) appear doubtful as the relative phase between the different sources of opacity were taken into account in the light maximum clearly deviates from \( \phi_0 = 0 \) (cf. Fig. 2 in Eggen 1975b). Therefore, we used the photometric data in the visual available for RU Vir in the AAVSO database\(^7\) (Figs. 2 or 2b in Mattei 1997) to derive visual phases ourselves. With the help of Period04, a software to analyse photometric time series (Lenz & Breger 2005), we made a Fourier-fit to the AAVSO \( V \)-band lightcurve in the range where the observations of Eggen (1975a) and Whitelock et al. (2006) were carried out (the derived period of 434\(^2\) is quite similar to the values in the literature, cf. Table 2). Thereby, we could determine the points in time of maximum phase for both time series to JD 2 441 262 and 2 447 336, respectively. This allowed to compute phases \( \phi_0 \) for each measurement and plot the latter co-phased as shown in Fig. 8. Subsequently, we computed sinusoidal fits (one Fourier component) to the resulting combined lightcurves in all filters, again using Period04. The fits are shown in Fig. 8, too.

Apart from the time-series photometry we also used data from a few other sources. The observational data adopted from Bergeat et al. (2001; Table 4) in Fig. 15 were compiled by those authors from the literature. According to Knapi et al. (1997) the measurements were obtained in the “Arizona system or close to it” (i.e., Johnson), and can be directly compared (Bessell & Brett 1988, Table I).

In addition, a representative sub-sample of the extensive grid of hydrostatic model atmospheres of Paper I (covering the whole range in colours) was selected and the corresponding photometry utilised for Fig. 15.

4. Modelling results

4.1. The extended atmosphere and the role of dust

The differences between the relatively compact hydrostatic and dust-free initial model and the developed model with dust particles occuring in the stellar wind (Fig. A.2) shall be discussed in the following, as well as the effects of the different opacity sources on spectra and photometry. In Fig. 3 we compare synthetic low-resolution spectra (Sect. 2.2) based on the initial model and one phase of the dynamic model for which the different sources of opacity were taken into account in the

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\(^6\) Especially when compared with the dynamic effects we focus on in this work. While, for example, the difference between \( (J - K)_{bol} \) and \( (J - K)_{obs} \) amounts to \( 2-3^{-\text{mag}} \) (cf. Fig. 11), the transformation would be \( (J - K)_{bol} = 1.0 (J - K)_{SAAO} - 0.005 \).

\(^7\) http://www.aavso.org/data/lcg
Influence of amorphous carbon dust on the SED

Fig. 3. Illustration of the influence of different opacity sources (for details see text) – especially the grains of amorphous carbon dust – on the resulting spectra demonstrated with the help of the dust-free initial model and one phase of the dynamic calculation ($\phi_{bol} = 0.0$) of model S. Different sources were included in the a posteriori RT as denoted in the legend. In the middle panel the molecular species responsible for the major features are indicated. In the bottom panel (showing the same as the middle panel but scaled linearly) the wavelength ranges of the used broad-band filters ($\approx$ FWHM of the responses given in Bessell 1990; and Bessell & Brett 1988) are marked for orientation purposes, while the insert shows the absorption data for amorphous carbon dust from Rouleau & Martin (1991) as applied for the computations.

a posteriori radiative transfer or not\(^8\). Our spectra cover the wavelength range where the bulk of the flux is emitted by the star, with the SED peaking around 1–3 $\mu$m. Across the whole range significant contributions of (atomic and) molecular features are found, which is characteristic of late-type giants. On top of the absorption\(^9\) due to molecules, there is also the severe effect due to amorphous carbon dust in the outflow ($> 2 R_\star$; cf. Fig. A.2f) clearly visible. The absorption features in the visual and red (mainly CN, C$_2$) appear attenuated, this can become more pronounced for other phases of the pulsation cycle as can be seen in Fig. 6. Even more noteworthy is the overall shift of the SED towards longer wavelengths. As the circumstellar amC dust grains efficiently absorb radiation of the star in the visual range it is not relevant for the photometric results in this work.

\(^8\) The latter is not consistent with the dynamical modelling (no conservation of energy, i.e. not the same total flux) but useful for illustration purposes.

\(^9\) Concerning the molecular features seen in emission around $\approx 7 \mu$m and $\approx 14 \mu$m we refer to the discussions in Gautschy-Loidl (2001; Sect. 3.3) and Gautschy-Loidl et al. (2004; Sect. 6), as this effect is not relevant for the photometric results in this work.
(∝Q^abs^obs J_e) and re-emit it in the IR (∝Q^abs^obs B_o(T_d)), the flux is considerably redistributed. In this way, the dust is not only the trigger for the stellar wind, but has also a pronounced effect on the spectral appearance.

This noticeable circumstellar reddening can also be recognised in Fig. 4. There the photometric magnitudes for the chosen standard filters (Sect. 2.3) based on the spectra of Fig. 3 are plotted. It is interesting to see that only small differences can be found between the SED based on the hydrostatic model atmosphere and the SED for the dynamic phase for which only continuous and line contributions (atoms, molecules) were taken into account while dust absorption was neglected. On the other hand, a significant dimming of a few magnitudes in the visual can be seen if the dust opacity is accounted for in the a posteriori radiative transfer (consistent with the dynamical modelling). Increasing with decreasing wavelengths this dimming reflects the characteristic absorption of amC grains shown in Fig. 3 (inset of the bottom panel). This fundamental behaviour is valid for every phase as can be concluded from the bars in Fig. 4 depicting the range of variation of the synthetic photometry during the light cycle. While the amplitude in the V-filter only amounts to ∆V ≈ 1 mag if the dust is not accounted for, we find ∆V ≈ 4 mag for the full calculation including dusty effects. Note, that the amplitudes in Fig. 4 are slightly smaller than the ones plotted in Fig. 9, as the former only cover one pulsation cycle while for the latter all three cycles of Fig. 7 were accounted for (cycle-to-cycle variations).

The fact that the dust absorption in the optical is more relevant than the effect of the modified atmospheric structure (more extended, changing with time; cf. Fig. A.2) on the resulting molecular features10 – which could presumably play an important role as well – is illustrated in a different way in Fig. 5. If only the atomic and molecular lines are taken into account the dynamic model varies in this diagram around the hydrostatic model (not being equal at any phase, though) with deviations of approximately ±0.2 in the colour indices. Switching on the dust absorption leads to a quite elongated loop which is offset by more than 1 mag in both colours. Although the influence of molecular features are apparent, the effects due to dust are even more prominent.

4.2. Temporal variations

For all the modelling results presented below all opacity sources described – i.e., atomic and molecular lines as well as dust – are accounted for.

Low-resolution spectra for model S are shown in Fig. 6, illustrating the temporal variations of the spectral appearance of a pulsating C-type Mira with dusty outflow (see Fig. A.1 in NH10 for the corresponding plot of a pulsating model atmosphere without wind). Coupled to the sinusoidally varying luminosity input at the inner boundary of the model (cf. Fig. A.2a), we find significant spectral variations during the light cycle.

For the radial structures we find in Sect. A.2 that the dynamic phases never resemble the hydrostatic atmosphere, a fact that also shows up here for the resulting spectra. The spectrum based on the hydrostatic initial model is different from the corresponding spectra of the dynamic model with the fully developed wind at any phase φ^bol. The spectra show a change in the balance of the whole SED (φ^bol = 0.41 vs. 0.63) and a significantly modified appearance of the molecular features with a pronounced attenuation below 2 μm.

In Fig. 7 the photometric variations in the chosen standard broad-band filters for the three pulsation cycles of model S we analysed are shown. As can be seen, the dynamic model becomes fainter in comparison with the initial model for the filters BVRJH, while it appears brighter for the filters L′M. In the K-band, the result of the dynamic calculation oscillates around the hydrostatic magnitude. The corresponding photometric data for RU Vir are shown in Fig. 8, where the observational data are plotted co-phased (cf. description in Sect. 3) and repeated for better illustration. A comparison of the synthetic lightcurves with the observed time-series photometry reveals that our model describes the basic behaviour of C-type Miras11 reasonably well. The continuous increase in brightness with longer wavelengths (from B to L) is reproduced; note the quite similar range in magnitudes on the ordinates. We also find similar absolute magnitudes compared to the model if the apparent magnitudes of RU Vir are shifted by the distance modulus listed in Table 2.

Since the observed photometry is adopted from different light cycles and then combined, the scatter around the sinusoidal fits in Fig. 8 reflects cycle-to-cycle variations. For the model one can recognise such differences between different cycles, especially in the visual and red, directly from the overplotted

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10 It may be the other way round for M-type LPVs where the circumstellar dust is probably more transparent in the visual (e.g., Wootke 2006, 2007; Höfner 2008; Bladh et al. 2011) while the even larger photometric variations over the light cycle in this wavelength region are caused by the pronounced changes in absorption due to the strongly temperature sensitive TiO features (e.g., Lattanzio & Wood 2004; Reid & Goldston 2002; Hron 2006).

11 Assuming RU Vir to be typical.
individual lightcurves in Fig. 7. The cycle-to-cycle variations are more distinct towards shorter wavelengths and may be attributed to dust formation of varying intensity during the different cycles of the model.

The observations of RU Vir show the known trend of IR lightcurves lagging behind the visual lightcurves by ≈0.1–0.2 in phase (see also the extensive discussion concerning this topic in NHA10, Appendix A). For the modelling results we find a phase shift the other way round, with light minima in the visual detectable later in phase by Δφ_{bol} ≈ 0.1. In NHA10 we found the same effect based on a different dynamic model atmosphere (model M), and we showed that both, the amplitude and the shape of the visual light curve are dominated by dust opacities. The distinctive attenuation of molecular bands in the visual and red wavelength range of our current synthetic spectra for phases near to or shortly after the light minimum (Fig. 6) fits into this scenario. For model M in NHA10, the phase of the visual minimum is closely linked to the emergence of a new dust layer, whereas the NIR light curves are close to the (sinusoidal) bolometric light curve (determined by the variable luminosity at the inner boundary), both in shape and phase. Therefore, the discrepancies in phase lags between the visual and NIR compared to observations most likely indicate problems with the dust description in the models, which has two basic aspects: (i) the time-dependent formation and growth of grains, described by the so-called moment method; and (ii) the grain opacities, described by the small particle limit of Mie theory. Dynamic models indicate that typical grain sizes in C-rich AGB stars may exceed the range where the widely-used small particle limit is a good approximation (e.g. Winters et al. 1997; Mattsson et al. 2010; Mattsson & Höfner 2011) which may have consequences for the synthetic spectral energy distributions and light curves. A detailed discussion of this point will be presented in a future paper.

From observational studies of evolved LPVs it is known that the variability is, in general, strongest in the blue/visual (e.g., Eggen 1975b; Mattei 1997; Mennessier et al. 1997) and slightly less pronounced in the red (e.g., Hughes & Wood 1990; Lockwood & Wing 1971), while the photometric amplitudes decrease towards the NIR (e.g., Fig. 7 in Le Bertre 1992, 1993; Percy et al. 2008). This fact is also apparent for RU Vir in Fig. 8. The dynamic model atmosphere used for our modelling reproduces the characteristic decrease of amplitude
with wavelength\textsuperscript{12} quite well as can be seen in Fig. 7. A direct comparison of the photometric amplitudes in a quantitative way can be drawn from Figs. 9 and 10. In the observational plot we also included the value for $\Delta V$ from the GCVS catalogue (Table 2), as the rather limited time-series of photometric data in the visual obtained by Eggen (1975a) may not cover the full amplitude as it can be found for studies over a large number of light cycles (cf. Fig. 2b in Mattei 1997). The reason for this is the known cycle-to-cycle variations which are also responsible for the Fourier amplitudes always being smaller than the total amplitudes of individual measurement ($m_{\text{max}} - m_{\text{min}}$). The fact that the Fourier fit underestimates the amplitude can be recognised in Fig. 10 for the NIR filters. Our model also shows the correlation $\Delta K \approx m_{\text{bol}}$ (Fig. 9) which was found observationally for Miras by Whitelock et al. (2000; their Fig. 5), although this was investigated only for $O$-rich targets with moderate MLRs.

Figure 12 shows the variations of RU Vir in various colours based on the photometry shown in Fig. 8. The known trend (e.g., Payne-Gaposchkin & Whitney 1976; Lebzelter & Posch 2001) of bluer colours around light maximum and redder colours around minimum can be recognised, at least for selected colour indices, such as $(J - K)$. Still, we are facing a severe scatter, resulting from the merging of measurements from very different periods into one combined light cycle. The variation can be seen much clearer for $(V - I)$ and $(V - K)$, where we derived simulated colour curves (dotted lines) from the sinusoidal lightcurve fits of Fig. 8 as no simultaneous measurements of the individual magnitudes are available for these colour indices. However, the amplitude in these colour indices has to be regarded as a lower limit (see discussion above). This is illustrated in the panel for $(J - K)$ of Fig. 12, where we overplotted the original data with the same kind of simulated variation. While the amplitude $\Delta(J - K)$ of the simultaneously obtained data amounts to $0.89\text{mag}$, the simulated colour curve shows an amplitude of only $0.47\text{mag}$. The corresponding temporal variations in colour indices for the dynamic model are shown in Fig. 11. We find a good agreement with the observations of RU Vir in the principal behaviour, i.e., the absolute values and the amplitudes. Comparing for example the $(J - K)$ colours in Figs. 11 and 12 we find the model resembling RU Vir very well. Despite some cycle-to-cycle variations, the model appears clearly bluer around maximum phases $\phi_{\text{peak}} \approx 0.0$ and redder at minimum phases $\phi_{\text{peak}} \approx 0.5$.

Noteworthy in Fig. 11 is the difference between the colour indices of the hydrostatic initial model also given there (e.g., $(J - K) = 1.46$) and the colour range covered by the dynamical model during a pulsation cycle (e.g., $(J - K) \approx 2.5 - 3.5$). For the latter we find significantly larger values due to the circumstellar reddening caused by the grains of amC dust in the stellar wind (Sect. 4.1). This fact provides the explanation for the discrepancies identified in Paper I. There we found distinct deviations in various colour indices between the hydrostatic COMARCS models and observed carbon stars (cf. Figs. 14–16, and 18 in Paper I). The very evolved and cool C-type giants appear much redder than predicted by the COMARCS models, which are found to deliver realistic results only for objects above $T_{\text{eff}} \approx 2800 \text{K}$. The samples of observed stars below this approximate border have, for example, $(J - K)$ colours larger than $2\text{mag}$ as can be seen in Figs. 14 and 18 of Paper I. While the hydrostatic models there show the discussed colour reversion effect with decreasing effective temperatures which leads to $(J - K)$ colours

\textsuperscript{12} This general qualitative trend (mainly determined by the dust effects; cf. Figs. 6 or A.5 in NHA10) was already reproduced by the models of Winters et al. (1994, 1997). Note, however, that their grey models for LPVs with heavy mass loss have optically thick dust shells and Winters et al. (1997) could only compare synthetic lightcurves with IR data of dust-enshrouded stars which are very faint in the optical. The dynamic model atmospheres applied here are also suitable to describe Miras of intermediate mass loss where the inner dust-free photosphere can be observed spectroscopically (molecular features). This allows a comparison of the lightcurves from the visual to the IR (Fig. 7) with the corresponding data of objects for which the light variations in the optical can be observed (Fig. 8).
of <1.6 mag (similar to the hydrostatic initial model analysed here), the dynamical model exhibits \((J - K)\) colours redder by at least 1 mag. Thus, the circumstellar reddening results in colour indices much closer to observed values of stars where the assumptions for the COMARCS models (hydrostatic configuration, no circumstellar dust) are not adequate anymore. In other words, the dynamic model atmospheres with dusty outflows – as model S, studied in this work – represent the only self-consistent method to describe (colours of) mass-losing LPVs.

This is even more true if we proceed from the sample of quite moderate carbon stars of Bergeat (2001) to the sample of more evolved (redder, higher MLRs) C-type Miras of Whitelock et al. (2006) used for comparison with our modelling results below (e.g., Fig. 15).

### 4.3. Colour–colour diagrams

In the following we want to compare the results of our synthetic photometry with colour–colour diagrams (CCDs) usually investigated in observational studies. We chose one type of CCD which is commonly used in AGB research, namely \((J - H)\) vs. \((H - K)\). In a first step, we compare model S with a selected sample of observed C-Miras (among them RU Vir) that show similar MLRs and (variations of) colours to demonstrate that the dynamical model provides realistic NIR colour indices. In the second step, we contrast the synthetic colours with the observational data of the whole sample of C-rich Miras from Whitelock et al. (2006), which covers a wider range in parameters (especially MLRs).

In Fig. 13 we plot \((J - H)\) vs. \((H - K)\) for the three different periods of model S we made use of. A significant variation of approximately half a magnitude in both colours during the light cycle can be recognised. In Fig. 14 we show photometric measurements for selected galactic C-type Miras adopted from Whitelock et al. (2006) for comparison. Several targets from their sample were found to populate the same region (note the same scaling of Figs. 13 and 14) in this CCD, also the variations over time in the respective colour indices show similar ranges. This is especially true for the reference object RU Vir. All of the targets shown in Fig. 14 have MLRs of \(\approx 1 - 3 \times 10^{-6} \, M_\odot \, \text{yr}^{-1}\) (Whitelock et al. 2006), which is quite similar to the corresponding value of the model (Table 1). Eye-catching is the fact that...
This is related to the fact that the phase shifts between lightcurves at different wavelengths show opposite trends in the model compared to observations (Sect. 4.2). See also Appendix B.

To put the selected dynamic model into a broader context, we compiled further observational data for the same kind of CCD. This allowed us to investigate the position of model S (as well as RU Vir) on an empirical MLR-sequence. Figure 15 shows a compilation of literature data for a wider range in both of the colour indices compared to Fig. 14. Note that while in the latter individual measurements at different epochs are shown, the colours plotted now in Fig. 15 represent mean values and every point stands for one object. For the targets from Whitelock et al. (2006) we used the data prior to dereddening, enabling us to include also stars with small photometric variations (non-Miras). For illustration purposes there are also measurements for carbon stars from Bergeat et al. (2001) as well as synthetic photometry based on a number of hydrostatic model atmospheres from Paper I shown. Despite the minor inconsistency that the latter colours are not subject to interstellar reddening, the comparison in Fig. 15 is useful to demonstrate the influence of pulsation and dust effects on colour indices in general. Late-type giants with C-rich atmospheric chemistry can be found over quite a range in NIR colours. However, the hydrostatic models (at least the relevant sub-sample with temperatures above 2800 K) are only able to describe the observations on the very blue end of the sequence where we find objects exhibiting rather moderate photometric variations (or none at all) and low mass loss rates (or none at all). Both of these properties become more and more pronounced if one proceeds to the upper right corner of this CCD. There we find distinct Mira variables with much redder colours caused by the significant mass loss of this objects (cf. Fig. 16 of Whitelock et al. 2006).

This fact is demonstrated in Fig. 16 in a more quantitative way by using only the Mira subsample of Whitelock et al. (2006), for which these authors derived and listed useful quantities (esp., dereddened colours, amplitudes of photometric variations, MLRs). With its intermediate mass-loss rate and an photometric amplitude of $\Delta K = 0.98$ (Table 2), RU Vir is located...
somewhat in the middle of the sequence of increasing MLRs and \( \dot{M} \) which can be recognised in Fig. 16. The same applies also for model S (with an \( \dot{M} \) of \( \approx 4.3 \times 10^{-6} \) \( M_\odot \) yr\(^{-1}\)), the average synthetic colour of which falls nicely onto the observed sequence for C-type Miras. Also the amplitude of model S (\( \Delta K = 1 \) mag) fits quite well to what is observed for targets populating the same region in the CCD of Fig. 15. This basic finding is independent of whether the interstellar dereddening was accounted for (Fig. 16) or not (Fig. 15). The difference between the mean colour of the dynamical model and the corresponding value based on the initial model illustrates again the effect of circumstellar reddening. For an easier comparison of the full sequence of observed Miras with the temporal variations of model S in Fig. 13, we also marked the smaller range of this plot in Fig. 16.

5. Summary

The hydrostatic and dust-free COMARCS model atmospheres presented in Paper I are successful in reproducing the photometric properties of carbon-rich giants with effective temperatures down to approximately 2800 K. However, this type of models is only of limited use for the interpretation of observations of more evolved C-rich AGB stars. For such objects dynamic effects – namely the pulsating stellar interior as well as the development of a stellar wind leading to a circumstellar dusty envelope – become significant. To cover these we need to apply more sophisticated atmospheric models, including atmospheric dynamics.

In this work we studied the influence of pulsation and circumstellar dust on the photometric appearance of late-type giants with the help of one selected dynamic model atmosphere (model S) representing a typical C-type Mira losing mass at an intermediate rate. For such models the wind is driven by radiation pressure on amorphous carbon dust particles forming in the cool outer atmospheric layers. For various points in time we carried out detailed RT calculations based on the corresponding atmospheric structures given by the dynamic model. The resulting synthetic spectra were convolved with filter transmission curves of standard broad-band filters in the visual and red (Johnson-Cousins UBVR) as well as in the NIR (Johnson-Glass JHKLM). By applying adequate zeropoints we obtained synthetic photometry for the model as function of phase during several pulsation cycles.

The modelling of photometric properties can be used to study effects which are not accessible to observations. We investigated, for example, the influence of different opacity sources on the SED. Thereby, we found that the effect of the much more extended atmosphere of the fully developed model (wind) on the prominent molecular features is comparatively low (still apparent, though) when we look at SEDs based on the photometry in the complete set of chosen filters. On the other hand, the characteristic absorption of the amC grains gives rise to pronounced circumstellar reddening and a significant change of the SED. The outer layers of mass-losing LPVs are qualitatively different from hydrostatic and dust-free stars because of the dynamic processes and the dust formation taking place. This fact is reflected clearly in the radial structures, the resulting spectra, and the global SEDs as described by the photometry. Once the models are successfully tested against observations, they are also useful...
NIR two-colour diagrams. We found several observed Carbon-lute values and variations of diverse colour indices of RU Vir AGB stars. The applied model S is able to reproduce absolute values when compared to the corresponding data based on hydrostatic (COMARCS or initial) models. Therefore, the dynamic model atmospheres are more appropriate to describe evolved AGB stars. The applied model S is able to reproduce absolute values and variations of diverse colour indices of RU Vir quite well.

We also tested the model against observations by looking at NIR two-colour diagrams. We found several observed Carbon-Miras showing variations in the \( (J - H) - (H - K) \)-plane which are very similar to the synthetic photometry in terms of approximate regions and range of variations during the light cycle. Both, the model and RU Vir describe loops in such CCDs, although the orientation turned out to be contrary. Average colour indices in the same diagram compiled for various observed C stars show the known trend of redder colours with increasing mass loss rates. Losing mass at an intermediate rate, RU Vir can be found roughly in the middle of the linear sequence crossing the \( (J - H) \) vs. \( (H - K) \) diagram. Model S has almost the same mean colours, and thus, fits very well into this global scenario.

Notwithstanding these successes of our modelling, some discrepancies become apparent from a more detailed comparison of the synthetic photometry with observational data (e.g., shapes of lightcurves, phase lags between visual and IR lightcurves, senses of rotation of loops described in CCDs). These are certainly shortcomings of the current models. However, such differences emerging from a strictly consistent modelling (as carried out in this work) can also provide unique insights into the physics taking place in the outer layers of evolved red giants which will help to improve the modelling approach.

The dynamic model atmospheres applied in this paper were already successful in reproducing observed mass loss properties (\( \dot{M} \), \( \dot{L} \); Mattsson et al. 2010), observed SEDs and low-resolution spectra (Höfler et al. 2003; Gaußchy-Loidl et al. 2004), line profile variations in observed high-resolution spectra (Nowotny et al. 2005a, 2005b, 2010), variations in line equi-amp wavelengths over the light cycle (Lebzelter et al. 2010) and interferometric measurements (Sacuto et al. 2011). The synthetic photometry presented here provides a further clue that the models resemble the global atmospheric structures (and their temporal variations) of mass-losing C-type LPVs to a rather reasonable degree.

In the future, we plan to extend our efforts to model photometric properties and variations – carried out exemplarily and in detail for one selected atmospheric model, here – to the grid of dynamical models published by Mattsson et al. (2010). Covering a wide range of parameters, this will enable us to test the influence of different parameters (e.g., MLR) on the resulting synthetic photometry.

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Appendix A: Details of the atmospheric models

A.1. General remarks

The two aspects discussed in this paper – pulsation of the stellar interior and the formation of a dusty stellar wind – have a considerable influence on the outer layers of an AGB star and hydrostatic model atmospheres are, therefore, often not an adequate approach to describe the resulting complex stratifications and dynamic effects. As a consequence, dynamic model atmospheres were developed to simulate mass-losing LPVs (Sect. 1).

The majority of the AGB stars found are of spectral type M (e.g., Nowotny et al. 2003; Battinelli et al. 2003; Rowe et al. 2005) and have oxygen-rich\(^{14}\) atmospheric chemistries with C/O < 1. This is also reflected in the mineralogical species constituting the dust grains that occur in the winds. Observational spectroscopic studies revealed a rich mineralogy in the dusty outflows of M-type giants (e.g., Molster & Waters 2003, and references therein). However, the dust formation process in the atmospheres of such objects is so far not fully understood (cf. Höfner 2009) and the open question concerning the driving mechanism for the wind in the O-rich case is not solved, yet (Woitke 2006, 2007; Höfner 2007). One possibility to drive a wind was sketched by Höfner (2008) with the help of big (\(<5\mu m\)) Fe-free silicate grains (i.e., Forsterite Mg\(_2\)SiO\(_4\)) and their substantial radiative scattering cross section.

As a star evolves during the AGB phase, a combination of nucleosynthesis and convection processes can lead to a drastic change of the chemical composition of the outer layers (e.g., Basio et al. 1999; Herwig 2005). Having been converted to a carbon star (Wallister & Knapp 1998) with an atmospheric chemistry characterised by C/O > 1, the spectrum of this star is strongly changed and shows prominent features of C-bearing molecules (Paper I, Fig. 3). This transformation to spectral type C is, in addition, highly relevant for the circumstellar dust chemistry which is believed to be simpler than the corresponding one of M-type stars. Apart from the few dust species identifiable via their characteristic emission features, as for example SiC or MgS (see Molster & Waters 2003), the majority of the formed dust grains are composed of amorphous carbon (amC). Although they are not recognisable in the spectra by a distinctive spectral feature because of the featureless extinction properties (e.g., Fig. 3 or Andersen et al. 1999), amorphous carbon dust plays a crucial role for driving stellar winds via radiation pressure as outlined for example in NHA10. Moreover, the formation and evolution of amC grains can be treated in a consistent way (Gail & Sedlmayr 1988; Gauger et al. 1990) in numerical models. This enables us to calculate detailed and self-consistent models for the atmospheres of pulsating red giants including the developing winds.

A.2. Dynamic model atmospheres

For the synthetic photometry presented in this work we used the dynamic model atmospheres presented in Höfner et al. (2003). These models simulate pulsation-enhanced dust-driven winds (caused by radiation pressure on amorphous carbon dust particles), which is the most widely accepted scenario for mass loss on the AGB in the C-rich case. They are well suited to describe the complex behaviour of all atmospheric layers – from the inner photosphere out to the cool wind region – of pulsating AGB variables with intermediate to high mass-loss rates\(^{15}\). This is accomplished by a combined and self-consistent solution of hydrodynamics, frequency-dependent radiative transfer and a detailed time-dependent treatment of dust formation and evolution (for details see Höfner et al. 2003). Additional descriptions of the dynamical models can be found in Gautschy-Loidl et al. (2004), Nowotny (2005), Nowotny et al. (2005a, 2010), and Mattsson et al. (2007, 2010).

In a recent study, Mattsson et al. (2010) computed a grid of C-rich dynamic model atmospheres and investigated the resulting mass loss properties (e.g., mass-loss rates \(\dot{M}\), wind terminal velocities \(v_{\infty}\), dust condensation degrees in the outflows \(f_c\)) as a function of stellar and piston parameters. Here we made use of only one selected atmospheric model, the parameters of which are listed in Table 1. This model \(S\) is representative of a typical C-type Mira with intermediate mass loss and was successful in the past in simulating observational results as diverse as low-resolution spectra (Gautschy-Loidl et al. 2004), line profile variations (Nowotny et al. 2005a, 2005b, 2010) and interferometric properties (Paladini et al. 2009).

In Fig. A.1 the motions of layers at different atmospheric depths for the chosen model are illustrated, while the corresponding atmospheric structures are shown in Fig. A.2. The dynamic calculation starts with a hydrostatic initial model characterised by stellar parameters as given in the first part of Table 1.

\(^{14}\) In fact this does not mean O-enriched, but rather the standard value for abundances of elements in the cosmos.

\(^{15}\) The mechanism for mass loss rates below \(\approx 10^{-7} M_\odot\) yr\(^{-1}\) (SRVs), where the \(M - P\)-relation (e.g., Fig. 1 of Vassiliadis & Wood 1993; Fig. 22 of Olofsson et al. 1993; Fig. 7.25 of Olofsson 2004) no longer holds, is still dubious (Gautschy-Loidl et al. 2004; Mattsson et al. 2010).
Fig. A.2. Characteristic properties of model S. Plotted in panel a) is the bolometric lightcurve resulting from the variable inner boundary (piston), the circles mark instances of time for which snapshots of the atmospheric structure were stored by the radiation-hydrodynamics code, partly labelled with the corresponding values for phase $\phi_{bol}$. The dotted vertical lines mark the points in time of $\phi_{bol} = 0$ and 1, respectively, while the horizontal line marks $L_\star$ of the initial model which is almost equal to $\langle L \rangle$ of the dynamical calculation (NHA10). The other panels show the atmospheric structures of the initial hydrostatic model (thick black line) together with selected phases $\phi_{bol}$ of the dynamic calculation during one pulsation cycle (colour-coded in the same way as the phase labels of panel a)). While panel b) shows the classical plot of gas temperature vs. gas pressure used to characterise stellar atmospheres, the middle and lower panels illustrate the radial structures of gas temperatures c), gas densities d), gas velocities e), and condensation degrees of the element carbon into amorphous carbon dust grains f).

This comparably compact and dust-free atmosphere is constructed in a similar way as classical hydrostatic model atmospheres for red giants, especially the COMARCS models used in Paper I (for a quantitative comparison see Appendix A.3). The effects of stellar pulsation are subsequently introduced by a variable inner boundary, representing a sinusoidally moving piston at the innermost radial point of the model with parameters as listed also in Table 1. Apart from the kinetic energy input, this also prescribes a changing luminosity input at the inner boundary (Fig. A.2a), for a detailed description we refer to Eqs. (1) and (2) in NHA10 as well as the corresponding explanations there. A shock wave triggered by the pulsation emerges during every pulsation cycle and propagates outwards through the atmosphere causing a levitation of the outer layers. In the wake of the
shocks (post-shock regions) the physical conditions – strongly enhanced densities at temperatures low enough to allow for dust condensation – provide the necessary basis for the formation of amC grains (e.g., Sedlmayr 1994). Radiation pressure acting upon the formed dust particles results in an outwards directed acceleration. Subsequent momentum transfer between the grains and surrounding gas via direct collisions (e.g., Sandin & Höfner 2004) leads to the development of a stellar wind. The temporally varying radial structure of the dynamic model atmosphere (Fig. A.2) deviates significantly from the hydrostatic case. Not resembling the initial model at any point in time, the atmospheric structure of the fully developed mass-losing model becomes extremely extended in comparison, with strong local variations superimposed on the shallow density gradient.

A.3. Comparison of hydrostatic initial models and COMARCS models

In the course of our synthetic photometry we tested how similar the hydrostatic initial models of the dynamical calculations (cf. Appendix A.2) are compared with classical hydrostatic model atmospheres like the ones used in Paper I. For the parameters of the initial model of model S (Table A.1) no converging solution could be obtained with the MARCS code for numerical reasons. Therefore, we turned to the slightly more moderate model M used in Nowotny et al. (2010) for line profile modelling. The stellar parameters of the corresponding initial model are compared to the parameters of our closest COMARCS model in Table A.1. Note that there are marginal differences as the primary input parameters are not the same for the code to compute initial models and the MARCS code. The structures of the two atmospheric models are compared in Fig. A.3. Apart from the larger range covered by the COMARCS model, the radial structures are very similar for most of the depth points. This is also reflected in the resulting spectra which are shown in Fig. A.3, too. To quantify the differences for the different types of hydrostatic models we also compared the synthetic photometry in the chosen set of broad-band filters (Sect. 2.3). The results, as listed in Table A.2, show that the deviations are quite low. This is especially valid in the NIR. Thus, we conclude that the initial models – which are constructed using fewer frequency points and no convection description compared to the COMARCS models of Paper I – are comparable to other hydrostatic atmospheric models. They represent adequate starting points for the subsequent dynamical calculations, where the effects of pulsation and stellar winds lead to considerable changes in the radial structures (Fig. A.2).

### Table A.1.

<table>
<thead>
<tr>
<th>Model</th>
<th>initial model</th>
<th>COMARCS</th>
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</thead>
<tbody>
<tr>
<td>( L )</td>
<td>([L_\odot])</td>
<td>7000*</td>
</tr>
<tr>
<td>( M )</td>
<td>([M_\odot])</td>
<td>1.5*</td>
</tr>
<tr>
<td>( T_{\text{eff}} )</td>
<td>([K])</td>
<td>2600*</td>
</tr>
<tr>
<td>([\text{Fe/H}])</td>
<td>([\text{dex}])</td>
<td>0.0</td>
</tr>
<tr>
<td>C/O ratio by number</td>
<td></td>
<td>1.4</td>
</tr>
<tr>
<td>( R )</td>
<td>([R_\odot])</td>
<td>412</td>
</tr>
<tr>
<td>( \log (g_\star ,[\text{cm s}^{-2}]) )</td>
<td></td>
<td>-0.614</td>
</tr>
</tbody>
</table>

**Notes.** Those quantities representing the primary input parameters are marked with asterisks.

### Table A.2.

<table>
<thead>
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<th>Filter</th>
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</thead>
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<tr>
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</tr>
<tr>
<td>( V )</td>
<td>0.183</td>
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<tr>
<td>( R )</td>
<td>0.118</td>
</tr>
<tr>
<td>( I )</td>
<td>0.057</td>
</tr>
<tr>
<td>( J )</td>
<td>0.005</td>
</tr>
<tr>
<td>( H )</td>
<td>0.025</td>
</tr>
<tr>
<td>( K )</td>
<td>0.012</td>
</tr>
<tr>
<td>( L' )</td>
<td>0.042</td>
</tr>
<tr>
<td>( M )</td>
<td>-0.011</td>
</tr>
</tbody>
</table>

### Appendix B: Looping the colour

A certain disagreement was found in Sect. 4.3 between the modelling results and corresponding observational data. In Fig. 13 one recognises that the model loops counter-clockwise through the colour–colour plane, while the average variation of RU Vir in Fig. 14 (dashed line) passes clockwise through an ellipse in this CCD. It can be shown by a simple test that such a change in the sense of the rotation can easily be introduced by a change of sign in the phase shift between lightcurves in different filters, which may itself be linked to the dust description in the modelling context, cf. Sect. 4.2.

To illustrate this, we used the simulated JHK lightcurves from Fig. 8 and applied artificial phase shifts of \(\Delta \phi = \pm 0.1\) such
that the phases of light maximum in the different filters occur at increasing or decreasing phases $\phi_v$. The resulting light variations are shown in the upper panel of Fig. B.1.

Based on these lightcurves, we computed colour indices which are shown in the lower panel of the figure. For the $JHK$ variations in phase (black), the object moves along a straight line from bluer to redder colours in the CCD. The ranges in $(J - H)$ and $(H - K)$ are determined by the amplitude differences of the individual lightcurves. If the above mentioned artificial phase shift is applied to the $JHK$ lightcurves (red, green), the star follows a loop through the CCD which covers a larger range in both colour indices. In addition, Fig. B.1 demonstrates that the sense of rotation changes when the sign of the phase shift $\Delta \phi$ is inverted.