

# Chemical Crystallography and Structural Chemistry

VO 270063-1

Lecture N° 4 — 30<sup>th</sup> March 2023

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## Course Schedule

2 <sup>rd</sup>	March	Lecture N <sup>o</sup> 1	9 <sup>th</sup>	March	Lecture N <sup>o</sup> 2
16 <sup>th</sup>	March	Lecture N <sup>o</sup> 3	23 <sup>th</sup>	March	Exercise N <sup>o</sup> 1
30 <sup>st</sup>	March	Lecture N <sup>o</sup> 4	20 <sup>th</sup>	April	Lecture N <sup>o</sup> 5
27 <sup>th</sup>	April	Exercise N <sup>o</sup> 2	4 <sup>th</sup>	May	Lecture N <sup>o</sup> 6
11 <sup>th</sup>	May	Exercise N <sup>o</sup> 3	25 <sup>th</sup>	May	Lecture N <sup>o</sup> 7
1 <sup>st</sup>	June	<b>no lecture</b>	15 <sup>th</sup>	June	Lecture N <sup>o</sup> 8
22 <sup>nd</sup>	June	Exercise N <sup>o</sup> 4	29 <sup>th</sup>	June	Lecture N <sup>o</sup> 9

## Previous Lecture

- Crystallographic symmetry operations
- Crystallographic point groups and space groups
- Unit cell conventions
- Crystal systems and Bravais lattices

# Contents

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<b>4</b>	<b>Experimental procedure: from data collection to structure</b>	<b>31</b>

# 1 Crystal Systems [1]

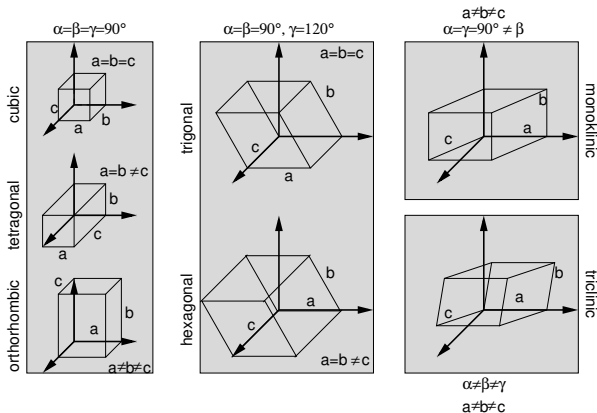
## The 7 crystal systems [1]

Independently from the content of the unit cell, the unit cell parameters  $a, b, c, \alpha, \beta, \gamma$ , can be classified according to regularity. These classifications result in the **seven crystal systems**

System		Conditions lengths	Conditions angles
triclinic	a	none	none
monoclinic	m	none	$\alpha = \gamma = 90^\circ$
trigonal	h	$a = b = c$	$\alpha = \beta = 90^\circ$ $\gamma = 120^\circ$
hexagonal	h	$a = b$	$\alpha = \beta = 90^\circ$ $\gamma = 120^\circ$
orthorhombic	o	none	$\alpha = \beta = \gamma = 90^\circ$
tetragonal	t	$a = b$	$\alpha = \beta = \gamma = 90^\circ$
cubic	c	$a = b = c$	$\alpha = \beta = \gamma = 90^\circ$

## The 7 crystal systems [1]

Independently from the content of the unit cell, the unit cell parameters  $a, b, c, \alpha, \beta, \gamma$ , can be classified according to regularity. These classifications result in the **seven crystal systems**



## 14 Bravais lattices [1]

7 crystal systems plus the requirement that the unit cell reflects the symmetry results in **14 Bravais lattices**:



aP



mP



mC



oP



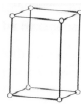
oC



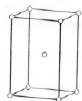
oF



oF



tP



tI



hP



hR



cP



cI



cF

Dots: Positions of symmetry elements



## 14 Bravais lattice — naming conventions

- lower case letters (a, m, h, o, t, c): crystal system (shape of cell).
- upper case letters (P, C, F, I, R): Symmetry. They present positions of symmetry elements within the unit cell in addition to the unit cell corners.

**P** primitiv, symmetry elements only at corners

**C** C-centred: Symmetry element at centre of C-faces (spanned by  $\vec{a}$  and  $\vec{b}$ ); (correspondingly: A- and B)

**F** face centred (centred at each face)

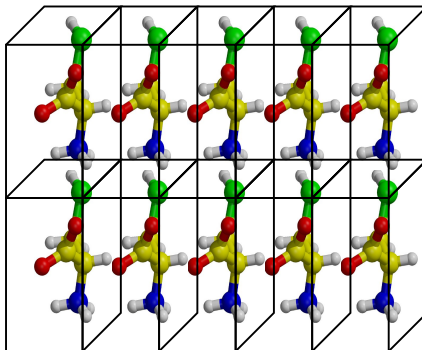
**I** body centred: at the centre of the cell (German: *innenzentriert*)

**R** rhombohedral

- the (P, C, F, I, R) are the same as in the Hermann-Mauguin names for space groups ( $P2_12_12$ ,  $C2/c$ , ...)

## Crystal system $\neq$ Space group

Do not draw conclusions on the space group from the shape of the unit cell:  
the space group **also** depends on the cell content.



orthorhombic box, non-symmetric space group

## Positions and naming of unit cell axes: Conventions

- The origin  $(0, 0, 0)$  is placed at a position of high symmetry. If present, it is placed at a centre of inversion
- There are  $6 \times 4 \times 2 = 48$  possibilities to name the axes as  $\vec{a}$ ,  $\vec{b}$ , und  $\vec{c}$
- Conventions:
  1. right-handed coordinate system
  2. highest possible symmetry
  3. small as possible volume of unit cell
  4.  $a \leq b \leq c$

## 2 Axis settings and Re-indexing

## Axis settings and Re-indexing

- Despite these conventions, *some* space groups still have several possible axis settings
- For a single data set, the choice is arbitrary
- when two data sets or more are collected, care must be taken to index both consistently
- Modern programs automatically take care of consistent indexing.

## Axis settings and Re-indexing

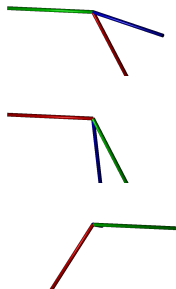
Example: trigonal space group P3

!!! WARNING !!! SOLUTION MAY NOT BE UNIQUE.

```
UNIT_CELL_A-AXIS=  -140.027  -180.486  -28.163
UNIT_CELL_B-AXIS=   188.097    21.258  -130.933
UNIT_CELL_C-AXIS=   123.664  -120.609   158.073
```

```
UNIT_CELL_A-AXIS=   188.097    21.258  -130.933
UNIT_CELL_B-AXIS=  -140.027  -180.486  -28.163
UNIT_CELL_C-AXIS=  -123.664   120.609  -158.073
```

```
UNIT_CELL_A-AXIS=    48.070  -159.228  -159.096
UNIT_CELL_B-AXIS=  -188.097   -21.258   130.933
UNIT_CELL_C-AXIS=  -123.664   120.609  -158.073
```



## Axis settings and Re-indexing

- Single data set: choice of axis settings is irrelevant, each one is equally good
- Several data sets: with “wrong” settings, intensities do not match weil unterschiedlich indiziert
- either from the same crystal or different crystal
- re-indexing of second data set required in order to match intensities of the first one → **Reindexing of the coordinate system required**
- Worst case: testing of all possibilities
- automated in modern software

## 3 Symmetry and X-ray data



## Symmetry and X-ray data

### Overview

- Effect of symmetry on X-ray data
- Friedel's law
- Laue groups: point groups in reciprocal space

## Note: Calculation of reflex intensities

Once the chemical composition *i.e.* atom types and their positions, of the asymmetric unit is known, one can calculate the electron density  $\rho(x, y, z)$ .

The intensity of every reflection can be calculated from the electron density  $\rho(x, y, z)$ <sup>1</sup>

$$I(hkl) = \left| \int_{V(\text{u.c.})} \rho(x, y, z) e^{2\pi i(hx+ky+lz)} d^3x \right|^2$$

---

<sup>1</sup>*International Tables* Volume B, Ch. 1.2

## Symmetry in reciprocal space

Reminder: A symmetry operation  $(R, \vec{t})$  leaves the entire crystal, and in particular the unit cell, unchanged, *i.e.* for every point in the unit cell:

$$\rho(R\vec{x} + \vec{t}) = \rho(\vec{x}) \text{ "u.c."} = R \cdot \text{"u.c."} + \vec{t}$$

This translates towards the intensities:

$$\begin{aligned} \Rightarrow I(hkl) &= \left| \int_{V(\text{u.c.})} \rho(x, y, z) e^{2\pi i(hx + ky + lz)} d^3x \right|^2 \\ &= \left| \int_{V(\text{u.c.})} \rho(R\vec{x} + \vec{t}) e^{2\pi i(\vec{h}^T (R\vec{x} + \vec{t}))} d^3(R\vec{x} + \vec{t}) \right|^2 \\ &= I(R^T \vec{h}) \end{aligned}$$

(The last step include quite some calculations)

This means: The intensities of the two reflections  $(h, k, l)$  and  $R(h, k, l)$  are identical.

## Symmetry in reciprocal space

For every symmetry operation  $(R, \vec{t})$  of the space group of a crystal:

$$I(\vec{h}) = I(R^T \vec{h}) = I(R^T R^T \vec{h}) = \dots$$

- Crystal symmetry also means symmetry for the reflections
- Translational symmetry is “dropped” in reciprocal space
- Translational symmetry results in a phase shift, that cannot be measured.

## Friedel's Law

Even without any symmetry, *i.e.* in all space groups including  $P1$ :

$$I(h, k, l) = I(\bar{h}, \bar{k}, \bar{l})$$

Reciprocal space **always** has a centre of inversion. This is called Friedel's law<sup>2</sup>

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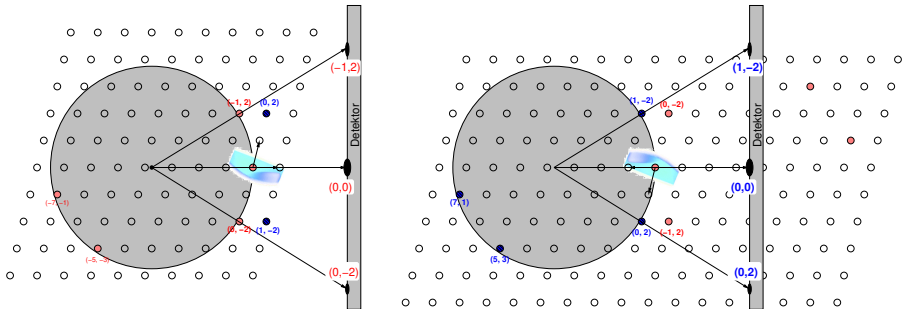
<sup>2</sup>Georges Friedel, 1865–1933

## Excursus: Mathematics for Friedel's Law

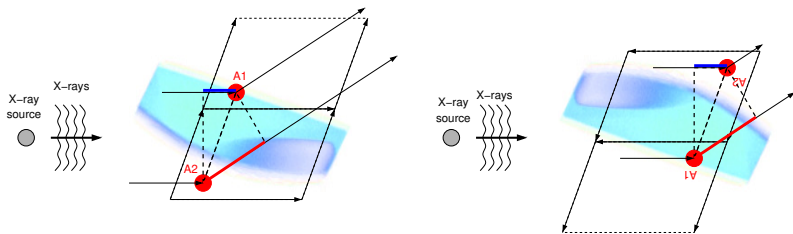
$$\begin{aligned}
 I(\bar{h}\bar{k}\bar{l}) &= \left| \int_{V(\text{E.Z.})} \rho(x, y, z) e^{2\pi i(\bar{h}x + \bar{k}y + \bar{l}z)} d^3x \right|^2 \\
 &= \left| \int_{V(\text{E.Z.})} \rho(x, y, z) e^{-2\pi i(hx + ky + lz)} d^3x \right|^2 \\
 &= \int_{V(\text{E.Z.})} \rho(x, y, z) e^{-2\pi i(hx + ky + lz)} d^3x * \left( \int_{V(\text{E.Z.})} \rho(x, y, z) e^{-2\pi i(hx + ky + lz)} d^3x \right)^* \\
 &= \int_{V(\text{E.Z.})} \rho(x, y, z) e^{-2\pi i(hx + ky + lz)} d^3x * \int_{V(\text{E.Z.})} \rho(x, y, z) e^{2\pi i(hx + ky + lz)} d^3x \\
 &= \left| \int_{V(\text{E.Z.})} \rho(x, y, z) e^{2\pi i(hx + ky + lz)} d^3x \right|^2 \\
 &= I(hkl)
 \end{aligned}$$

## Measurement of Friedel pairs

Two reflections  $I(hkl)$  and  $I(\bar{h}\bar{k}\bar{l})$  form a **Friedel pair**. They can be measured e.g. by rotation the crystal by  $180^\circ$



## Phase difference of Friedel pairs



$$\Delta_{\text{left}} = -\Delta_{\text{right}}$$

$$\Rightarrow \delta_{\text{left}} = -\delta_{\text{right}} \quad (\delta = 2\pi\Delta/\lambda)$$

negative phase, same path difference:

$$I(hkl) = I(\bar{h}\bar{k}\bar{l}) \text{ and } \Phi(hkl) = -\Phi(\bar{h}\bar{k}\bar{l})$$



## Laue groups

- Crystals belong to one of 230 space groups
- The diffraction pattern contains the symmetry of the space group, but:
  1. no translational component
  2. always centre of inversion (Friedel's law)
- This results in a subset of groups: the 11 **Laue groups**.

## 11 Laue groups

Crystal system	Laue group	point group
Triclinic	$\bar{1}$	1, $\bar{1}$
Monoclinic	$2/m$	2, m, $2/m$
Orthorhombic	$mmm$	222, $mm2$ , $mmm$
Tetragonal	$4/m$	4, $\bar{4}$ , $4/m$
	$4/mmm$	422, $4mm$ , $\bar{4}2m$ , $4/mmm$
Trigonal	$\bar{3}$	3, $\bar{3}$
	$\bar{3}m$	32, $3m$ , $\bar{3}m$
Hexagonal	$6/m$	6, $\bar{6}$ , $6/m$
	$6/mmm$	622, $6mm$ , $\bar{6}m2$ , $6/mmm$
Cubic	$m\bar{3}$	23, $m\bar{3}$
	$m\bar{3}m$	432, $\bar{4}3m$ , $m\bar{3}m$

<http://de.wikipedia.org/wiki/Lauegruppe>

## Extinctions

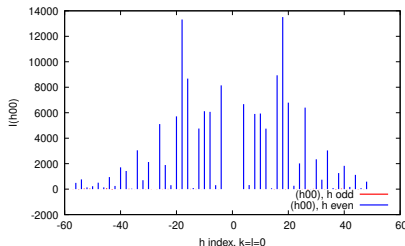
- Extinctions are reflections with systematically zero intensity.
- Extinctions occur in non-primitive Bravais lattices or in the presence of symmetry elements with translational part, *i.e.* glide planes and screw axes.
- Extinctions are important for space group determination, *e.g.* to differentiate between  $P4$  and  $P4_3$ .
- The “Int. Tabl. Vol. A” list the “general reflection conditions”, *i.e.* those reflections with non-zero intensity:

$P3_1$  (No. #144)  $00l : l = 3n$

- only if  $(hkl) = \dots(00 - 6), (00 - 3), (003), \dots$ : Intensities  $\neq 0$ ;
- reflections  $(001), (002), (004), (005), \dots$ , should have zero intensity

## Example: Extinctions in $P4_32_12$

- Reflection condition:  $h00 : h = 2n$  and  $00l : l = 4n$
- Only reflections with  $k = 0, l = 0$  and  $h$  even should have non-zero intensity
- All reflections with  $k = 0, l = 0$  and  $h$  odd should have zero intensity
- Example: Lysozyme data set



## Example: Extinctions in $P4_32_12$

Output from the program xprep for Lysozyme data:

Systematic absence exceptions:

41/43	42	n--	-b-	-c-	-n-	-21-	--c		
N	5	3	3490	2365	2359	2358	89	1549	
N I>3s	0	0	2188	1256	1361	1275	0	931	
<I>	0.9	0.9	97.0	64.6	80.7	65.4	0.8	85.0	
<I/s>	0.2	0.2	8.2	6.7	7.3	6.6	0.4	7.5	
Option	Space	Group	No.	Type	Axes	CSD	R(sym)	N(eq)	Syst.
Abs.	CFOM								
[A]	P4(1)2(1)2		# 92	chiral	1	245	0.067	140065	0.4 / 6.6 1.99
[B]	P4(3)2(1)2		# 96	chiral	1	245	0.067	140065	0.4 / 6.6 1.99

Based on the data alone, we cannot distinguish between  $P4_12_12$  and  $P4_32_12$  (due to Friedel's law). One has to solve the structure and see which one makes sense. For protein structures: the right space group will consist of L-amino acids, the wrong one of D-amino acids.

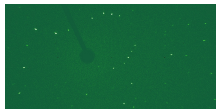
## Summary: Space group determination

- Crystal symmetry is present in reflection data intensities
- No translational symmetry in reciprocal space
- Only one of the 11 Laue groups can be determined from symmetry in intensities
- Systematic absences are important to distinguish between space groups within a Laue group

## 4 Experimental procedure: from data collection to structure

## From Data Collection to Structure

Data collection



several GB



Data integration

0	0	-1	2.7	0.9
0	0	1	4.0	1.0
0	0	-2	1'257.0	35.5
0	0	-2	1'600.0	42.7

several files, 100's MB



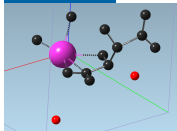
Data Scaling

0	0	-1	2.8	0.55
0	0	1	3.8	0.63
0	0	-2	1'432.0	95.7
0	0	-2	1'282.0	85.9

1 "hkl"-file, 50MB



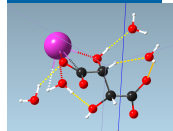
Phasing



Starting model



Refinement

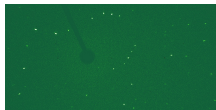


Chemically  
sensible  
model



## Data Collection

Data collection



several GB

Data integration

0	0	-1	2.7	0.9
0	0	1	4.0	1.0
0	0	-2	1'257.0	35.5
0	0	-2	1'600.0	42.7

several files, 100's MB

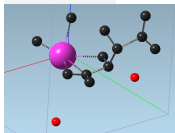
Data Scaling

0	0	-1	2.8	0.55
0	0	1	3.8	0.63
0	0	-2	1'432.0	95.7
0	0	-2	1'282.0	85.9

1 "hkl"-file, 50MB



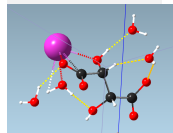
Phasing



Starting model



Refinement



Chemically sensible  
model

## How to collect good data

Data resolution

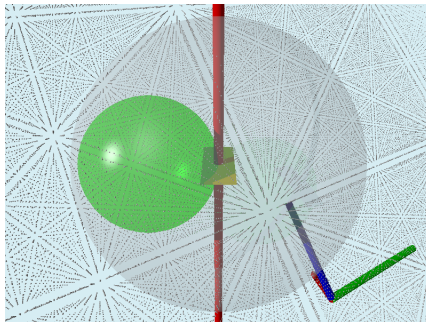
Data completeness

Data multiplicity

Data quality

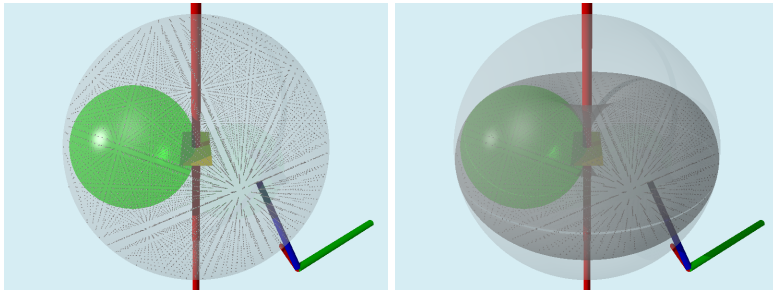
## Data resolution

- highest possible resolution:  $d_{\min} > \lambda/2$
- good crystals diffract better than this limit (instrumentation limited resolution)
- bad crystals may not reach this resolution (sample limited resolution)
- NB: low resolution are also important



## Data completeness

- Only reflections with resolution sphere can be collected (radius in reciprocal space:  $r = 2./\lambda$ )
- Laue equations permit to compute their location, and how to rotate the crystal into an appropriate position.
- Single rotation axis: torus shape of reflections.

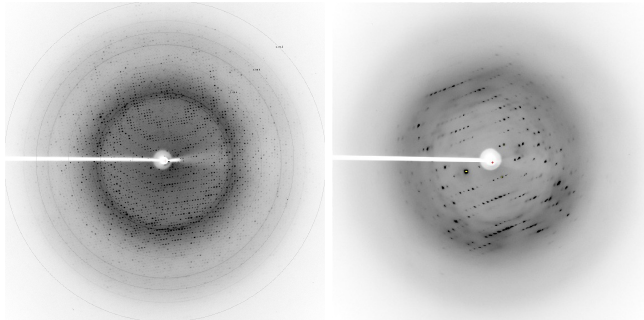


## Data multiplicity

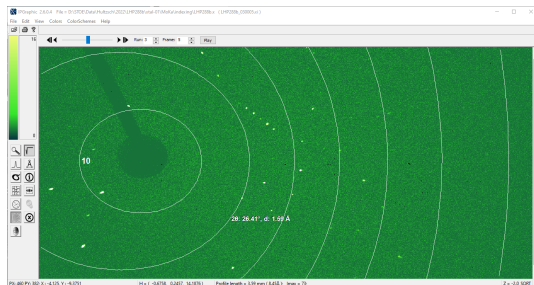
- Intensity ( $I(hkl)$ ) of the identical reflection ( $hkl$ ) can be measured multiple times
- Intensities  $I(hkl)$  and  $I'(R(hkl))$  symmetry equivalent reflections increase multiplicity
- Measuring on different positions on detector: reduction of systematic errors
- increasing multiplicity reduces uncertainty  $\sigma(I)$

## Data quality

- “Diffraction data acquisition is the final experimental stage of the crystal structure analysis.” (Z. Dauter, [2])
- Ensure proper data collection strategy (desired resolution, multiplicity; adjust detector distance to unit cell parameters, etc.)
- Crystal quality can be the limiting factor

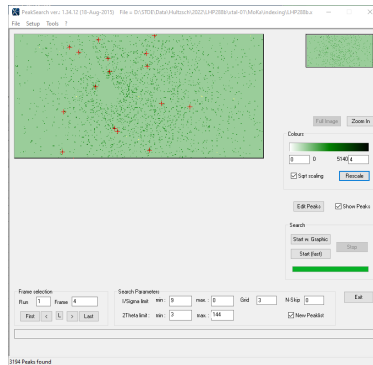


## Steps of data integration: initial diffraction images



- typically four short runs:
- $2 \times 10^\circ - 15^\circ$ :  $0^\circ - 10^\circ$  and  $90^\circ - 100^\circ$
- two detector settings each (low to mid resolution and mid to high resolution)

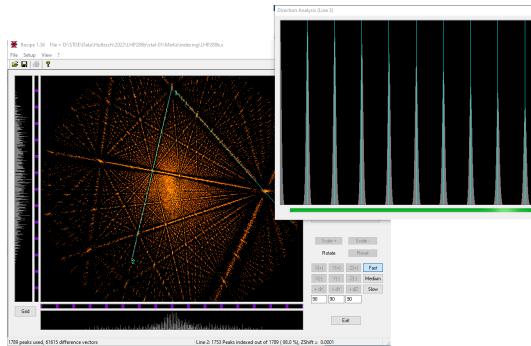
## Steps of data integration: peak finding



- simple (non-crystallographic) pattern recognition algorithm: peak above background
- main parameter: desired peak strength ( $I/\sigma$ )

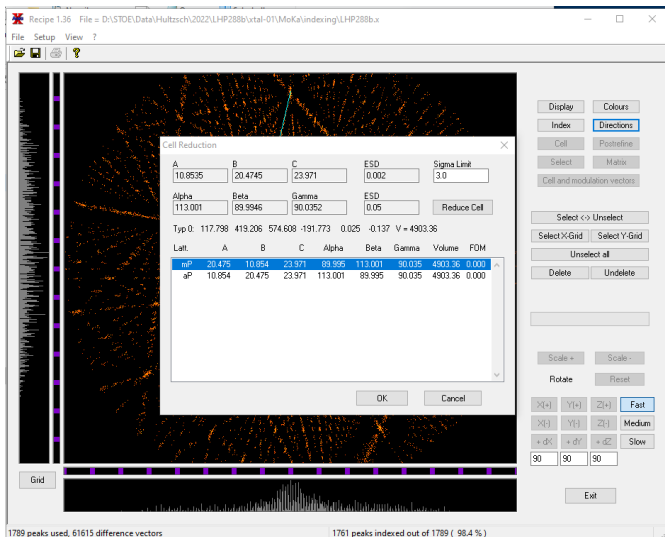


## Steps of data integration: finding unit cell



- known instrument geometry: project peaks into reciprocal space
- find a lattice basis

## Steps of data integration: finding unit cell



Recipe 1.36 File = D:\STOE\Data\Hultzschi2022\LHP288b\xtal-01\MoKa\indexing\LHP288b.x

File Setup View ?

Cell Reduction

A	B	C	ESD	Sigma Limit
10.8535	20.4745	23.971	0.002	3.0
Alpha	Beta	Gamma	ESD	
113.001	89.9946	90.0352	0.05	Reduce Cell

Typ 0: 117.798 419.206 574.608 -191.773 0.025 -0.137 V = 4903.36

Latt.	A	B	C	Alpha	Beta	Gamma	Volume	FOM
h <sup>kl</sup>	20.475	10.854	23.971	89.995	113.001	90.035	4903.36	0.000
aP	10.854	20.475	23.971	113.001	89.995	90.035	4903.36	0.000

1789 peaks used, 61615 difference vectors

1761 peaks indexed out of 1789 ( 98.4 % )

Grid

Display Colours

Index Directions

Cell Postrefine

Select Maths

Cell and modulation vectors

Select <-> Unselect

Select X-Grid Select Y-Grid

Unselect all

Delete Undo

Scale + Scale -

Rotate Reset

X(-) Y(-) Z(-) Fast

X(+) Y(+) Z(+) Medium

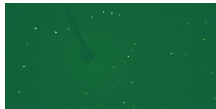
+dX +dY +dZ Slow

90 90 90

Exit

## Data Integration

Data collection



several GB



Data integration

0	0	-1	2.7	0.9
0	0	1	4.0	1.0
0	0	-2	1'257.0	35.5
0	0	-2	1'600.0	42.7

several files, 100's MB



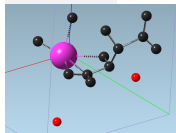
Data Scaling

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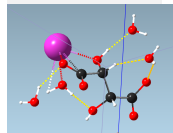
Phasing



Starting model



Refinement



Chemically sensible  
model

## Data Integration

Data integration comprises

1. Indexing: Determination of unit cell dimensions, orientation of the crystal, point group
2. Extraction of spot intensities from detector images.
3. Optimisation of experimental parameters

## Programs for data integration (incomplete)

**Saint** Licensed by Bruker AXS. Specific to Bruker programs. Good for data from twinned crystals. Derived from XDS.

**X-Area** Software suite distributed with Stoe X-ray diffractometers. Free to use. Very good interactive indexing routine, good for twinned data.

**XDS** Free for non-commercial users (<http://xds.mpimf-heidelberg.mpg.de>). Supports nearly all detector formats, very well documented. Very fast.

**DIALS** Free for non-commercial users. Very active development. (<https://dials.diamond.ac.uk/>)

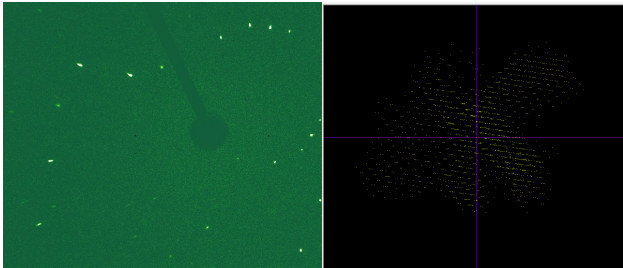
**EVAL Suite** Free for non-commercial users (<http://www.crystal.chem.uu.nl/distr/eval>). Can integrate e.g. incommensurate crystals

**Crysalis Pro** Licensed by Rigaku (<https://www.rigaku.com/products/smc/crysalis>)

**iMosflm** Free for non-commercial users, distributed with CCP4 (<http://www.ccp4.ac.uk>)

**HKL3000** Very good visualisation GUI for fine-tuning of parameters. very popular in the US (<https://hkl-xray.com/>)

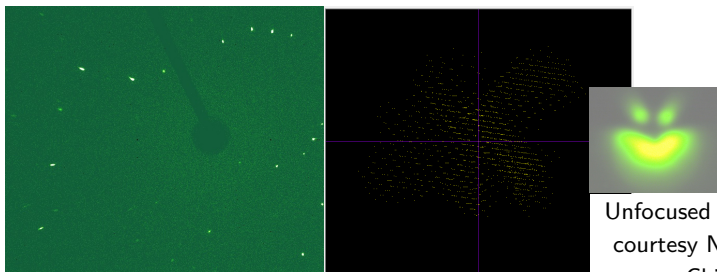
## Indexing



“Ewald sphere backwards:”

- find 200–1000 **strong** spots
- backtransform into reciprocal space (Laue equations)
- find a lattice and a suitable basis
- basis for reciprocal lattice corresponds to reduced unit cell constants

## Indexing



Unfocused synchrotron beam,  
courtesy N. Sanishvili, APS,  
Chicago, USA

Possible reasons for indexing problems:

- Incorrect parameters: detector distance, direction of rotation, wavelength, especially at synchrotrons
- Too few reflections
- Distorted spots (lattice defects, unfocused beam)
- Alien spots (ice, metal, contaminant)
- multiple lattices *twins*



## References

- [1] W. Massa. *Crystal Structure Determination*. Springer Verlag Berlin, Heidelberg, 2002.
- [2] Zbigniew Dauter. 'Collection of X-Ray Diffraction Data from Macromolecular Crystals'. In: *Protein Crystallography: Methods and Protocols*. Ed. by Alexander Wlodawer, Zbigniew Dauter and Mariusz Jaskolski. New York, NY: Springer New York, 2017, pp. 165–184. DOI: 10.1007/978-1-4939-7000-1\_7.