

### Chemical Crystallography and Structural Chemistry

VO 270063-1

### Lecture Nº 2 — 9<sup>th</sup> March 2023

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#### **Course Schedule**

| 2 <sup>rd</sup>  | March | Lecture Nº 1                           |
|------------------|-------|--|
| $16^{th}$        | March | Lecture Nº 3                           |
| 30 <sup>st</sup> | March | Lecture Nº 4                           |
| 27 <sup>th</sup> | April | Exercise Nº 2                          |
| $11^{\rm th}$    | May   | Exercise Nº 3                          |
| $1^{st}$         | June  | no lecture                             |
| 22 <sup>nd</sup> | June  | Exercise N <sup><math>o</math></sup> 4 |

| $9^{th}$         | March | Lecture Nº 2             |
|------------------|-------|--------------------------|
| $23^{th}$        | March | Exercise Nº 1            |
| 20 <sup>th</sup> | April | Lecture Nº 5             |
| 4 <sup>th</sup>  | May   | Lecture Nº 6             |
| 25 <sup>th</sup> | May   | Lecture N <sup>o</sup> 7 |
| $15^{th}$        | June  | Lecture Nº 8             |
| 29 <sup>th</sup> | June  | Lecture Nº 9             |



## **Previous Lecture**

- Literature on crystallography
- online resources, journals, databases
- X-rays as electromagnetic radiation
- examples of diffraction patterns (spots as data)
- introduction to unit cell, fractional coordinates  $\boldsymbol{x}, \boldsymbol{y}, \boldsymbol{z}$

| im Grüne      | Chemical Crystallography  | () universität<br>wien |
|---------------|---------------------------|------------------------|
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# 1 Diffraction Theory — Atoms and X-rays



#### Atoms' reaction to incoming wave

Crystal structure determination is based on the **independent atom model** (IAM):

- the molecule consists of spherical atoms
- upon irradiation, each atom re-emits a small spherical wave independently from the others
- the strength depends on the atom type
- the detector records the overlap of all (tiny) waves

Detector



#### **Planar waves**

$$A(\vec{x},t) = A_0 \sin(\vec{k}\vec{x} - \omega t)$$



$$A(\vec{x}, 0s) = A_0 \sin(1.2x - 2\pi \cdot 0) \qquad \qquad A(\vec{x}, 0.5s) = A_0 \sin(1.2x - 2\pi \cdot 0.5)$$

- Intensity  $I \propto A_0^2$  (light does not "flicker")
- Direction of propagation:  $\vec{k};\, |\vec{k}|=2\pi/\lambda=\omega/c$
- X-ray source is a planar wave

Important features of waves: the sum of two waves result in a wave



#### Spherical waves

$$A(\vec{x},t) = \frac{A_0}{|\vec{x}|} \sin(|k||\vec{x}| - \omega t)$$



$$A(\vec{x}, 0s) = \frac{A_0}{|\vec{x}|} \sin(1.2|\vec{x}| - 2\pi \cdot 0) \qquad \qquad A(\vec{x}, 0.5s) = \frac{A_0}{|\vec{x}|} \sin(1.2|\vec{x}| - 2\pi \cdot 0.5)$$

- Intensity  $I \propto (A_0/|x|)^2$ , gets weaker with distance
- Uniform spread
- Atoms react with (tiny) spherical wave

Important features of waves: the sum of two waves result in a wave



#### Crystal as amplifier





#### Crystal as amplifier





#### Crystal as amplifier





### Path difference $\Delta$ (red - blue)

- Each point on the detector results in a specific path difference
- The signal at such point depends on the path difference
- The path difference can be
  - 1. an arbitrary multiple of the wavelength  $\boldsymbol{\lambda}$
  - 2. an integer multiple of the wavelength  $\boldsymbol{\lambda}$
  - 3. an integer multiple + one half of the wavelength  $\lambda$



### Path difference $\Delta$ (red - blue) — arbitrary path difference

Summed wave -----

10 waves, random path difference



Total amplitude similar to individual amplitudes: no amplification



### Path difference $\Delta$ (red - blue) — multiple of wavelength $\lambda$

Summed wave -----

10 waves, path difference  $n^*\lambda$ 



Total amplitude = 10 \* individual amplitude: regular order amplifies signal



### Path difference $\Delta$ (red - blue) = $(n + 1/2) * \lambda$

Summed wave -----

10 waves, path difference  $(n+1/2)^*\lambda$ 



In certain circumstances there can be complete extinction of the signal. This is important for space group determination.

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#### Crystal as wave amplifier



With constructive interference (right, 1000 atoms), the amplitude of the signal grows with the number of waves (unit cells), much faster than for random interference (left, 1000 atoms).



#### Regularity of the crystal





#### **Diffraction spots**



- Spot on the detector surface = constructive interference from all unit cells of the crystal
- noise: everywhere else
- Path difference \(\Delta\) (red blue) depends on:
  - 1. direction of incoming ray
  - 2. direction of outgoing ray
  - 3. wavelength
  - periodicity of the crystal = unit cell parameters



## 2 The Laue equations



- A reflection occurs at the detector, where the path difference is an integer multiple of the wave length.
- The directions depend on unit cell parameters and crystal orientation
- The directions are described by the Laue equations (Max von Laue, 1879 1960) .



$$\begin{split} \vec{a} \cdot \vec{S} &= |\vec{a}| |\vec{S}| \cos(\vec{a}, \vec{S}) = h \\ \vec{b} \cdot \vec{S} &= |\vec{b}| |\vec{S}| \cos(\vec{b}, \vec{S}) = k \\ \vec{c} \cdot \vec{S} &= |\vec{c}| |\vec{S}| \cos(\vec{c}, \vec{S}) = l \end{split}$$







$$\begin{split} \vec{a} \cdot \vec{S} &= |\vec{a}| |\vec{S}| \cos(\vec{a}, \vec{S}) = h \\ \vec{b} \cdot \vec{S} &= |\vec{b}| |\vec{S}| \cos(\vec{b}, \vec{S}) = k \\ \vec{c} \cdot \vec{S} &= |\vec{c}| |\vec{S}| \cos(\vec{c}, \vec{S}) = l \end{split}$$

The Laue equations describe the geometry of the diffraction experiment:

 $ec{a},ec{b},ec{c}$ : Orientation of the crystal  $|ec{S}_{\sf in}|=1/\lambda$ : wavelength of the experiment

 $|\vec{S}_{out}|$ : direction, *alias* position at the detector (is there a spot or not?)

h,k,l integer: integer multiple of path differences  $\Delta=n\cdot\lambda$ 



- Each scattering vector  $\vec{S}$  describes exactly one position on the detector
- Only those positions, that fulfil all three Laue equations at once, will show a reflection
- Each reflection is uniquely described by the triplet of integers  $\left(hkl\right)$
- The triplet (h, k, l) is called **the Miller index** of the corresponding reflection (W. H. Miller, 1801–1880)
- The direct beam  $\vec{S}_{\rm in}$  coincides with the reflection  $(0,0,0),~\vec{S}_{\rm in}=\vec{S}_{\rm out}$
- The reflection  $\left(0,0,0\right)$  cannot be measured!



#### Indexing

- the term **indexing** describes the assignment of the Miller indices to and the reflections recorded on the detector.
- Indexing is equivalent to determining the unit cell parameters a,b,c,  $\alpha,\beta,\gamma$  and the crystal orientation.
- Indexing is an essential step for data processing



$$\leftrightarrow$$

$$\begin{split} \vec{a} \cdot \vec{S} &= |\vec{a}| |\vec{S}| \cos(\vec{a}, \vec{S}) = h \\ \vec{b} \cdot \vec{S} &= |\vec{b}| |\vec{S}| \cos(\vec{b}, \vec{S}) = k \\ \vec{c} \cdot \vec{S} &= |\vec{c}| |\vec{S}| \cos(\vec{c}, \vec{S}) = l \end{split}$$



#### Indexing

In most cases, indexing algorithms work very easily and fast Reasons for difficulties with indexing:

- More than one crystal lattice (twinning)
- Very large unit cell leads to overlapping reflections

 $\leftrightarrow$ 

• Wrong values for the experimental parameters (detector distance, wavelength, rotation axis)



$$\begin{split} \vec{a} \cdot \vec{S} &= |\vec{a}| |\vec{S}| \cos(\vec{a}, \vec{S}) = h \\ \vec{b} \cdot \vec{S} &= |\vec{b}| |\vec{S}| \cos(\vec{b}, \vec{S}) = k \\ \vec{c} \cdot \vec{S} &= |\vec{c}| |\vec{S}| \cos(\vec{c}, \vec{S}) = l \end{split}$$





#### The Ewald Sphere

Laue equations: mathematically handy, but difficult to imagine

**Ewald sphere:** Construction to help understand the diffraction pattern

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#### Prelude: The reciprocal lattice and the Miller Indices

- The corners of the unit cell span the crystal lattice
- The unit cell vectors  $\vec{a}, \vec{b}, \vec{c}$  build the basis for the crystal lattice
- Many aspects of diffraction can be described more easily with the help of the **reciprocal lattice**.

• 
$$\vec{a}^* = \frac{\vec{b} \times \vec{c}}{V}$$
:  $\vec{a}^* \perp \text{plane}(\vec{b}, \vec{c})$ 

• 
$$\vec{b}^* = \frac{\vec{c} \times \vec{a}}{V}$$
:  $\vec{b}^* \perp \text{plane}(\vec{c}, \vec{a})$ 

• 
$$\vec{c}^* = \frac{\vec{a} \times \vec{b}}{V}$$
:  $\vec{c}^* \perp \text{plane}(\vec{a}, \vec{b})$ 

V: unit cell volume (Å<sup>3</sup>),  $V = (\vec{a} \times \vec{b}) \cdot c$ 



#### Prelude: The reciprocal lattice and the Miller Indices

• 
$$\vec{a}^* = \frac{\vec{b} \times \vec{c}}{V}$$
:  $\vec{a}^* \perp \text{plane}(\vec{b}, \vec{c})$ 

•  $\vec{b}^* = \frac{\vec{c} \times \vec{a}}{V}$ :  $\vec{b}^* \perp \text{plane}(\vec{c}, \vec{a})$  V: unit cell volume (Å<sup>3</sup>),  $V = (\vec{a} \times \vec{b}) \cdot c$ 

•  $\vec{c}^* = \frac{\vec{a} \times \vec{b}}{V}$ :  $\vec{c}^* \perp \text{plane}(\vec{a}, \vec{b})$ The Miller indices (hkl) span the reciprocal lattice:

$$h\vec{a}^* + k\vec{b}^* + l\vec{c}^* \qquad \qquad h, k, l \in \mathbb{Z}$$

- Each reflection corresponds to one point of the reciprocal lattice.
- When  $\alpha = \beta = \gamma = 90^{\circ}$  (orthorhombic unit cell):  $|\vec{a}^*| = 1/a$ ,  $|\vec{b}^*| = 1/b$ ,  $|\vec{c}^*| = 1/c$















Draw a sphere with radius  $1/\lambda$  that **touches** the lattice origin. The sphere centre lies aligned with the X-ray source.

This sphere is the **Ewald Sphere**.





The scattering vector  $\vec{S}$  points from the origin to the lattice point.

Some lattice points **touch** the surface of the Ewald sphere (red circles). These fulfil the Laue conditions.

They are the **recordable** reflections.









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## 4 Bragg's Law



Idea:

- X-rays are reflected on lattice planes (German: "Gitterebenen" or "Net-zebenen")
- Reflections occur when the path difference is a multiple integer of the wave length



1. Lattice: Corners of the unit cells.



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2. Three corner points make a plane.





1. Lattice: Corners of

#### Bragg's Law







- 1. Lattice: Corners of the unit cells.
- 2. Three corner points make a plane.
- 3. Parallel shifting of plane through **all** lattice corners creates a set of planes.
- 4. Constructive interferences leads to Bragg's Law:

 $n\lambda=2d\sin\theta$ 

d : distance between planes.





### Bragg's Law and Resolution of a Reflection

 $n\lambda=2d\sin\theta$ 

- The value *d* is called **the resolution** of the reflection (*hkl*)
- *d* is measured in Å.
- **N.B.:** High resolution corresponds to a small value of d
- $n \ge 2$  higher order reflections, which usually do not occur. We only need to consider the case n = 1, *i.e.*

 $\lambda=2d\sin\theta$ 



#### Bragg's Law and Resolution of a Reflection

 $\lambda=2d\sin\theta$ 

Sometimes, the inverted value

$$d^* \equiv 1/d = \frac{2\sin\theta}{\lambda}$$

is called resolution, measured in  $1/\textrm{\AA}$ 

- High values of  $d^*$  correspond to high resolution and vice versa
- $d^*$  has the length of the reciprocal lattice vector

$$d^* = 1/d = \|h\vec{a}^* + k\vec{b}^* + l\vec{c}^*\|$$



#### Example image with resolution rings



- All reflections on a circle about the direct beam have the same resolution
- When the detector if offset  $(2\theta \neq 0^{\circ})$ , the circles become ellipses (intersection of a cone and a plane)



## **Reflection Intensity**

- The Laue equations, the Bragg equation, and the Ewald sphere construction all refer to the spot positions.
- They contain no information about spot intensity
- The molecule inside the unit cell determines the intensity of every reflections:

$$\begin{split} I(hkl) \propto |\sum_{\text{atom } j} f_j \cos 2\pi (hx_j + ky_j + lz_j)|^2 \\ \propto |\sum_{\text{atom } j} f_j e^{2\pi i (hx_j + ky_j + lz_j)}|^2 \end{split}$$

- 1. every atom emits a small spherical wave (cos-term)
- 2. the amplitude  $f_j$  is proportional to the atom number Z
- 3.  $f_j$  is called *atomic scattering factor*



## Summary Laue equations

- Reflex positions ("patterns") depend on the unit cell parameters  $a,b,c,\alpha,\beta,\gamma$  and the orientiation of the crystal.
- Reflex positions *do not* depend on the chemical content of the unit cell.
- Reflex intensities depend on the chemical content of the unit cell
- Ewald sphere visualises the Laue equation
- Every reflex has a resolution *d*, via Bragg's law.
- High resolution = small d, low resolution = large d



# **5** Symmetry and Space Groups



#### Shape and Appearance of Crystals



Steve Lower [1]

Historically, the science of crystallography describes the appearance and (visual) regularity of crystals.

Nicolaus Steno (1638–1686) states the "law of constant angles" or the "first law of crystallography" (1669)

> Angles between corresponding faces on crystals are the same for all specimens of the same mineral



#### Steno: Law of Constant Angles



lattice plane (120) low order Miller index  $\hat{=}$  large lattice distance d(low resolution reflection) *high atom density* along plane: stable



lattice plane  $(3\overline{7}0)$ high order Miller index  $\hat{=}$  smalle lattice distance d(high resolution reflection) low atom density along plane: unstable

Crystal breaks between stable planes with low Miller indices



#### **Example Crystals**







Ruby [2]  $(Al_2O_3 + Cr)$ 





hexagonal cell





### History of Symmetry of Crystals

| 1801 | René-Just Haüy describes crystal symmetries using group the- |
|------|--|
|      | ory.   |

**1850** Auguste Bravais describes the 14 Bravais lattices.

**1890/1891** Arthur Moritz Schönflies und Jewgraf Stepanowitsch Fjodorow derive all 230 *space groups*.

- **1912** Max von Laue, Walter Friedrich und Paul Knipping carry out the first X-ray diffraction experiment. They prove:
  - X-rays are waves
  - crystals consists of a lattice



### Meaning of Symmetry for Structure Determination

The symmetry of a crystal is important because it affects

- data acquisition and scaling
- structure solution
- refinement



# **6** Symmetry in molecules



#### Symmetry in molecules

- 1. What is symmetry?
- 2. elementary symmetry operations: rotation, mirror plan, inversion centre
- 3. Combination of symmetry operations: point groups



#### The Term "Symmetry"

Symmetry is part of our daily lives:



Butterfly with a mirror plane



Flower with 5-fold rotational symmetry



### **Example: 12-fold Rotational Symmetry**





Wikipedia, [4]

Symmetry (in real life) is never ideal.

Ideally, all six images would be identical.

The symmetry of crystals and of individual molecules is much closer to the mathematical meaning of symmetry than macroscopic symmetry.



#### Symmetric molecules



Benzene: 6-fold rotational symmetry  $\alpha$ -D-Glucose: no proper symmetry + mirror planes non-symmetric molecules can still crystallise



#### Symmetry by arrangement: towards a crystal

Crystals without proper symmetry can still be arranged symmetrically.





#### Symmetric arrangement

Sometimes, the arrangement in a crystal may have a chemical meaning.



Hemoglobin in blood cells forms a dimer (dark/light) of two hetero dimers ( $\alpha/\beta$ -globin green and blue) with a 2-fold rotation axis. Hemoglobin crystallises with the same arrangement (PDB-ID 3ONZ)



#### Microscopic and macroscopic properties

- the relationship between microscopic interactions and macroscopic relations is not just an academic discipline
- material properties related to crystal structure (electro-optical elements, piezo-elements ...)
- 2021: Wolf prize awarded to Les Leiserowitz and Meir Lahav "for collaboratively established the fundamental reciprocal influences of threedimensional molecular structure upon structures of organic crystals." [5, 6]



#### Microscopic and macroscopic properties



"Malaria Pigment Crystals: The Achilles' Heel of the Malaria Parasite" [5]





S. Kapishnikov

E. Hempelmann



M. Elbaum



Als-

J.

Nielsen



L. Leiserowitz



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