

# Chemical Crystallography and Structural Chemistry

VO 270287

Lecture N° 9 — 10<sup>th</sup> June 2021

Dr. Tim Grüne  
Centre for X-ray Structure Analysis  
Faculty of Chemistry  
University of Vienna  
tim.gruene@univie.ac.at

---

**Reminder: Start the recording!**

# Contents

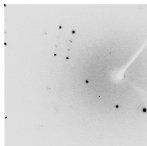
## 1 Structure Refinement

4

# 1 Structure Refinement

## Structure Refinement

Data collection



several GB



Data integration

0 0 -1	2.7	0.9
0 0 1	4.0	1.0
0 0 -2	1'257.0	35.5
0 0 -2	1'600.0	42.7

several  
100's MB



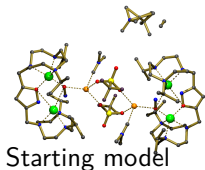
Data Scaling

0 0 -1	2.8	0.55
0 0 1	3.8	0.63
0 0 -2	1'432.0	95.7
0 0 -2	1'282.0	85.9

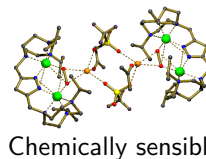
1 "hkl"-file, 50MB



Phasing



Refinement



## Model Building & Refinement

- Refinement optimises computationally the parameters of the structure with respect to the data
- Model building make modifications that are too large for computer optimisation, e.g.
  1. Addition or removal of atoms
  2. correction of atom types
  3. modelling of disorder and multiple conformations

## Structure parameters

A “structure” consists of a set of parameters, *i.e.* numbers. Refinement improves these numbers for make the structure better correspond to the data.

	X	Y	Z	occ.		
N2A	2	0.8142	0.9066	0.8201	11.00000	=
	0.0497	0.0413	0.0363	-0.0136	-0.0041	-0.0063
	U11	U22	U33	U23	U13	U12

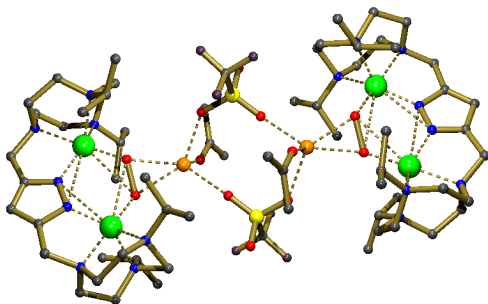
1. 3 atom coordinates  $x, y, z$

2. 6 atomic displacement parameters ADP  $\begin{pmatrix} U_{11} & U_{12} & U_{13} \\ & U_{22} & U_{23} \\ & & U_{33} \end{pmatrix}$

3. possibly 1 occupancy parameter for disorder

9 Parameters per atom of the asymmetric unit are being refined, plus extra parameters in case of disorder or other special circumstances

## Example for parameters [1]



- $C_{34}H_{63}Cu_2F_6N_8NaO_{9.5}S_2$
- 724 parameters
- 62.5 non-hydrogen atoms
- hydrogen atoms are “special”

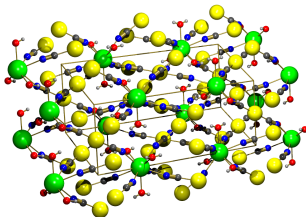
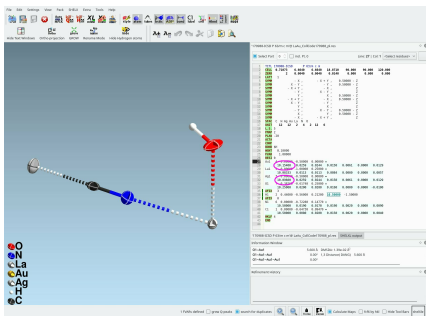


## Atom occupancy

- Asymmetric unit: average of all asymmetric units of the crystal
- Molecules do not always strictly follow symmetry
- Some atoms sit on “special position”, i.e. fix points of symmetry elements. Their occupancy is divided by the multiplicity of the symmetry element
- e.g. atom on three-fold axis: occupancy 33 %

## Atom occupancy

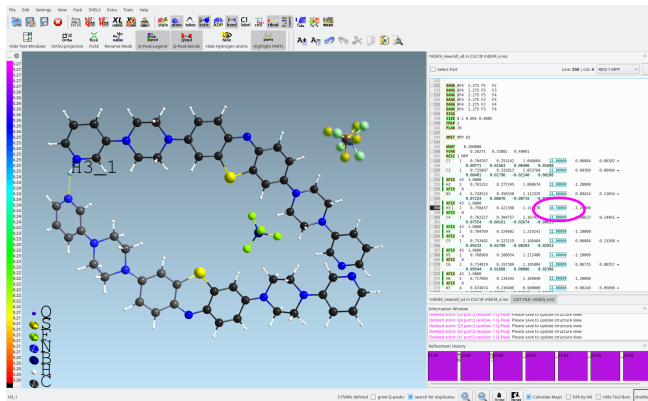
Example:  $La[Ag_{0.39}Au_{0.61}(CN)_2]_3 \cdot H_2O$  [2] 39% of all unit cells contain Ag, 61% contain Au at the same position



$$\text{occ}(\text{Au1}) + \text{occ}(\text{Ag1}) = 0.154 + 0.096 = 1/4 \text{ with 4-fold multiplicity}$$

## Atom occupancy [3]

Example: Disordered  $\text{BF}_4^-$  and one H-atom on special position



## Refinement = improvement of parameters

Computationally, refinement minimises the discrepancy between the observed data  $I_{\text{obs}}$  and the calculated data  $I_{\text{calc}}$ .  $I_{\text{calc}}$  is calculated from the model parameters, mainly atom coordinates  $x, y, z$  and atomic dispersion parameters ADPs

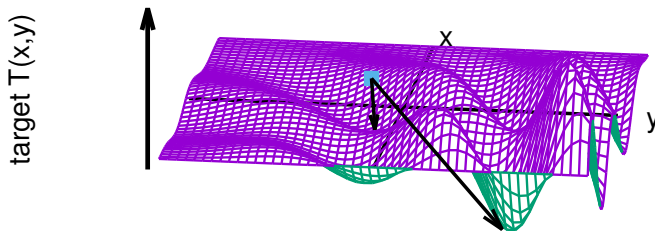
$$T(\vec{x}_i, U_i, (\text{occupancies}, \dots)) = \sum_{(hkl)} w(hkl) |I_{\text{obs}}(hkl) - I_{\text{calc}}(hkl)|^2$$

$w(hkl)$  downweights untrusted reflections, typically  $w(hkl) = 1/\sigma_I(hkl)$ .

Note: different refinement programs use different target functions.

## Least-square-minimisation

The shape of the target function  $T = \sum_{(hkl)} w(hkl) |I_{\text{obs}}(hkl) - I_{\text{calc}}(hkl)|^2$  enables optimisation based on least-squares method (L.S. command in SHELXL).

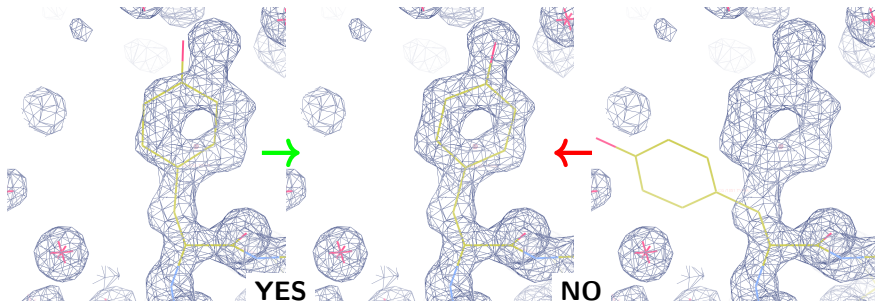


The algorithm finds the next minimum, but cannot jump across humps.

## Advantages and limitations of refinement

- Refinement finds the “next” local minimum
- only small changes in the structure
- does not add or remove atoms
- no change of element types
- one never knows whether the optimum is reached. However, for small molecules, the starting model usually converges to a good model.

## The “next” local minimum



## Model building

- manual modifications “help” refinement cross local humps
  - large movements of individual atoms (out of local traps)
  - delete wrong atoms
  - add missing atoms
  - correct atom type
- model building = add chemical understanding to the model
- graphic programs (Olex2, shelXle, ...)
- guided by the electron density map

Iterative process: improve model -> refine -> improve model -> refine -> ...



## Electron density map and difference map

$$\rho(x, y, z) = FT(|F_{\text{obs}}(hkl)|, \phi_{\text{calc model}}(hkl))$$

Fourier transformation from measured structure factor amplitudes  $|F_{\text{obs}}(hkl)|$  and calculated phases  $\phi_{\text{calc model}}(hkl)$

This model should follow this map.

The map

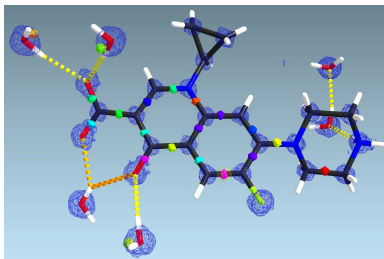
$$\Delta\rho(x, y, z) = FT(|F_{\text{obs}}(hkl)| - |F_{\text{calc}}(hkl)|, \phi_{\text{calc}}(hkl))$$

is called **difference map**. It reveals discrepancies between the model and the data.

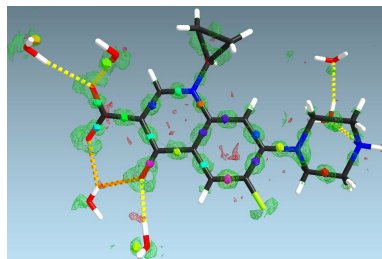
Model building and refinement aim at reducing these discrepancies.

## Example map: Ciprofloxacin [4]

Structure of Ciprofloxacin, [4], ultra high resolution 0.43 Å



$\rho(x, y, z)$  (usually blue mesh)



$\Delta\rho(x, y, z)$  (usually green / red mesh)

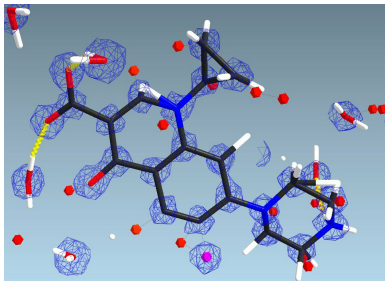
**positive**  $\Delta\rho$ : Model misses something. SHELXL places **Q-peaks**

**negative**  $\Delta\rho$ : model contains too much

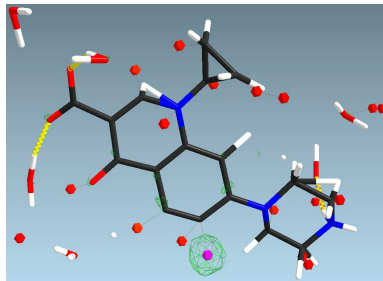
## Example map: Ciprofloxacin [4]

Structure of Ciprofloxacin, [4], ultra high resolution 0.43 Å

- data resolution truncated to 0.9 Å
- Fluorine atom  $F$  removed from model



$\rho(x, y, z)$  (blue mesh)

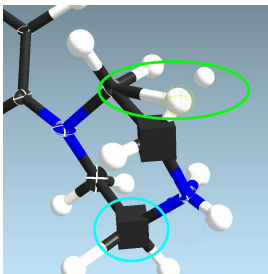


$\Delta\rho(x, y, z)$  (red / green mesh)

## Refinement without restraints

$$T(\vec{x}_i, \mathbf{U}_i) = \sum_{(hkl)} w(hkl)(I_{\text{data}}(hkl) - I_{\text{model}}(hkl))^2$$

This formula carries out **unrestrained refinement**, purely taking experimental data into account. With poor data, this **can** cause



- unrealistic bond distances and bond angles
- negative ADPs (cubes) are physically meaningless
- refinement can produce non-sense results

## Unrestrained refinement, example



Unrestrained refinement of  
protein structure with 1.4 Å  
resolution

## Data to parameter ratio

Example Ciprofloxacin, ( $a = 9.5\text{\AA}$ ,  $b = 9.9\text{\AA}$ ,  $c = 11.0\text{\AA}$ ,  $\alpha = 94.2^\circ$ ,  $\beta = 100.2^\circ$ ,  $\gamma = 91.3^\circ$ )

- $FC_{17}N_3O_9H_{30}$ :  $60 \times 9 = 540$  parameters

**0.43 Å resolution** 26'308 reflections.  $26'308 : 540 = 48.7$  data points per parameter: very high data to parameter ratio, data sufficient to produce chemically sensible structure

**0.8 Å resolution** 2'926 reflections.  $2'926 : 540 = 5.4$  data points per parameter: low data to parameter ratio, data insufficient to produce chemically sensible structure

Chemical sensible part need to be **restrained** -> restrained refinement

## Restrained refinement

Except for at very high resolution, the refinement program has to be told some chemistry to make sure the model remains chemically meaningful. There are two different types how this can be accomplished:

**Constraints** Express an equality and permit no deviation from fixed value

**Restraints** Express similarity and provide some flexibility from target value.

Restraints are much more common than constraints

## Constraints

- The structure of  $La[Ag_{0.39}Au_{0.61}(CN)_2]_3 \cdot H_2O$  has either gold or silver at one location.
- In every unit cell there is always one atom at this location

$$occ(Au) + occ(Ag) = 1$$

$$occ(Au) = 1 - occ(Ag)$$

- Only the occupancy of silver has to be determined. The occupancy of gold can be calculated (or *vice versa*)
- remark: the program SHELXL uses the command FVAR (“free variables”) to realise constraints.

**Each constraint reduces the number of parameters by 1**



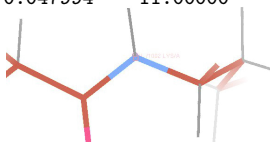
## Important constraints

negative ADP value, mainly for hydrogen atoms:  $U(\text{HA}) = 1.2 * U(\text{CA})$

CA	1	0.673087	0.878303	0.111632	11.00000	0.31129
HA	6	0.679625	0.855075	0.095775	11.00000	-1.20000

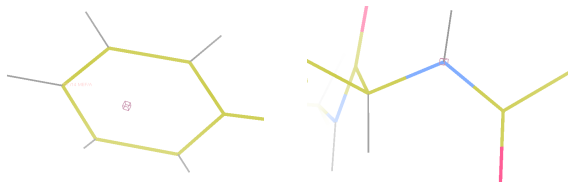
hydrogen positions: AFIX

N	3	0.611916	1.012005	0.052456	11.00000	0.18165
AFIX	43					
H	6	0.628491	1.011598	0.033498	11.00000	-1.20000
AFIX	0					
CB	1	0.622779	1.076653	0.067974	11.00000	0.18216
AFIX	23					
HB1	6	0.608063	1.103479	0.072220	11.00000	-1.20000
HB2	6	0.641195	1.080130	0.047994	11.00000	-1.20000
AFIX	0					



## AFIX: riding atom model

- Except for at very high resolution ( $d \ll 0.8 \text{ \AA}$ ), hydrogen atoms are invisible to X-rays
- the positions of most hydrogen atoms can be calculated: bond distances are known from spectroscopy, positions are determined by reducing steric clashes
- Advantages: hydrogen atoms do not add parameters, they contribute to VdW repulsion (BUMP command), they have a small, but non-zero contribution to the scattering.



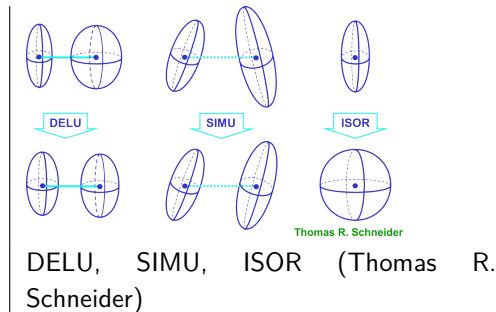
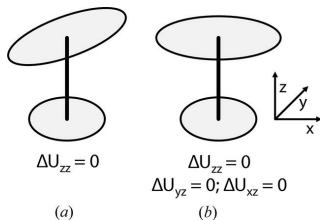
## Restraints: Geometry

- restraints can be expressed as inequality “ $\leq$ ”
- best known restraints: R. A. Engh, R. Huber, *Accurate Bond and Angle Parameters for X-ray Protein Structure Refinement*, Acta Crystallogr. (1991), **A47**, pp. 392–400; e.g.

$$|d(N, C_{\alpha}) - 1.458\text{\AA}| \leq 0.02 \quad |d(C_{\alpha}, C_{\beta}) - 1.521\text{\AA}| \leq 0.02$$

## Restraints: ADP values [5]

- restraints for ADPs: chemical bond affects thermal vibrations



## Restraints resemble data

Restraints are treated with additional terms to the target function:

$$T(\vec{x}_i, \mathbf{U}_i) = \sum_{hkl} w_{hkl} (I_{\text{data}}(hkl) - I_{\text{model}}(hkl))^2 + W \sum_{\text{N.B. } i} w_i (T_i^{\text{data}} - \langle T_i \rangle)^2$$

### Restraints act like additional data points

- $W$  weights restraints and observed data
- the higher the resolution, the lower weight  $W$
- the expected mean values  $\langle T_i \rangle$  can be derived statistically from high resolution structures, or sometimes can be computed quantum chemically

## Summary refinement & model building

- model building improves the model in large steps
- refinement optimises the model against the data
- constraints and restraints are used to ensure a chemically reasonable model
- constraints reduce the number of parameters, restraints act like data: both increase the data to parameter ratio

## References

- [1] K. Dalle et al. 'A weakly coupled biologically relevant  $Cu_2^{II}(\mu - \eta^1 : \eta^1 - O_2)$  cis-peroxo adduct that binds side-on to additional metal ions'. In: *J. Am. Chem. Soc.* 136 (2014), pp. 7428–7434. DOI: 10.1021/ja5025047.
- [2] Julie Clarissa F. Colis et al. 'Structural studies of lanthanide ion complexes of pure gold, pure silver and mixed metal (gold–silver) dicyanides'. In: *Dalton Trans.* (2005), pp. 675–679. DOI: 10.1039/B413967D.
- [3] Tim Gruene et al. 'Rapid structure determination of microcrystalline molecular compounds using electron diffraction'. In: *Angew. Chem., Int. Ed.* 57 (2018), pp. 16313–16317. DOI: 10.1002/anie.201811318.
- [4] Julian Jacob Holstein, Christian Bertram Hübschle and Birger Dittrich. 'Electrostatic properties of nine fluoroquinolone antibiotics derived directly from their crystal structure refinements'. In: *CrystEngComm* 14 (2012), pp. 2520–2531. DOI: 10.1039/C1CE05966A.
- [5] Andrea Thorn, Birger Dittrich and George M. Sheldrick. 'Enhanced rigid-bond restraints'. In: *Acta Crystallogr A* 68 (2012), pp. 448–451. DOI: 10.1107/S0108767312014535.