

**Some remarks on the paper „Hankel determinants for some common lattice paths“ by
R.A. Sulanke and G. Xin.**

by
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In [1] and in my unpublished survey paper [2] I have calculated Hankel determinants for the coefficients of two classes of generating functions which are associated with certain q -exponential functions. It turns out that the methods used in [3] give simpler proofs of these facts. Since the proofs are simple extensions of the proofs in [3] I shall only sketch the main ideas.

1) Let $F(z, x, y, q) = \sum_{n \geq 0} w(n, x, y, q)z^n$ satisfy

$$F(z, x, y, q) = 1 + (x + y)zF(z, x, y, q) + qxyz^2F(z, x, y, q)F(qz, x, y, q). \quad (1)$$

Then

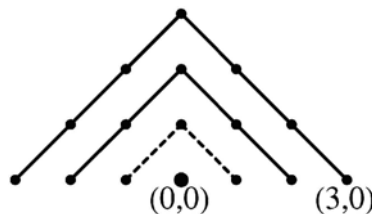
$$\det(w(i + j, x, y, q))_{i,j=0}^{n-1} = (xy)^{\binom{n}{2}} q^{\sum_{k=0}^{n-1} k^2} = (xy)^{\binom{n}{2}} q^{\frac{n(n-1)(2n-1)}{6}} \quad (2)$$

and

$$\det(w(i + j + 1, x, y, q))_{i,j=0}^{n-1} = (qxy)^{\binom{n}{2}} \frac{x^{n+1} - y^{n+1}}{x - y} q^{\frac{n(n-1)(2n-1)}{6}}. \quad (3)$$

Here $w(n, x, y, q)$ is the weight of the nonnegative paths from $(0, 0)$ to $(n, 0)$ with steps U, D and $H = (1, 0)$, where the weight is defined by $\omega(U) = 1$, $\omega(H) = q^i(x + y)$ if H is on the line $y = i$ and $\omega(D) = q^{2i+1}xy$ if the endpoint of D has height i . The weight of a path is the product of the weights of all its steps.

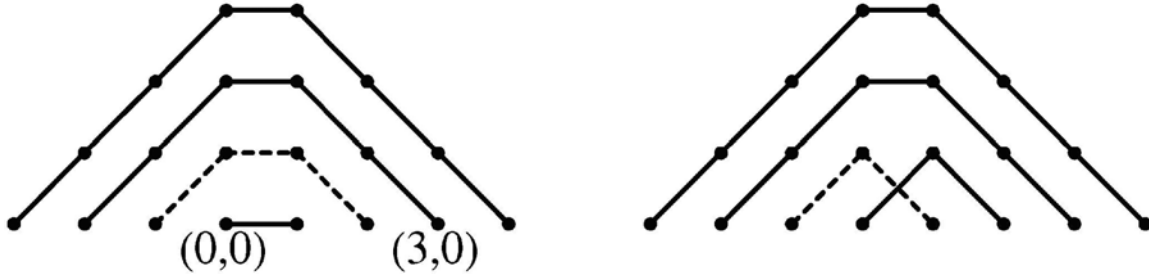
The Gessel-Viennot-Lindström method immediately gives the first Hankel determinant. I use the terminology of [3]. The only difference is that I use other weights. In [3], Proposition 1.1, is shown that each nonintersecting path is a sequence of U -steps followed by a sequence of D -steps as in the following figure.



These sequences have the weights $(xy)^i q^{i^2}$ for $i = 0, \dots, n - 1$. This implies (2).

If we set $c(n, x, y) = (xy)^{\binom{n}{2}} q^{\frac{n(n-1)(2n-1)}{6}}$, from the figure we also conclude that $c(n, x, y) = (qxy)^{n-1} c(n - 1, qx, qy)$.

The second Hankel determinant $d(n, x, y) = \det(w(i + j + 1, x, y, q))_{i,j=0}^{n-1}$ follows from [3], Proposition 2.2. As shown there the nonintersecting n -tuples belong to one of two types as in the following figure.



This gives

$$d(n, x, y) = (qxy)^{n-1}(x + y)d(n - 1, qx, qy) - (qxy)^2(qxyq^3xy)^{n-2}d(n - 2, q^2x, q^2y)$$

with

$$d(1, x, y) = x + y \text{ and } d(2, x, y) = q^2xy(x^2 + xy + y^2).$$

Now it is easily verified that

$$d(n, x, y) = (qxy)^{\binom{n}{2}} \frac{x^{n+1} - y^{n+1}}{x - y} q^{\frac{n(n-1)(2n-1)}{6}} = q^{\binom{n}{2}} \frac{x^{n+1} - y^{n+1}}{x - y} \det(H_n),$$

since this sequence satisfies the same recurrence and initial values.

$$\text{For } a(n, x, y) = \frac{x^{n+1} - y^{n+1}}{x - y}$$

satisfies

$$a(n, x, y) = (x + y)a(n - 1, x, y) - xya(n - 2, x, y).$$

Therefore

$$\begin{aligned} b(n, x, y) &= q^{\binom{n}{2}} a(n, x, y) = q^{\binom{n}{2}} ((x + y)a(n - 1, x, y) - xya(n - 2, x, y)) \\ &= (x + y)q^{\binom{n-1}{2}} a(n - 1, qx, qy) - qxyq^{\binom{n-2}{2}} a(n - 2, q^2x, q^2y) \\ &= (x + y)b(n - 1, qx, qy) - qxyb(n - 2, q^2x, q^2y) \end{aligned}$$

and

$$\begin{aligned} d(n, x, y) &= c(n, x, y)b(n, x, y) = (qxy)^{n-1} c(n - 1, qx, qy) ((x + y)b(n - 1, qx, qy) - qxyb(n - 2, q^2x, q^2y)) \\ &= (qxy)^{n-1}(x + y)d(n - 1, qx, qy) - (qxy)^n (q^3xy)^{n-2} d(n - 2, q^2x, q^2y) \end{aligned}$$

2) Let

$$f(z, x, y) = 1 + xzf(z, x, y) + yzf(z, x, y)f(qz, x, y) = \sum_{n \geq 0} c(n, x, y, q)z^n. \quad (4)$$

Then

$$\det(c(i + j, x, y, q))_{i,j=0}^{n-1} = q^{\frac{n(n-1)^2}{2}} y^{\binom{n}{2}} \prod_{j=0}^{n-2} (x + q^j y)^{n-1-j} \quad (5)$$

and

$$\det(c(i + j + 1, x, y, q))_{i,j=0}^{n-1} = q^{\frac{n^2(n-1)}{2}} y^{\binom{n}{2}} (x + y)^n (x + qy)^{n-1} \cdots (x + q^{n-2}y)(x + q^{n-1}y). \quad (6)$$

A modification of (4) gives

$$g(z, x, y) = 1 + xz^2 g(z, x, y) + yz^2 g(z, x, y) g(qz, x, y) = \sum_{n \geq 0} c(n, x, y, q^2) z^{2n}. \quad (7)$$

The corresponding lattice paths are the nonnegative paths with steps $U, D, H = (2, 0)$ where the weights are given by $\omega(H) = xq^{2i}z^2$ if H is on height i , $\omega(U) = q^{i-1}z$ if the endpoint of U is on height i and $\omega(D) = yq^{i-1}z$ if the initial point of D is on height i .

The Hankel determinant $\det \left(c(i+j, x, y, q^2) z^{2(i+j)} \right)_{i,j=0}^{n-1}$ corresponds to I-T-CONFIG of [3],

Lemma 2.2. The proof of [3], Lemma 2.2, shows that the i -th path of a nonintersecting n -tuple begins with $i-1$ U -steps from $(-2i+2, 0)$ to $(-i+1, i-1)$ and ends with $i-1$ D -steps from $(i-1, i-1)$ to $(2i-2, 0)$. From [3], Lemma 2.1, we see therefore that the

Hankel determinant equals $\det \left(q^{\binom{i-1}{2} + \binom{j-1}{2}} y^{j-1} z^{i+j-2} \omega(P'_{i,j}) \right)$, where $\omega(P'_{i,j})$ denotes the weight

of all paths in I-T-CONFIG-NEW of [3].

Let $A(z)$ denote the $n \times n$ -matrix with $A(z)_{i,j} = \omega(P'_{i,j})$.

Classifying according to the first step we see that

$$A(z)_{i,j} = q^{i-2} yz A(z)_{i-1,j} + q^{2i-2} xz^2 A(qz)_{i-1,j-1} + q^{i-1} z A(qz)_{i,j-1}$$

for $i > 1$ and $j > 1$ with $A(z)_{1,j} = q^{\binom{j-1}{2}} z^{j-1}$ and $A(z)_{i,1} = q^{\binom{i-1}{2}} (yz)^{i-1}$.

Define the $n \times n$ -matrix $M^{(n)}(0, z)$ by

$$M^{(n)}(0, z)_{i,j} := q^{\binom{i-1}{2} + \binom{j-1}{2}} y^{j-1} z^{i+j-2} A(z)_{i,j}$$

and the $n \times n$ -matrices $M^{(n)}(k, z)$ by

$$M^{(n)}(k, z)_{i,j} = \begin{cases} M^{(n)}(k-1, z)_{i,j} & \text{for } 1 \leq i \leq k \\ M^{(n)}(k-1, z)_{i,j} - yz^2 q^{2k+2i-6} M^{(n)}(k-1, z)_{i-1,j} & \text{for } k+1 \leq i \leq n \end{cases}$$

Then with the same reasoning as in [3] $M^{(n)}(n-1, z)$ is upper triangular with

$$M^{(n)}(n-1, z)_{i,i} = q^{(i-1)(3i-4)} y^{i-1} z^{4i-4} \prod_{j=0}^{i-2} (x + q^{2j} y).$$

First observe that

$$M^{(n)}(0, z)_{i,j} = q^{2i-4} yz^2 M^{(n)}(0, z)_{i-1,j} + q^{2i-2} xyz^4 M^{(n)}(0, qz)_{i-1,j-1} + yz^2 M^{(n)}(0, qz)_{i,j-1}$$

with

$$M^{(n)}(0, z)_{1,j} = q^{\binom{j-1}{2}} y^{j-1} z^{2j-2} \text{ and } M^{(n)}(0, z)_{i,1} = q^{\binom{i-1}{2}} y^{i-1} z^{2i-2}.$$

By induction we see that

a) $M^{(n)}(k, z)_{i,j} = q^{2i+2k-4} yz^2 M^{(n)}(k, z)_{i-1,j} + q^{2i-2} xyz^4 M^{(n)}(k, qz)_{i-1,j-1} + yz^2 M^{(n)}(k, qz)_{i,j-1}$
for $i, j > k$,

b) $M^{(n)}(k, z)_{i,i} = q^{(i-1)(3i-4)} y^{i-1} z^{4i-4} \prod_{j=0}^{i-2} (x + q^{2j} y)$ for $i \leq k$,

c) $M^{(n)}(k, z)_{i,j} = 0$ for $i > j$ and $j \leq k$, and

d) $M^{(n)}(k, z)_{i,k+1} = q^{(i-1)(i+2k-2)} y^{i-1} z^{2i+2k-2} \prod_{j=0}^{k-1} (x + q^{2j} y)$ for $i \geq k+1$.

To prove a) let

$$w(i, j, k+1, z) = M^{(n)}(k, z)_{i,j} - yz^2 q^{2k+2i-4} M^{(n)}(k, z)_{i-1,j}.$$

Then

$$\begin{aligned} & w(i, j, k+1, z) - (q^{2i+2k-2} yz^2 w(i-1, j, z) + q^{2i-2} xyz^4 w(i-1, j-1, qz) + yz^2 w(i, j-1, qz)) \\ &= M^{(n)}(k, z)_{i,j} - yz^2 q^{2k+2i-4} M^{(n)}(k, z)_{i-1,j} - q^{2i-2} xyz^4 M^{(n)}(k, qz)_{i-1,j-1} - yz^2 M^{(n)}(k, qz)_{i,j-1} \\ & - yz^2 q^{2i+2k-2} M^{(n)}(k, z)_{i-1,j} + yz^2 q^{2i+2k-2} yz^2 q^{2k+2i-6} M^{(n)}(k, z)_{i-2,j} \\ & + q^{2i-2} xyz^4 yq^2 z^2 q^{2k+2i-6} M^{(n)}(k, qz)_{i-2,j-1} + yz^2 yq^2 z^2 q^{2k+2i-4} M^{(n)}(k, qz)_{i-1,j-2} \\ &= -yz^2 q^{2i+2k-2} (M^{(n)}(k, z)_{i-1,j} - yz^2 q^{2k+2i-6} M^{(n)}(k, z)_{i-2,j} - q^{2i-4} xyz^4 M^{(n)}(k, qz)_{i-2,j-1} - yz^2 M^{(n)}(k, qz)_{i-1,j-2}) = 0. \end{aligned}$$

For $k=0$ b) and c) are not defined and d) is true.

For $k=1$ a) is true. b) is true because $M^{(n)}(1, z)_{1,1} = M^{(n)}(0, z)_{1,1} = 1$,

c) follows from

$$M^{(n)}(1, z)_{i,1} = M^{(n)}(0, z)_{i,1} - yz^2 q^{2i-4} M^{(n)}(0, z)_{i-1,1} = q^{\binom{i-1}{2}} y^{i-1} z^{2i-2} - yz^2 q^{2i-4} q^{\binom{i-2}{2}} y^{i-2} z^{2i-4} = 0$$

for $i > 1$.

d) says that for $i \geq 2$ we have

$$M^{(n)}(1, z)_{i,2} = q^{(i-1)i} y^{i-1} z^{2i} (x + y).$$

Now we have by definition

$$M^{(n)}(1, z)_{i,2} = M^{(n)}(0, z)_{i,2} - yz^2 q^{2i-4} M^{(n)}(0, z)_{i-1,2}$$

and by a) for $k=0$

$$M^{(n)}(1, z)_{i,2} = M^{(n)}(0, z)_{i,2} - yz^2 q^{2i-4} M^{(n)}(0, z)_{i-1,2} = q^{2i-2} xyz^4 M^{(n)}(0, qz)_{i-1,1} + yz^2 M^{(n)}(0, qz)_{i,1}.$$

By property d) for $k=0$ the right hand side is

$$\begin{aligned} & q^{2i-2} xyz^4 M^{(n)}(0, qz)_{i-1,1} + yz^2 M^{(n)}(0, qz)_{i,1} = q^{2i-2} xyz^4 q^{\binom{i-2}{2}} y^{i-2} (qz)^{2i-4} + yz^2 q^{\binom{i-1}{2}} y^{i-1} (qz)^{2i-2} \\ &= q^{(i-1)i} y^{i-1} z^{2i} (x + y). \end{aligned}$$

Therefore for $k=1$ all properties are true. Now suppose them to hold for $k-1$.

Then for k we have that a) is true.

In order to show b) we have to show that $M^{(n)}(k, z)_{k,k} = q^{(k-1)(3k-4)} y^{k-1} z^{4k-4} \prod_{j=0}^{k-2} (x + q^{2j} y)$.

But $M^{(n)}(k, z)_{k,k} = M^{(n)}(k-1, z)_{k,k} = q^{(k-1)(k+2k-4)} y^{k-1} z^{2k+2k-4} \prod_{j=0}^{k-2} (x + q^{2j} y)$ follows from d) for $k-1$.

For c) we have to show that $M^{(n)}(k, z)_{i,k} = 0$ for $i > k$.

Here we use d) for $k-1$:

$$\begin{aligned} M^{(n)}(k, z)_{i,k} &= M^{(n)}(k-1, z)_{i,k} - yz^2 q^{2k+2i-6} M^{(n)}(k-1, z)_{i-1,k} \\ &= q^{(i-1)(i+2k-4)} y^{i-1} z^{2i+2k-4} \prod_{j=0}^{k-2} (x + q^{2j} y) - yz^2 q^{2k+2i-6} q^{(i-2)(i+2k-5)} y^{i-2} z^{2i+2k-6} \prod_{j=0}^{k-2} (x + q^{2j} y) = 0. \end{aligned}$$

Finally we have to show d)

$$M^{(n)}(k, z)_{i,k+1} = q^{(i-1)(i+2k-2)} y^{i-1} z^{2i+2k-2} \prod_{j=0}^{k-1} (x + q^{2j} y) \text{ for } i \geq k+1.$$

By definition

$$M^{(n)}(k, z)_{i,k+1} = M^{(n)}(k-1, z)_{i,k+1} - yz^2 q^{2k+2i-6} M^{(n)}(k-1, z)_{i-1,k+1}.$$

Using a) we get

$$M^{(n)}(k, z)_{i,k+1} = q^{2i-2} x y z^4 M^{(n)}(k-1, qz)_{i-1,k} + yz^2 M^{(n)}(k-1, qz)_{i,k}.$$

By d) for $k-1$ this equals

$$\begin{aligned} & q^{2i-2} x y z^4 q^{(i-2)(i+2k-5)} y^{i-2} (qz)^{2i+2k-6} \prod_{j=0}^{k-2} (x + q^{2j} y) + yz^2 q^{(i-1)(i+2k-4)} y^{i-1} (qz)^{2i+2k-4} \prod_{j=0}^{k-2} (x + q^{2j} y) \\ &= y^{i-1} z^{2i+2k-2} q^{(i-1)(i+2k-2)} (x + q^{2k-2} y) \prod_{j=0}^{k-2} (x + q^{2j} y). \end{aligned}$$

Since all $M^{(n)}(j, z)$ have the same determinant we get

$$\det M^{(n)}(0, z) = \det M^{(n)}(n-1, z) = q^{n(n-1)^2} y^{\binom{n}{2}} z^{2n^2-2n} \prod_{j=0}^{n-2} (x + q^{2j} y)^{n-1-j}.$$

By the Gessel-Viennot-Lindström theorem

$\det(c(i+j, x, y, q^2) z^{2i+2j})_{i,j=0}^{n-1}$ equals the sum of the signed weights of the nonintersecting n -tuples in the original configuration. Since all such n -tuples belong to the new configuration we see that

$$\det(c(i+j, x, y, q^2) z^{2(i+j)})_{i,j=0}^{n-1} = \det M^{(n)}(0, z) = \det M^{(n)}(n-1, z) = q^{n(n-1)^2} y^{\binom{n}{2}} z^{2n^2-2n} \prod_{j=0}^{n-2} (x + q^{2j} y)^{n-1-j}.$$

By choosing $z = 1$ and $q^2 \rightarrow q$ we get (5).

For the second Hankel determinant consider the initial points $(-2n+1, 0), \dots, (-1, 0)$ and the terminal points $(1, 0), (3, 0), \dots, (2n-1, 0)$.

There are again two classes of nonintersecting n -tuples. The first class contains the horizontal step H from -1 to 1 , and the second class contains there instead the sequence UD .

But precisely these steps also occur if we prepend a U – step and a D – step to every path. Therefore the weight of all such paths is

$$\frac{\det M^{(n+1)}\left(n, \frac{z}{q}\right)}{(zq^{-1})^{2n} y^n} = \frac{M^{(n+1)}(n, z)}{z^{2n} q^{2n^2} y^n}.$$

This implies (6).

The special case $x = 0, y = 1$ of (4) gives

$$f(z, 0, 1) = 1 + zf(z, 0, 1)f(qz, 0, 1) = \sum_{n \geq 0} C_n(q) z^n.$$

In this case it is easily verified that $M^{(n)}(0, 1)_{i,j} = q^{\binom{i-1}{2} + \binom{j-1}{2}} \begin{bmatrix} i+j-2 \\ j-1 \end{bmatrix}$.

The polynomials $C_n(q)$ are the q – Catalan numbers of Carlitz.

In this case $\det \left(C_{i+j}(q) \right)_{i,j=0}^{n-1} = \det \left(q^{\binom{i}{2} + \binom{j}{2}} \begin{bmatrix} i+j \\ j \end{bmatrix} \right)_{i,j=0}^{n-1}$ is an interesting relationship between q – binomial coefficients and q – Catalan numbers.

References

- [1] J. Cigler, q – Catalan numbers and q – Narayana polynomials, arXiv math. CO/ 0507225 (some newer versions can be found in <http://homepage.univie.ac.at/johann.cigler/>)
- [2] J. Cigler, Mathematische Randbemerkungen 7: Catalanzahlen und verwandte Zahlenfolgen, <http://homepage.univie.ac.at/johann.cigler/>
- [3] R.A. Sulanke & G. Xin, Hankel determinants for some common lattice paths, Advances Appl. Math. 40(2008), 149-167