

qRSt: A probabilistic Robinson-Schensted correspondence for Macdonald polynomials

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joint work with Gabriel Frieden

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Outline

- The classical Robinson-Schensted correspondence
- Macdonald polynomials
- Probabilistic bijections
- A probabilistic Robinson-Schensted correspondence
- Properties of $qRSt$

Semistandard Young tableaux

Definition

Let λ be a partition. A **semistandard Young tableau** (SSYT) T of shape λ is a filling of the cells of λ with positive integers such that

- the rows are weakly increasing from left to right,
- the columns are strictly increasing from bottom to top (French notation).

Denote by $\text{SSYT}(\lambda)$ the set of SSYT's of shape λ .

Example.

4	5			
2	3	4	4	
1	1	2	3	3

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 $(2, 2, 3, 3, 1)$

The **content** of an SSYT T is (μ_1, μ_2, \dots) where μ_i is the number of entries i in T ; denote by $\mathbf{x}^T = \prod_i x_i^{\mu_i}$.

Schur polynomials

Definition

Let λ be a partition. The **Schur polynomial** $s_\lambda(\mathbf{x})$ is defined as the sum

$$\sum_{T \in \text{SSYT}(\lambda)} \mathbf{x}^T.$$

Theorem (Cauchy identity)

For two sequences of indeterminates $\mathbf{x} = (x_1, x_2, \dots)$ and $\mathbf{y} = (y_1, y_2, \dots)$, we have

$$\prod_{i,j} \frac{1}{1 - x_i y_j} = \sum_{\lambda} s_\lambda(\mathbf{x}) s_\lambda(\mathbf{y}).$$

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$$\sum_{A=(a_{i,j})} \prod_{i,j} (x_i y_j)^{a_{i,j}} = \prod_{i,j} \frac{1}{1 - x_i y_j} = \sum_{\lambda} s_\lambda(\mathbf{x}) s_\lambda(\mathbf{y}).$$

In this talk we are interested in the squarefree part, i.e., the coefficient of $x_1 \cdots x_n y_1 \cdots y_n$.

Young's lattice

For two partitions λ, μ we write

- $\mu \subseteq \lambda$ if the Young diagram of μ is contained in that of λ ,
- $\mu \triangleleft \lambda$, if λ covers μ , i.e., $\mu \subseteq \lambda$ and $|\lambda| = |\mu| + 1$.

We define the **up operator** U and **down operator** D on the \mathbb{Q} -vector space generated by all partitions as

$$U\lambda = \sum_{\nu \triangleright \lambda} \nu, \quad D\lambda = \sum_{\mu \triangleleft \lambda} \mu.$$

Example. $U \left(\begin{array}{|c|c|} \hline \square & \square \\ \hline \square & \square \\ \hline \end{array} \right) = \begin{array}{|c|c|} \hline \square & \square \\ \hline \square & \square \\ \hline \square & \square \\ \hline \end{array} + \begin{array}{|c|} \hline \square \\ \hline \square \\ \hline \square \\ \hline \end{array}, \quad D \left(\begin{array}{|c|c|} \hline \square & \square \\ \hline \square & \square \\ \hline \end{array} \right) = \begin{array}{|c|} \hline \square \\ \hline \square \\ \hline \end{array}.$

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Theorem

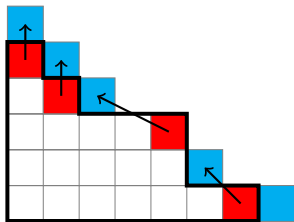
The two operators satisfy the commutation relation

$$DU - UD = I.$$

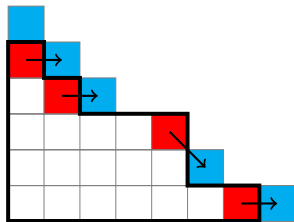
Bijjective proof of the commutation relation

The commutation relation $DU = UD + I$ is equivalent to

$$\begin{aligned} |\{\nu \succ \lambda\}| &= |\{\mu \prec \lambda\} \cup \{\lambda\}|, & \forall \lambda, \\ |\{\nu \succ \lambda, \rho\}| &= |\{\mu \prec \lambda, \rho\}|, & \forall \lambda \neq \rho. \end{aligned}$$



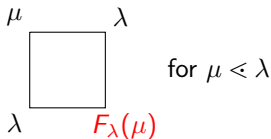
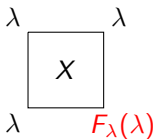
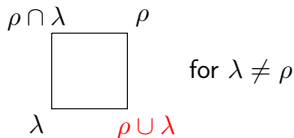
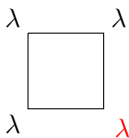
F_{λ}^{row}



F_{λ}^{col}

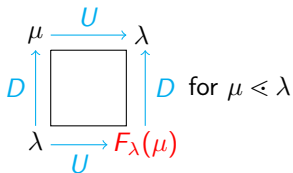
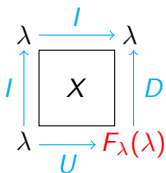
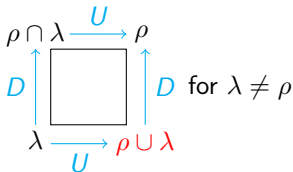
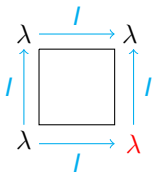
Local growth rules

Let $F_\lambda : \{\mu \triangleleft \lambda\} \cup \{\lambda\} \rightarrow \{\nu \triangleright \lambda\}$ is a bijection; in our case $F_\lambda = F_\lambda^{\text{row}}$.
The **local growth rules** are an assignment of a partition to the bottom right corner of a square according to one of the four cases



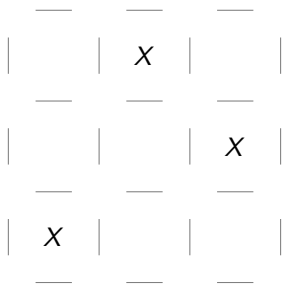
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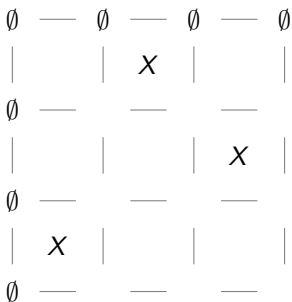
Fomin growth diagram

We consider a permutation matrix as an $n \times n$ grid of squares and associate permutations to the vertices recursively following the local growth rules.



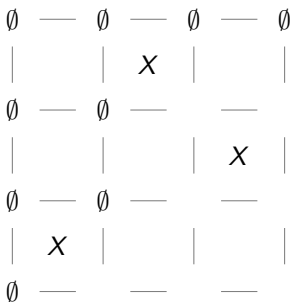
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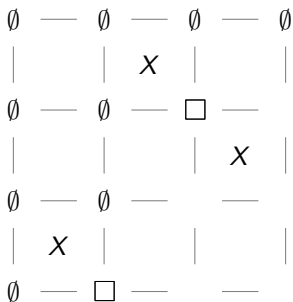
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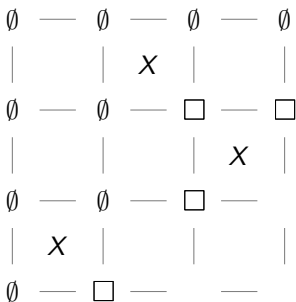
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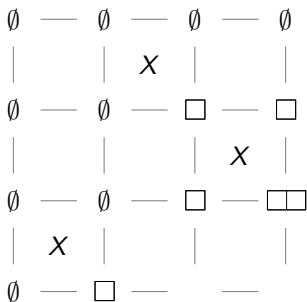
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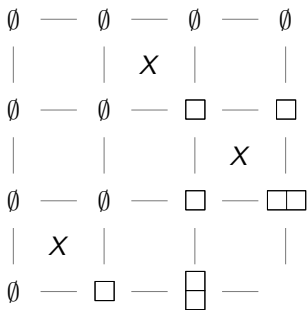
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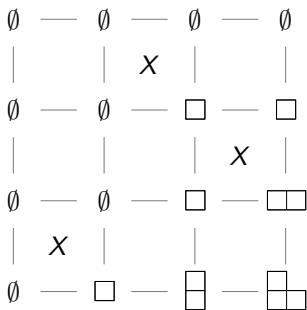
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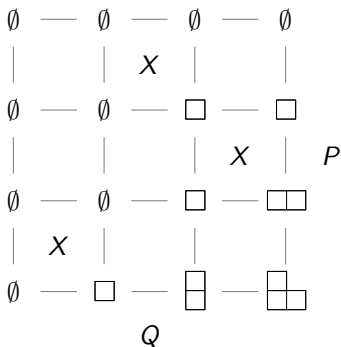
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The i th partition along the right (bottom) boundary give the shape of the subtableau of P (Q) with entries at most i .

In our example we obtain

$$(P, Q) = \left(\begin{array}{|c|c|} \hline 3 & \\ \hline 1 & 2 \\ \hline \end{array}, \begin{array}{|c|c|} \hline 2 & \\ \hline 1 & 3 \\ \hline \end{array} \right)$$

Macdonald Polynomials

We define Macdonald polynomials by

$$P_\lambda(\mathbf{x}; q, t) = \sum_{T \in \text{SSYT}(\lambda)} \psi_T(q, t) \mathbf{x}^T,$$
$$Q_\lambda(\mathbf{x}; q, t) = \sum_{T \in \text{SSYT}(\lambda)} \varphi_T(q, t) \mathbf{x}^T,$$

where ψ, φ are certain rational functions in q, t .

Theorem (Macdonald)

Let $\mathbf{x} = (x_1, x_2, \dots)$ and $\mathbf{y} = (y_1, y_2, \dots)$ be two sets of variables. Then

$$\prod_{i,j \geq 1} \prod_{k=0}^{\infty} \frac{1 - tx_i y_j q^k}{1 - x_i y_j q^k} = \sum_{\lambda} P_\lambda(\mathbf{x}; q, t) Q_\lambda(\mathbf{y}; q, t).$$

Again we are interested in the squarefree part, i.e., the coefficient of $x_1 \cdots x_n y_1 \cdots y_n$.

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$$\sum_{A=(a_{i,j})} \prod_{i,j \geq 1} (x_i y_j)^{a_{i,j}} \prod_{k=0}^{a_{i,j}-1} \frac{1 - tq^k}{1 - q^{k+1}} = \sum_{\lambda} P_\lambda(\mathbf{x}; q, t) Q_\lambda(\mathbf{y}; q, t).$$

Again we are interested in the squarefree part, i.e., the coefficient of $x_1 \cdots x_n y_1 \cdots y_n$.

The coefficient of $x_1x_2y_1y_2$ of the Cauchy identity

weight of A	A	(P, Q)	$\psi_P(q, t)\varphi_Q(q, t)$
$\frac{(1-t)^2}{(1-q)^2}$	$\begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}$	$\boxed{1\ 2}, \boxed{1\ 2}$	$\frac{(1-t)^3(1-q^2)}{(1-q)^3(1-qt)}$
$\frac{(1-t)^2}{(1-q)^2}$	$\begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}$	$\begin{array}{ c c } \hline 2 & 2 \\ \hline 1 & 1 \\ \hline \end{array}$	$\frac{(1-t)(1-t^2)}{(1-q)(1-qt)}$

The Ups and Downs of Macdonald polynomials

The Macdonald weights are defined “recursively”:

$$\psi_T(\mathbf{q}, t) = \prod_i \psi_{T^{(i)}/T^{(i-1)}}(\mathbf{q}, t), \quad \varphi_T(\mathbf{q}, t) = \prod_i \varphi_{T^{(i)}/T^{(i-1)}}(\mathbf{q}, t),$$

where $T^{(i)}$ is the shape of the subtableau of an SSYT T of entries at most i . The ψ, φ are again rational functions in \mathbf{q}, t .

We define the (\mathbf{q}, t) -up and down operator as

$$U_{\mathbf{q}, t} \lambda = \sum_{\nu \triangleright \lambda} \psi_{\nu/\lambda}(\mathbf{q}, t) \nu, \quad D_{\mathbf{q}, t} \lambda = \sum_{\mu \triangleleft \lambda} \varphi_{\lambda/\mu}(\mathbf{q}, t) \mu.$$

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We define the (q, t) -up and down operator as

$$U_{q,t}\lambda = \sum_{\nu \succ \lambda} \psi_{\nu/\lambda}(q, t)\nu, \quad D_{q,t}\lambda = \sum_{\mu \prec \lambda} \varphi_{\lambda/\mu}(q, t)\mu.$$

Theorem

The (q, t) -up and down operator satisfy the commutation relation

$$D_{q,t}U_{q,t} - U_{q,t}D_{q,t} = \frac{1-q}{1-t}I.$$

An equivalent formulation

The commutation relation

$$D_{q,t}U_{q,t} = U_{q,t}D_{q,t} + \frac{1-q}{1-t}I,$$

is equivalent to the two equations

$$\begin{aligned}\sum_{\nu \triangleright \lambda, \rho} \psi_{\nu/\lambda}(q, t) \varphi_{\nu/\rho}(q, t) &= \sum_{\mu \triangleleft \lambda, \rho} \varphi_{\lambda/\mu}(q, t) \psi_{\rho/\mu}(q, t), \\ \sum_{\nu \triangleright \lambda} \psi_{\nu/\lambda}(q, t) \varphi_{\nu/\lambda}(q, t) &= \frac{1-q}{1-t} + \sum_{\mu \triangleleft \lambda} \varphi_{\lambda/\mu}(q, t) \psi_{\lambda/\mu}(q, t),\end{aligned}$$

for all $\lambda \neq \rho$.

Probabilistic bijections

Let X, Y be two sets equipped with weight functions $\omega : X \rightarrow k$, $\bar{\omega} : Y \rightarrow k$, where k is a field. A **probabilistic bijection** from (X, ω) to $(Y, \bar{\omega})$ is a pair of maps $\mathcal{P}, \bar{\mathcal{P}} : X \times Y \rightarrow k$ such that

$$\sum_{y \in Y} \mathcal{P}(x, y) = 1 \quad \forall x \in X,$$

$$\sum_{x \in X} \bar{\mathcal{P}}(x, y) = 1 \quad \forall y \in Y,$$

$$\omega(x)\mathcal{P}(x, y) = \bar{\omega}(y)\bar{\mathcal{P}}(x, y) \quad \forall x \in X, y \in Y.$$

We usually write $\mathcal{P}(x \rightarrow y) := \mathcal{P}(x, y)$ and $\bar{\mathcal{P}}(x \leftarrow y) := \bar{\mathcal{P}}(x, y)$.

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Lemma

If $\mathcal{P}, \bar{\mathcal{P}}$ is a probabilistic bijection from (X, ω) to $(Y, \bar{\omega})$, then

$$\sum_{x \in X} \omega(x) = \sum_{y \in Y} \bar{\omega}(y).$$

The weighted sets

We regard the sets $\{\mu \triangleleft \lambda\} \cup \{\lambda\}$ and $\{\nu \triangleright \lambda\}$ with weights

$$\omega(\mu) = \begin{cases} 1 & \mu = \lambda, \\ \frac{1-q}{1-t} \varphi_{\lambda/\mu}(q, t) \psi_{\lambda/\mu}(q, t) & \text{otherwise,} \end{cases}$$
$$\bar{\omega}(\nu) = \frac{1-q}{1-t} \psi_{\nu/\lambda}(q, t) \varphi_{\nu/\lambda}(q, t).$$

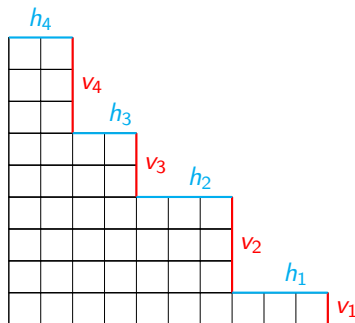
Hence, we need to show $\sum_{\mu \triangleleft \lambda \text{ or } \mu = \lambda} \omega(\mu) = \sum_{\nu \triangleright \lambda} \bar{\omega}(\nu)$.

We prove this by finding a probabilistic bijection $\mathcal{P}_\lambda, \bar{\mathcal{P}}_\lambda$ from $(\{\mu \triangleleft \lambda\} \cup \{\lambda\}, \omega)$ to $(\{\nu \triangleright \lambda\}, \bar{\omega})$.

A few more notations

Denote by

- (h_1, \dots, h_d) the horizontal segment lengths on the boundary of λ ,
- (v_1, \dots, v_d) the vertical segment lengths on the boundary of λ .



Let

$$x_i := h_1 + \dots + h_i,$$

$$y_i := v_1 + \dots + v_i.$$

Define for $0 \leq r, s \leq d$

- $\lambda^{(+s)}$ by adding a box to λ in row $y_s + 1$,
- $\lambda^{(-r)}$ by deleting a box of λ in row y_r .

The probabilities

Write $p_{r,s} := \mathcal{P}_\lambda (\lambda^{(-r)} \rightarrow \lambda^{(+s)})$ and $\bar{p}_{r,s} := \bar{\mathcal{P}}_\lambda (\lambda^{(-r)} \leftarrow \lambda^{(+s)})$. Then

$$p_{0,s} = \frac{\prod_{i=1}^d (q^{x_s} t^{y_s} - q^{x_{i-1}} t^{y_i})}{\prod_{\substack{i=0 \\ i \neq s}}^d (q^{x_s} t^{y_s} - q^{x_i} t^{y_i})}, \quad \bar{p}_{0,s} = \frac{\prod_{i=1}^d (q^{x_s-1} t^{y_s+1} - q^{x_{i-1}} t^{y_i})}{\prod_{\substack{i=0 \\ i \neq s}}^d (q^{x_s-1} t^{y_s+1} - q^{x_i} t^{y_i})},$$

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and for $r > 0$,

$$p_{r,s} = \prod_{\substack{i=0 \\ i \neq s}}^d \frac{q^{x_{r-1}+1} t^{y_{r-1}} - q^{x_i} t^{y_i}}{q^{x_s} t^{y_s} - q^{x_i} t^{y_i}} \prod_{\substack{i=1 \\ i \neq r}}^d \frac{q^{x_s} t^{y_s} - q^{x_{i-1}} t^{y_i}}{q^{x_{r-1}+1} t^{y_{r-1}} - q^{x_{i-1}} t^{y_i}},$$
$$\bar{p}_{r,s} = \prod_{\substack{i=0 \\ i \neq s}}^d \frac{q^{x_{r-1}} t^{y_r} - q^{x_i} t^{y_i}}{q^{x_s-1} t^{y_s+1} - q^{x_i} t^{y_i}} \prod_{\substack{i=1 \\ i \neq r}}^d \frac{q^{x_s-1} t^{y_s+1} - q^{x_{i-1}} t^{y_i}}{q^{x_{r-1}} t^{y_r} - q^{x_{i-1}} t^{y_i}}.$$

Our main Theorem

Theorem (A.-Frieden)

The pair $\mathcal{P}_\lambda, \overline{\mathcal{P}}_\lambda$ are a probabilistic bijection from $(\{\mu \leq \lambda\} \cup \{\lambda\}, \omega)$ to $(\{\nu \geq \lambda\}, \overline{\omega})$.

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The pair $\mathcal{P}_\lambda, \overline{\mathcal{P}}_\lambda$ are a probabilistic bijection from $(\{\mu \triangleleft \lambda\} \cup \{\lambda\}, \omega)$ to $(\{\nu \triangleright \lambda\}, \overline{\omega})$.

The probabilities $\mathcal{P}_\lambda, \overline{\mathcal{P}}_\lambda$ are defined such that

$$\omega \left(\lambda^{(-r)} \right) \mathcal{P}_\lambda \left(\lambda^{(-r)} \rightarrow \lambda^{(+s)} \right) = \overline{\omega} \left(\lambda^{(+s)} \right) \overline{\mathcal{P}}_\lambda \left(\lambda^{(-r)} \leftarrow \lambda^{(+s)} \right),$$

holds for all $0 \leq r, s \leq d$. Therefore, it suffices to prove

$$\sum_{s=0}^d \mathcal{P}_\lambda \left(\lambda^{(-r)} \rightarrow \lambda^{(+s)} \right) = 1 \quad \forall 0 \leq r \leq d,$$
$$\sum_{r=0}^d \overline{\mathcal{P}}_\lambda \left(\lambda^{(-r)} \leftarrow \lambda^{(+s)} \right) = 1 \quad \forall 0 \leq s \leq d.$$

About the proof

We present the proof for $\sum_{s=0}^d \mathcal{P}_\lambda(\lambda \rightarrow \lambda^{(+s)}) = 1$. By definition we have

$$\sum_{s=0}^d \mathcal{P}_\lambda(\lambda \rightarrow \lambda^{(+s)}) = \sum_{s=0}^d \frac{\prod_{i=1}^d (q^{x_s} t^{y_s} - q^{x_{i-1}} t^{y_i})}{\prod_{\substack{i=0 \\ i \neq s}}^d (q^{x_s} t^{y_s} - q^{x_i} t^{y_i})}.$$

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The right hand side is actually the leading coefficient of the polynomial (in x)

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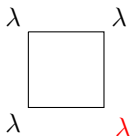
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$$\sum_{s=0}^d \prod_{i=1}^d (a_s - b_i) \prod_{\substack{i=0 \\ i \neq s}}^d \frac{x - a_i}{a_s - a_i} = \prod_{i=1}^d (x - b_i),$$

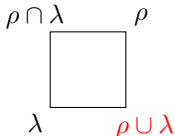
and hence equal to 1.

The probabilistic local growth rules

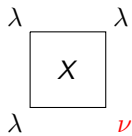
Let $\lambda \neq \rho$ be partitions and $\nu \succ \lambda \succ \mu$. We assign a partition to the bottom right corner of a square according to one of the four cases and their corresponding probabilities.



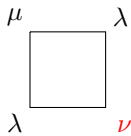
1



1



$\mathcal{P}_\lambda(\lambda \rightarrow \nu)$



$\mathcal{P}_\lambda(\mu \rightarrow \nu)$

For the $qRSt$ algorithm we use the probabilistic local growth rules instead of the deterministic ones.

Theorem (A.-Frieden)

The $qRSt$ algorithm allows a probabilistic bijection proof of the square-free part of the Cauchy identity.

Inverting q and t

The Macdonald polynomials are invariant under inverting q and t ,

$$P_\lambda(\mathbf{x}; q^{-1}, t^{-1}) = P_\lambda(\mathbf{x}; q, t), \quad Q_\lambda(\mathbf{x}; q^{-1}, t^{-1}) = Q_\lambda(\mathbf{x}; q, t).$$

The weights $\omega, \bar{\omega}$ are also invariant, the probabilities $\mathcal{P}_\lambda, \bar{\mathcal{P}}_\lambda$ however not!

Define new probabilities

$$\begin{aligned} \mathcal{P}_\lambda^{col} &= \mathcal{P}_\lambda|_{(q,t) \mapsto (q^{-1}, t^{-1})}, \\ \bar{\mathcal{P}}_\lambda^{col} &= \bar{\mathcal{P}}_\lambda|_{(q,t) \mapsto (q^{-1}, t^{-1})}. \end{aligned}$$

Theorem (A.-Frieden)

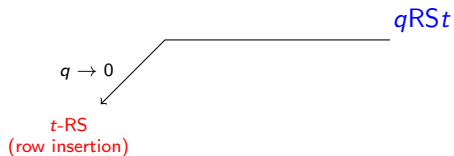
The maps $\mathcal{P}_\lambda^{col}, \bar{\mathcal{P}}_\lambda^{col}$ are probabilistic bijections. The induced RS algorithm specialises for $q, t \rightarrow 0$ to the column insertion version of Robinson-Schensted.

Specialisations of $qRSt$

$qRSt$

Macdonald polynomials

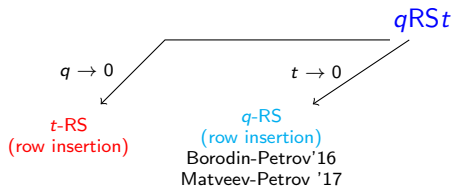
Specialisations of $qRSt$



Macdonald polynomials

Hall-Littlewood polynomials

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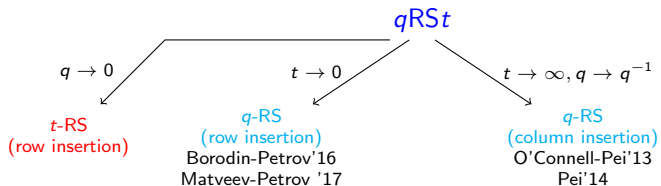


Macdonald polynomials

Hall-Littlewood polynomials

q Whittaker polynomials

Specialisations of $qRSt$

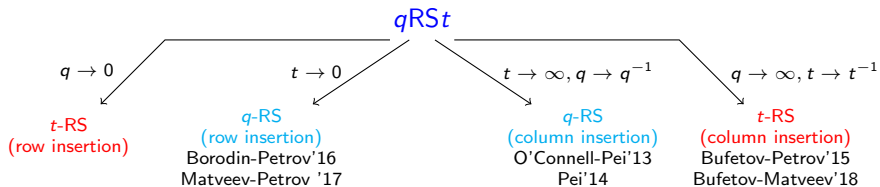


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Hall-Littlewood polynomials

q Whittaker polynomials

Specialisations of $qRSt$

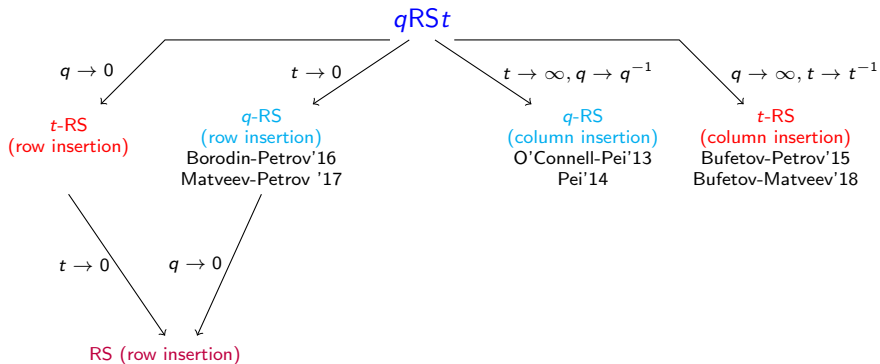


Macdonald polynomials

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q Whittaker polynomials

Specialisations of $qRSt$



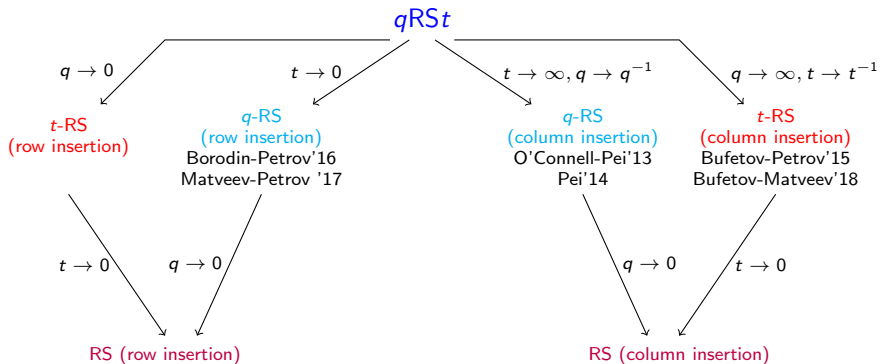
Macdonald polynomials

Hall-Littlewood polynomials

q Whittaker polynomials

Schur polynomials

Specialisations of $qRSt$



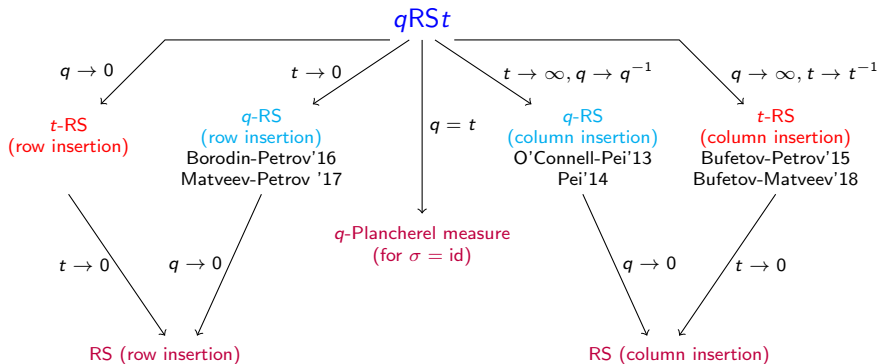
Macdonald polynomials

Hall-Littlewood polynomials

q Whittaker polynomials

Schur polynomials

Specialisations of $qRSt$



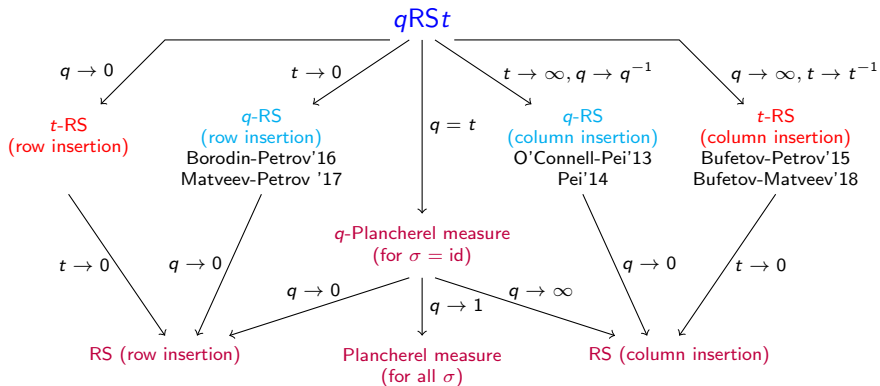
Macdonald polynomials

Hall-Littlewood polynomials

q Whittaker polynomials

Schur polynomials

Specialisations of qRS_t



Macdonald polynomials

Hall-Littlewood polynomials

q Whittaker polynomials

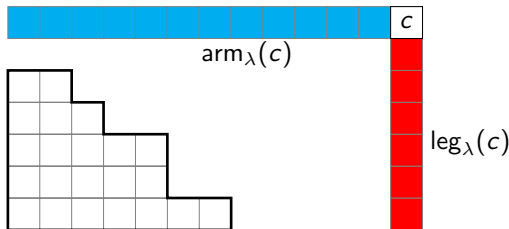
Schur polynomials

exterior (q, t) -Hook walks I

- 1 Start with a cell $c = (x, y)$ “far away”, i.e., $x > \lambda_1, y > \lambda'_1$.
- 2 Choose $c' \in \text{arm}_\lambda(c) \cup \text{leg}_\lambda(c)$ with

$$P(c \rightarrow c') = \begin{cases} q^{a(c)-i} \frac{t^{\ell(c)}(1-q)}{1-q^{a(c)}t^{\ell(c)}} & \text{if } c' = (x-i, y) \in \text{arm}_\lambda(c) \\ t^{j-1} \frac{1-t}{1-q^{a(c)}t^{\ell(c)}} & \text{if } c' = (x, y-j) \in \text{leg}_\lambda(c). \end{cases}$$

- 3 Repeat until we reach an exterior corner of λ .

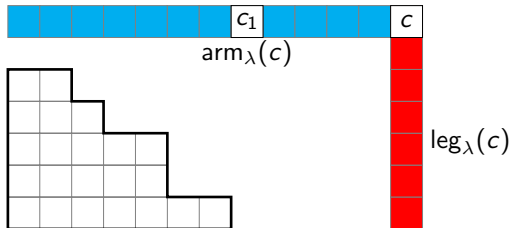


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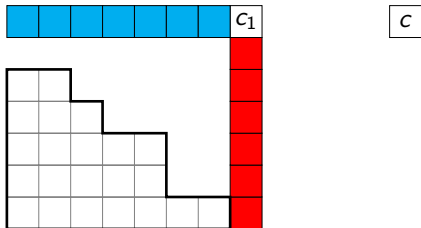


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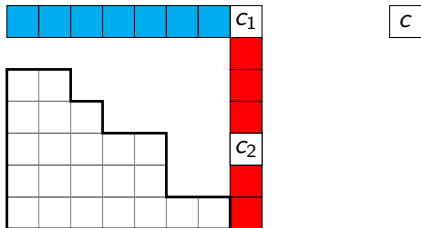


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exterior (q, t) -Hook walks I

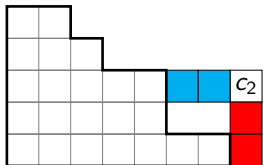
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c_1

c



exterior (q, t) -Hook walks I

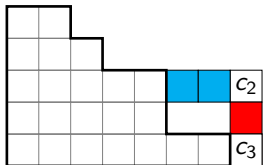
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- 3 Repeat until we reach an exterior corner of λ .

c_1

c



exterior (q, t) -Hook walks II

These walks are similar to the (q, t) -walks of Garsia and Haiman which generalise Greene–Nijenhuis–Wilf hook walks.

Let $P(\nu|c)$ be the probability that the *exterior (q, t) -Hook walk* ends at the exterior corner corresponding to $\nu \succ \lambda$.

Theorem (A.-Frieden)

Let $c = (x, y)$ with $x > \lambda_1, y > \lambda'_1$, then

$$P(\nu|c) = \mathcal{P}_\lambda(\lambda \rightarrow \nu).$$

