

An interaction of Combinatorics and Statistical  
Physics:  
Square Ice, the 6-vertex model and ASMs

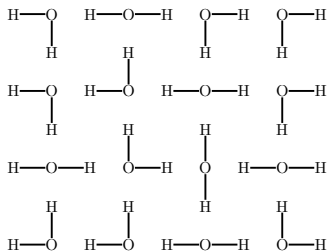
Florian Aigner

Student Retreat – Strobl, 25.4'17

# Let's break the ice

A **square ice** (with domain wall boundary condition) of size  $n$  is an arrangement of  $n^2$  water molecules, s.t.

- the oxygen atoms O are placed on an  $n \times n$  square lattice,
- the hydrogen atoms H are placed in-between the oxygen atoms and to the left and right of the boundary oxygen atoms.



## Some background knowledge

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$$S = k_B \log(Z(n)) = k_B n \log(W),$$

where  $S$  is the entropy,  $k_B$  the Boltzmann constant and  $W = \left(\frac{4}{3}\right)^{\frac{3}{2}}$ .

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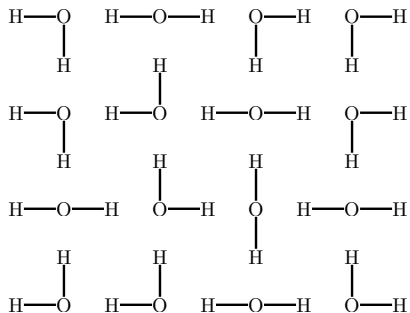
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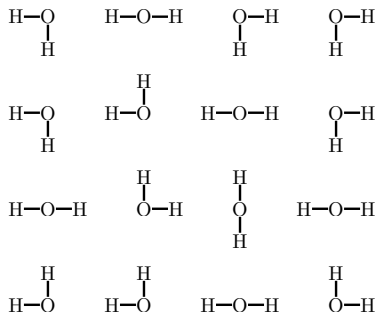
where  $S$  is the entropy,  $k_B$  the Boltzmann constant and  $W = \left(\frac{4}{3}\right)^{\frac{3}{2}}$ .

- The formula for the partition function for square ice with domain wall boundary condition was found by Korepin and Izergin .

# Make the model more handy

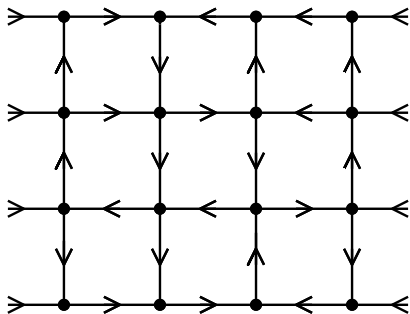


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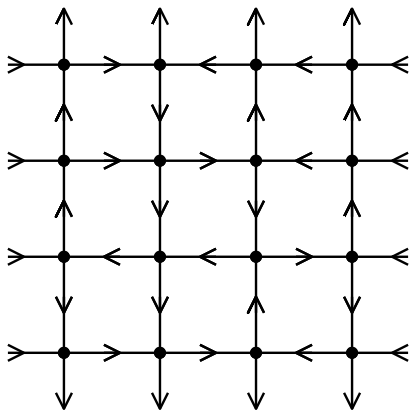




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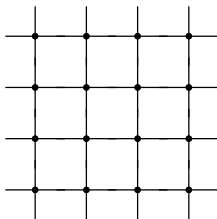
# Make the model more handy



# The 6-vertex model

A **6-vertex configuration** of size  $n$  is an  $n \times n$  grid with  $n$  external edges on every side and an edge orientation satisfying the following conditions.

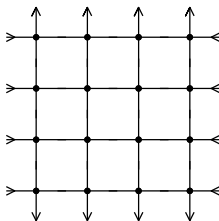
- The external edges point inward at the left and right and outward at the top and bottom.
- Every vertex has two edges pointing towards it and two pointing away.



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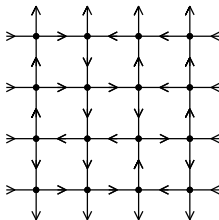
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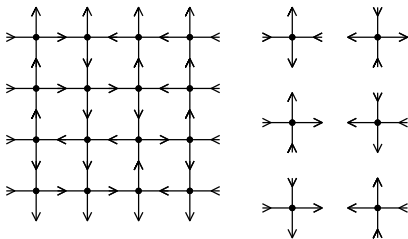
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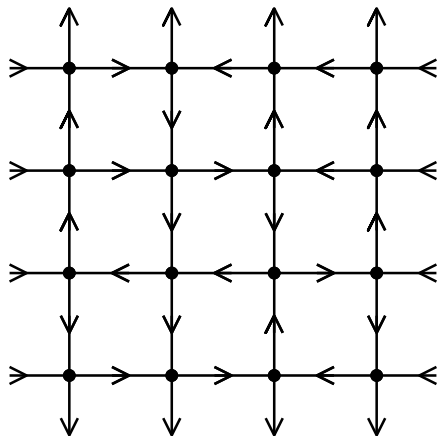
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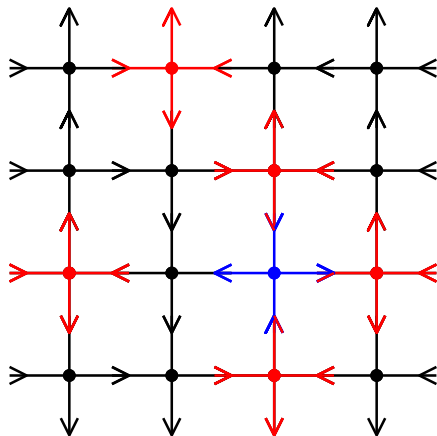
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$$\begin{array}{cccc} 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 1 & 0 & -1 & 1 \\ 0 & 0 & 1 & 0 \end{array}$$

# Alternating sign matrices

An **alternating sign matrix** (ASM) of size  $n$  is a  $n \times n$  matrix with entries  $-1, 0, 1$  such that

- the non-zero entries alternate in each row and column,
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## Theorem (Folklore)

*For an integer  $n$ , the following sets are in bijection*

- *set of square ice of size  $n$ ,*
- *set of 6-vertex configurations of size  $n$ ,*
- *set of ASM of size  $n$ .*

## Some facts

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### Theorem (Zeilberger, 1996)

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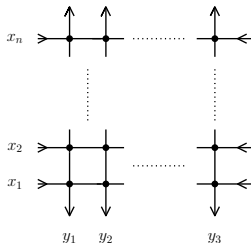
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$$ASM(n) = \prod_{i=0}^{n-1} \frac{(3i+1)!}{(n+i)!}.$$

- Kuperberg discovered the relation between ASMs and the 6-vertex model.

# The partition function

The **partition function**  $Z(n; \mathbf{x}, \mathbf{y})$  is defined as  $Z(n; \mathbf{x}, \mathbf{y}) = \sum_{A \text{ is a 6-vertex conf. of size } n} \prod_{v \in A} w_v$ .



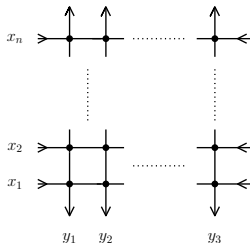
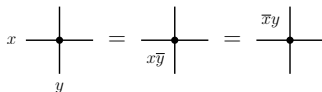
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$$\sigma(x) := x - \bar{x},$$

$$q := e^{\frac{2\pi i}{3}}.$$





# The partition function

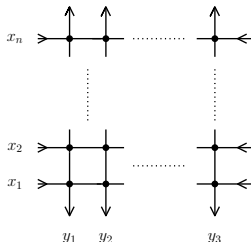
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$$x \begin{array}{c} | \\ \bullet \\ | \\ y \end{array} = \begin{array}{c} | \\ \bullet \\ | \\ xy \end{array} = \begin{array}{c} \bar{xy} \\ \bullet \\ | \end{array}$$



$$w_v(x) \quad \begin{array}{c} \updownarrow \\ \bullet \\ \leftarrow x \quad \rightarrow \\ \downarrow \end{array} \quad \begin{array}{c} \updownarrow \\ \bullet \\ \rightarrow x \quad \leftarrow \\ \downarrow \end{array} \quad \begin{array}{c} \updownarrow \\ \bullet \\ \rightarrow x \quad \rightarrow \\ \downarrow \end{array} \quad \begin{array}{c} \updownarrow \\ \bullet \\ \leftarrow x \quad \leftarrow \\ \downarrow \end{array} \quad \begin{array}{c} \updownarrow \\ \bullet \\ \rightarrow x \quad \leftarrow \\ \downarrow \end{array} \quad \begin{array}{c} \updownarrow \\ \bullet \\ \leftarrow x \quad \rightarrow \\ \downarrow \end{array}$$

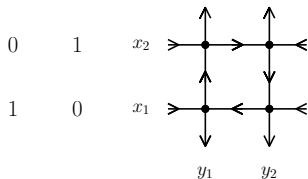
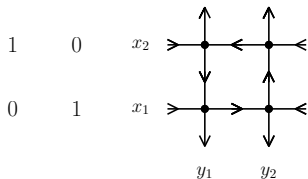
$$\frac{\sigma(q\bar{x})}{\sigma(q^2)}$$

$$\frac{\sigma(qx)}{\sigma(q^2)}$$

$$1$$

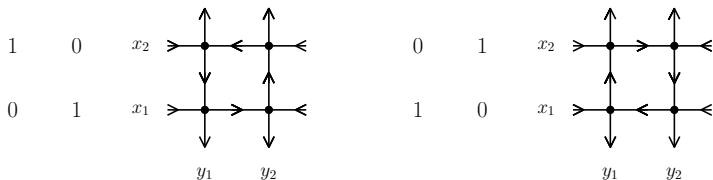
# An example

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$$\begin{aligned}
 Z(2; \mathbf{x}, \mathbf{y}) &= \frac{\sigma(qx_2\bar{y}_2)}{\sigma(q^2)} \frac{\sigma(qx_1\bar{y}_1)}{\sigma(q^2)} + \frac{\sigma(qy_1\bar{x}_2)}{\sigma(q^2)} \frac{\sigma(qy_2\bar{x}_1)}{\sigma(q^2)} \\
 &= \frac{x_1^2 x_2^2 + y_1^2 y_2^2 + x_1^2 y_1^2 + x_2^2 y_2^2 + x_1^2 y_2^2 + x_2^2 y_1^2}{x_1 x_2 y_1 y_2}
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The following three properties determine the partition function  $Z(n; \mathbf{x}, \mathbf{y})$  uniquely.

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- $Z(n; \mathbf{x}, \mathbf{y})|_{x_1=qy_1} = \prod_{i=2}^n \frac{\sigma(qx_1\bar{y}_i)}{\sigma(q^2)} \frac{\sigma(qy_1\bar{x}_i)}{\sigma(q^2)} \times Z(n-1; \mathbf{x} \setminus x_1, \mathbf{y} \setminus y_1)$ .

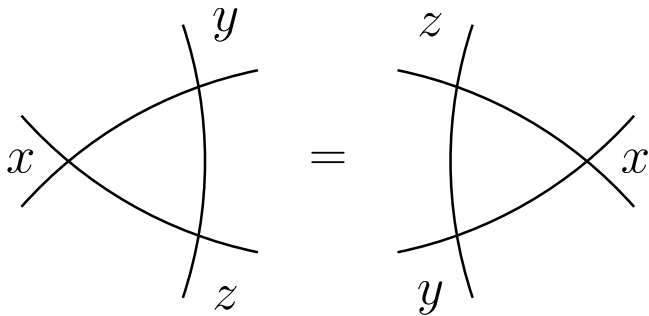
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- The partition function  $Z(n; \mathbf{x}, \mathbf{y})$  is symmetric in  $x_1, \dots, x_n$  and  $y_1, \dots, y_n$ .

# Yang-Baxter equation

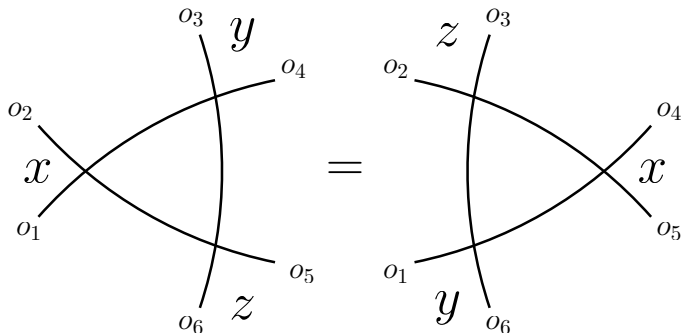
For  $qxyz = 1$  holds





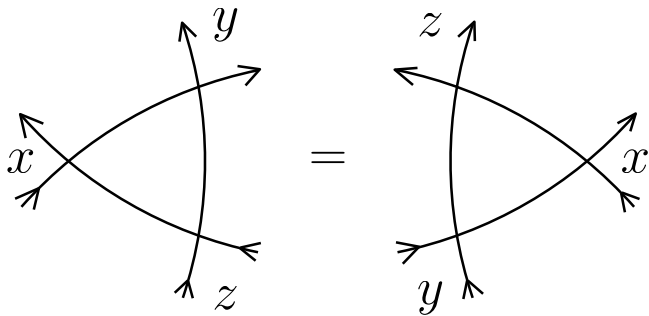
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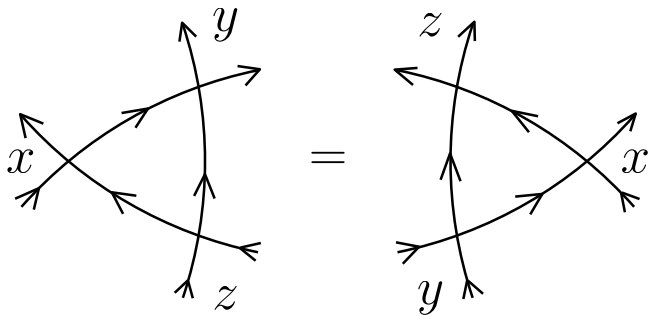
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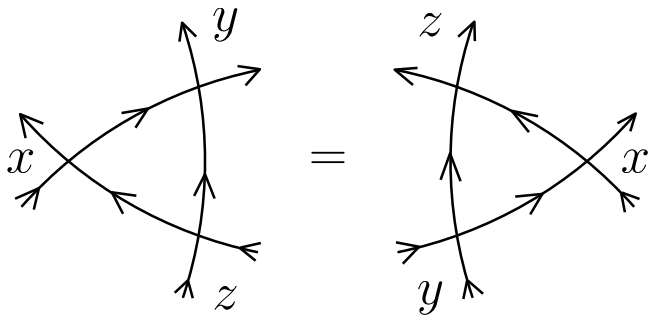
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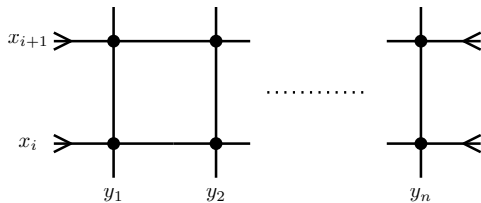
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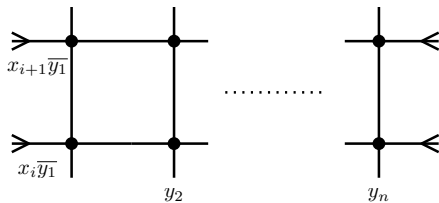


$$\frac{\sigma(qx) \sigma(q\bar{y}) \sigma(q\bar{z})}{\sigma(q^2) \sigma(q^2) \sigma(q^2)} = \frac{\sigma(qx) \sigma(q\bar{y}) \sigma(q\bar{z})}{\sigma(q^2) \sigma(q^2) \sigma(q^2)}$$

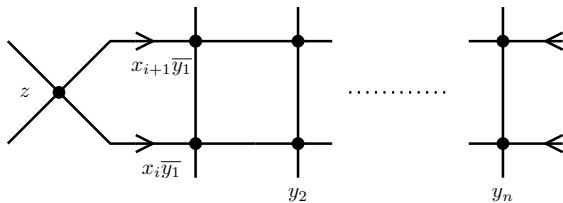
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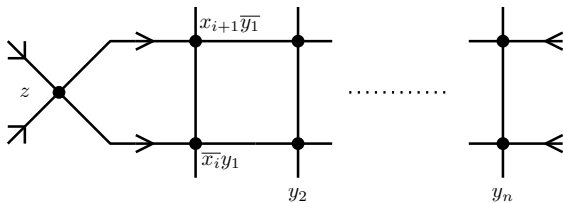
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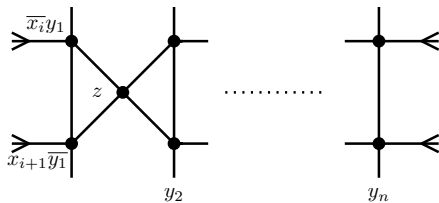


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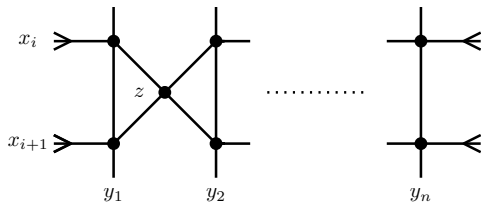




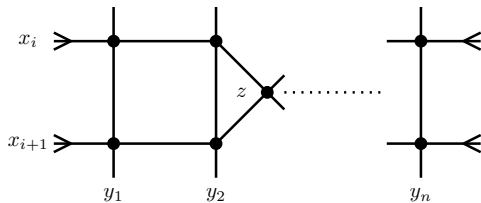
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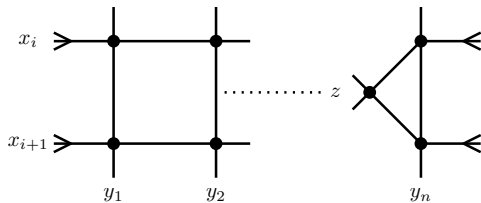
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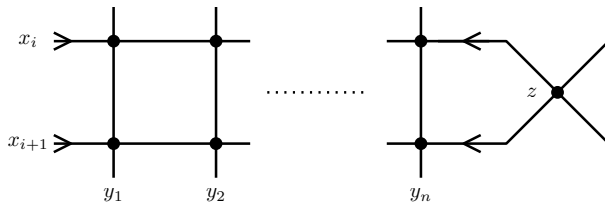
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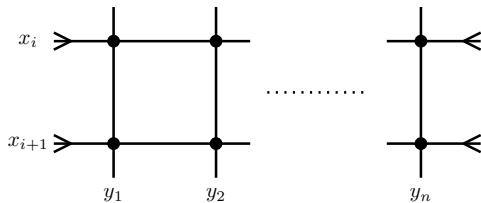
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# A closed formula

## Theorem (Izergin, Korepin)

Define  $M_{i,j} = \frac{1}{\sigma(qx_i\bar{y}_j)\sigma(q\bar{x}_i y_j)}$ , then

$$Z(n; \mathbf{x}, \mathbf{y}) = \frac{\prod_{1 \leq i, j \leq n} \sigma(qx_i\bar{y}_j)\sigma(q\bar{x}_i y_j)}{\sigma(q^2)^{n^2-n} \prod_{1 \leq i < j \leq n} \sigma(\bar{x}_i x_j)\sigma(y_i \bar{y}_j)} \det(M).$$

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We can use the above formula together with

$Z(n; (1, \dots, 1), (1, \dots, 1)) = \text{ASM}(n)$  to prove the ASM Theorem, which states  $\text{ASM}(n) = \prod_{i=0}^{n-1} \frac{(3i+1)!}{(n+i)!}$ .