

# Alternating sign matrices and totally symmetric plane partitions

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## 1) Alternating sign matrix (ASM)

An **alternating sign matrix (ASM)** of size  $n$  is an  $n \times n$  matrix with entries  $1, 0, -1$ , such that

- ▶ all row- and column-sums are equal to 1,
- ▶ in each row and column, the non-zero entries alternate.

**Example** An ASM of size 6.

$$\begin{pmatrix} 0 & 0 & 1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 1 \\ 0 & 1 & -1 & 0 & 1 & 0 \\ 1 & -1 & 1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 1 & 0 & 0 \\ 0 & 1 & 0 & 0 & 0 & 0 \end{pmatrix}$$

**Theorem**(Zeilberger, 1996) The number of ASMs of size  $n$  is given by

$$\prod_{i=0}^{n-1} \frac{(3i+1)!}{(n+i)!}$$

## 3) An antisymmetrizer formula

For a positive integer  $n$  we define

$$\mathcal{A}_n(\alpha, \beta, \gamma; \mathbf{x}) := \frac{\text{ASym}_{x_1, \dots, x_n} \left[ \prod_{i=1}^n x_i^{i-1} \prod_{1 \leq i < j \leq n} (\alpha + \beta x_i + \gamma x_i x_j) \right]}{\prod_{1 \leq i < j \leq n} (x_j - x_i)},$$

where **ASym** denotes the antisymmetrizer, i.e.,

$$\text{ASym}_{x_1, \dots, x_n} f(\mathbf{x}) = \sum_{\sigma \in \mathcal{S}_n} \text{sgn}(\sigma) \cdot f(x_{\sigma(1)}, \dots, x_{\sigma(n)})$$

and  $\mathbf{x} = (x_1, \dots, x_n)$ .

**Theorem** The number of  $n \times n$  ASMs that have the unique 1 in the top row in column  $i$  and with inversion number  $a$  and complementary inversion number  $b$  is the coefficient of  $u^a v^b z^{i-1}$  in  $\mathcal{A}_n(v, 1-u-v, u; z, 1, \dots, 1)$ .

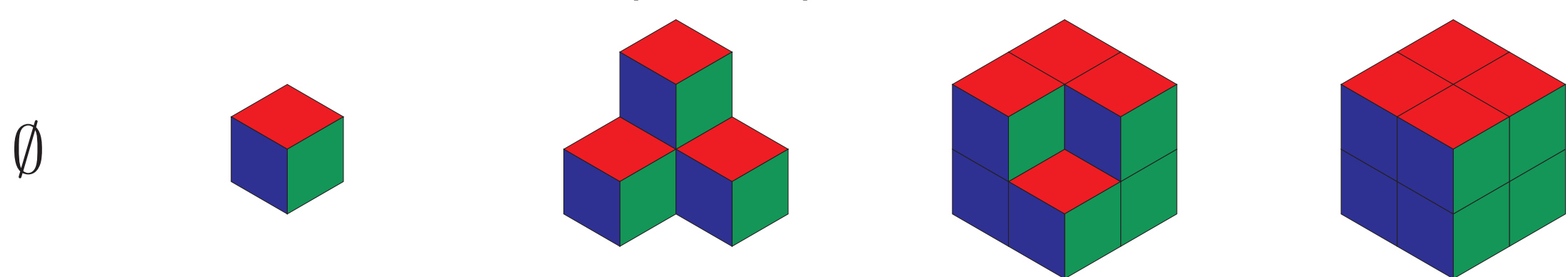
## 5) Totally symmetric plane partitions

A **Totally symmetric plane partition (TSPP)**  $T$  inside an  $(n, n, n)$ -box is a subset of  $\{1, \dots, n\}^3$  such that

- ▶ if  $(i, j, k) \in T$  then every  $(i', j', k') \in T$  for  $i' \leq i, j' \leq j, k' \leq k$ ,
- ▶ if  $(i, j, k) \in T$ , all permutations of the coordinates  $(i, j, k)$  are in  $T$ .

We denote by  $\text{TSPP}_n$  the set of all TSPP inside an  $(n, n, n)$ -box.

**Example** All TSPP inside an  $(2, 2, 2)$ -box.



## 7) The main Theorem

**Theorem** For all positive integers  $n$ , we have

$$\mathcal{A}_n(\alpha, \beta, \gamma; \mathbf{x}) = \sum_{T \in \text{TSPP}_{n-1}} \omega_{\pi(T)}(\alpha, \beta, \gamma) s_{\pi(T)}(\mathbf{x}),$$

where

$$\omega_{\pi(T)}(\alpha, \beta, \gamma) = \alpha^{\binom{n}{2} - \sum_{i=1}^l b_i} \beta^{\sum_{i=1}^l (b_i - a_i)} \gamma^{\sum_{i=1}^l (a_i + 1)},$$

for  $\pi(T) = (a_1, \dots, a_l | b_1 + 1, \dots, b_l + 1)$ .

## 2) Refined enumeration of ASMs

Given an ASM  $A = (a_{i,j})_{1 \leq i, j \leq n}$  of size  $n$ , we regard the four refinements

- ▶ the position of the unique 1 in the top row  $\rho(A)$ ,
- ▶ the **inversion number**  $\text{inv}(A)$ ,
- ▶ the **complementary inversion number**  $\text{inv}'(A)$ ,
- ▶ and the number of  $-1$ 's denoted by  $\mathcal{N}(A)$ .

$$\text{inv}(A) := \sum_{\substack{1 \leq i' < i \leq n \\ 1 \leq j' < j \leq n}} a_{i',j} a_{i,j'} \quad \text{and} \quad \text{inv}'(A) := \sum_{\substack{1 \leq i' < i \leq n \\ 1 \leq j < j' \leq n}} a_{i',j} a_{i,j'}$$

The refinements are connected by  $\text{inv}(A) + \text{inv}'(A) + \mathcal{N}(A) = \binom{n}{2}$ .

**Example** All ASMs of size 3 and their weight  $u^{\text{inv}(A)} v^{\text{inv}'(A)} z^{\rho(A)-1}$ .

$$\begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix}_{v^3} \quad \begin{pmatrix} 1 & 0 & 0 \\ 0 & 0 & 1 \\ 0 & 1 & 0 \end{pmatrix}_{uv^2} \quad \begin{pmatrix} 0 & 1 & 0 \\ 1 & 0 & 0 \\ 0 & 0 & 1 \end{pmatrix}_{uv^2z} \quad \begin{pmatrix} 0 & 1 & 0 \\ 1 & -1 & 1 \\ 0 & 1 & 0 \end{pmatrix}_{uvz} \quad \begin{pmatrix} 0 & 1 & 0 \\ 0 & 0 & 1 \\ 1 & 0 & 0 \end{pmatrix}_{u^2vz} \quad \begin{pmatrix} 0 & 0 & 1 \\ 1 & 0 & 0 \\ 0 & 1 & 0 \end{pmatrix}_{u^2vz^2} \quad \begin{pmatrix} 0 & 0 & 1 \\ 0 & 1 & 0 \\ 1 & 0 & 0 \end{pmatrix}_{u^3z^2}$$

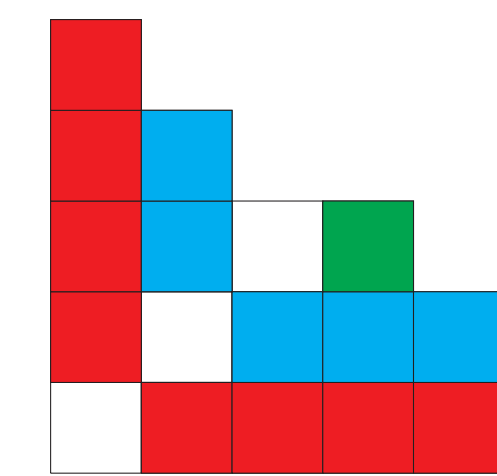
## 4) Modified balanced partitions

Let  $\lambda$  be a partition with non-negative parts and denote by  $l$  the side length of its Durfee square, i.e.,  $l = \max_i \{\lambda_i \geq i\}$ . The **Frobenius notation** of  $\lambda$  is

$$(\lambda_1 - 1, \lambda_2 - 2, \dots, \lambda_l - l | \lambda'_1 - 1, \lambda'_2 - 2, \dots, \lambda'_l - l),$$

where  $\lambda' = (\lambda'_1, \dots, \lambda'_m)$  denotes the conjugate partition.

**Example** The Frobenius notation of the partition  $(5, 5, 4, 2, 1)$  is  $(4, 3, 1 | 4, 2, 0)$



A **modified balanced partition** of size  $n$  is a partition  $\lambda = (a_1, \dots, a_l | b_1, \dots, b_l)$  with parts at most  $n-1$  such that  $a_i < b_i$  for  $1 \leq i \leq l$ .

**Example** All modified balanced partitions of size 3.

$\emptyset$				
$\emptyset$	(1,1)	(1,1,1)	(2,1,1)	(2,2,2)
( )	(0 1)	(0 2)	(1 2)	(1,0 2,1)

## 6) Refined TSPPs

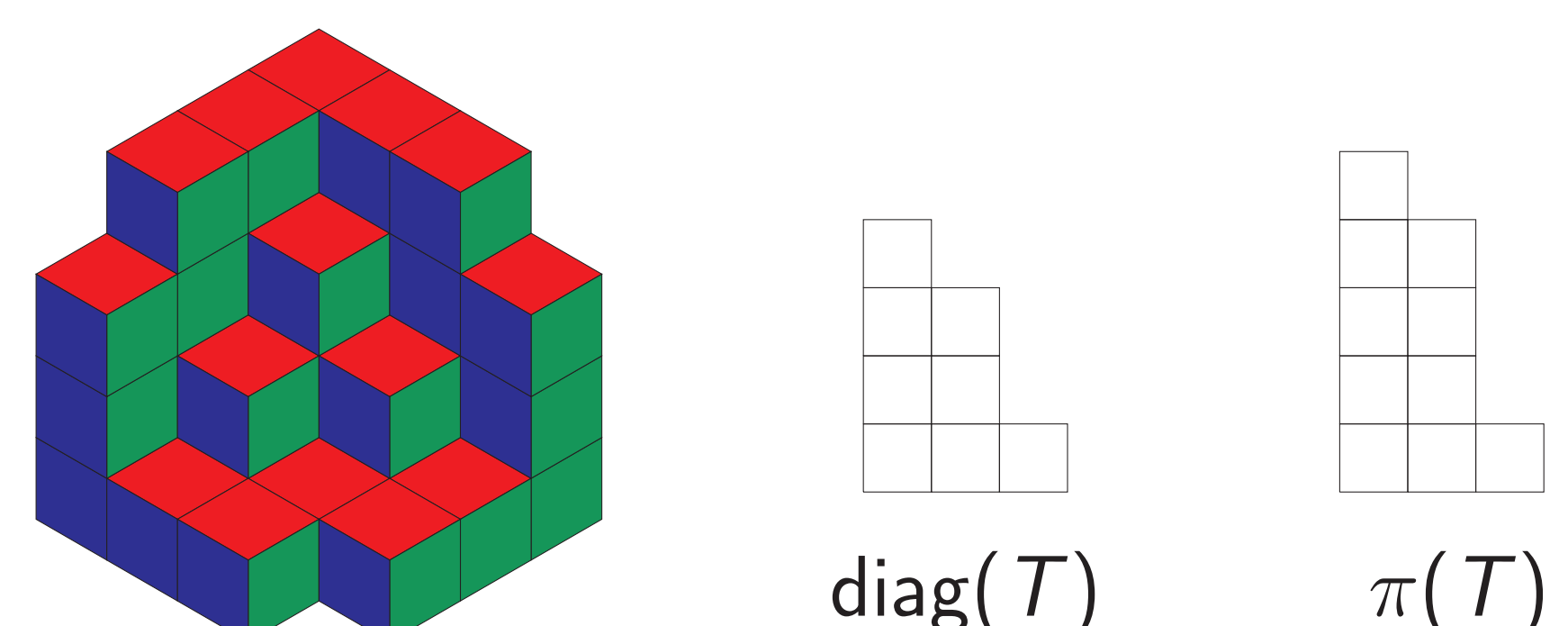
For  $T \in \text{TSPP}_{n-1}$  we define

$$\text{diag}(T) = (|\{(k, k, 1) \in T\}|, \dots, |\{(k, k, n-1) \in T\}|) = (a_1, \dots, a_l | b_1, \dots, b_l),$$

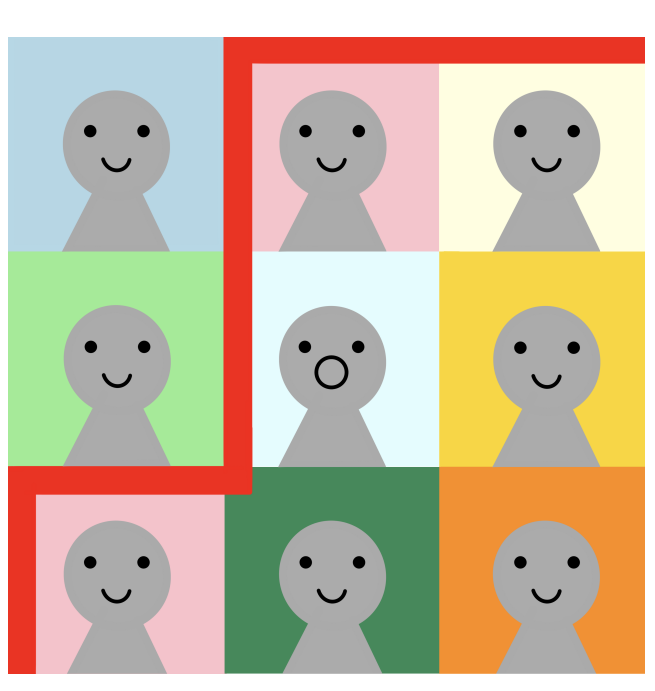
and

$$\pi(T) = (a_1, \dots, a_l | b_1 + 1, \dots, b_l + 1).$$

**Example**







# ASMs and TSPPs - A complement

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$$\mathcal{A}_1(\alpha, \beta, \gamma; \mathbf{x}) = 1$$

$$\mathcal{A}_2(\alpha, \beta, \gamma; \mathbf{x}) = \alpha + \gamma s_{(1,1)}$$

$$\mathcal{A}_3(\alpha, \beta, \gamma; \mathbf{x}) = \alpha^3 + \alpha^2\gamma s_{(1,1)} + \alpha\beta\gamma s_{(1,1,1)} + \alpha\gamma^2 s_{(2,1,1)} + \gamma^3 s_{(2,2,2)}$$

$$\begin{aligned} \mathcal{A}_4(\alpha, \beta, \gamma; \mathbf{x}) = & \alpha^6 + \alpha^5\gamma s_{(1,1)} + \alpha^4\beta\gamma s_{(1,1,1)} + \alpha^4\gamma^2 s_{(2,1,1)} + \alpha^3\gamma^3 s_{(2,2,2)} \\ & + \alpha^3\beta^2\gamma s_{(1,1,1,1)} + 2\alpha^3\beta\gamma^2 s_{(2,1,1,1)} + \alpha^3\gamma^3 s_{(3,1,1,1)} + 2\alpha^2\beta\gamma^3 s_{(2,2,2,1)} \\ & + \alpha^2\gamma^4 s_{(3,2,2,1)} + \alpha\beta^2\gamma^3 s_{(2,2,2,2)} + \alpha\beta\gamma^4 s_{(3,2,2,2)} + \alpha\gamma^5 s_{(3,3,2,2)} + \gamma^6 s_{(3,3,3,3)} \end{aligned}$$

$n$	#(different Schur polynomials)	sum of coefficients
1	1	1
2	2	2
3	5	5
4	14	16
5	42	66
6	132	352

Catalan numbers                      Totally symmetric plane partitions

