

CHARACTERIZING NORMAL CROSSING HYPERSURFACES

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ABSTRACT. The objective of this article is to give an effective algebraic characterization of normal crossing hypersurfaces in complex manifolds. It is shown that a divisor (=hypersurface) has normal crossings if and only if it is a free divisor, has a radical Jacobian ideal and a smooth normalization. Using K. Saito's theory of free divisors, also a characterization in terms of logarithmic differential forms and vector fields is found and finally another one in terms of the logarithmic residue using recent results of M. Granger and M. Schulze.

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1. INTRODUCTION

Consider a divisor (=hypersurface) D in a complex manifold S of dimension n . Then D is said to have *normal crossings* at a point p if locally at p there exist complex coordinates (x_1, \dots, x_n) such that D is defined by the equation $x_1 \cdots x_m = 0$ for some $0 \leq m \leq n$. In general there is no algorithm to find these coordinates. Hence the question arises: is there an *effective algebraic* characterization of a divisor with normal crossings?

Normal crossing divisors appear in many contexts in algebraic and analytic geometry, for example in the embedded resolution of singularities [Hir64], in compactification problems [FM94, DCP95] or in cohomology computations [Del71]. However, given an (algebraic or analytic) variety, it is not clear how to determine effectively if this variety has normal crossings: only in case the decomposition into irreducible components is known, the normal crossings property can be checked rather easily (see e.g. [Bod04]).

The main goal of this article is to derive an effective algebraic criterion for a normal crossing divisor in a complex manifold. By “effective” is meant that one should be able to decide from data derived from a local defining equation of the divisor

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whether it has normal crossings at a point. The guiding idea for our investigations is that the singular locus, that is, the Tjurina algebra, carries all information about the geometric properties of a divisor. Here we were inspired by work of Mather–Yau about isolated hypersurface singularities [MY82] and Gaffney–Hauser in a more general setting [GH85]. On the other hand the tangent behaviour along the divisor, via so-called logarithmic vector fields, will give us means to control the normal crossings property. Here the rich theory of logarithmic vector fields (differential forms), initiated by K. Saito in the 1980’s [Sai80], will be the other main ingredient for an algebraic criterion characterizing normal crossing divisors. Saito introduced the notion of free divisor (a certain generalization of free divisor), which appears in different areas: for example in deformation theory as discriminants [Sai81, Loo84, Ale86, MvS01, BEvB09], in combinatorics as free hyperplane arrangements [Ter80, OT92], related to prehomogeneous vector spaces [BM06, GMS11] or in connection with the logarithmic comparison problem [CNM96, CN09].

Since a normal crossing divisor is in particular free, one is led to impose additional conditions on free divisors in order to single out the ones with normal crossings. It turns out that the radicality of the Jacobian ideal is the right property.

The main result is:

Theorem A (Thm. 4). *A divisor in a complex manifold has normal crossings at a point if and only if it is free with radical Jacobian ideal at that point and its normalization is smooth.*

Since there is an interpretation of free divisors by their Jacobian ideals (due to Aleksandrov [Ale90], also see [Ter83, Sim06]), one thus obtains a purely algebraic characterization of normal crossing divisors. The proof uses a theorem of R. Piene about ideals in desingularizations and also results of Granger and Schulze about the dual logarithmic residue, see [GS11]. The condition on the normalization is technical and we do not know if it is necessary in general: we show that in some special cases (Gorenstein singular locus, normal irreducible components) no additional properties of the normalization have to be required.

Moreover, two other characterizations of normal crossing divisors in terms of logarithmic differential forms (resp. vector fields) and the logarithmic residue are shown.

Proposition B (Prop. 43). *A divisor D in a complex manifold S has normal crossings at a point p if and only if $\Omega_{S,p}^1(\log D)$, its module of logarithmic 1-forms, is free and has a basis of closed forms if and only if $\text{Der}_{S,p}(\log D)$, its module of logarithmic derivations, is free and has a basis of commuting vector fields.*

This result is based on Saito’s theory of logarithmic differential forms. Here already the so-called logarithmic residue is used, which was also introduced by Saito and further studied by Aleksandrov [Ale05] and Aleksandrov–Tsikh [AT01]. The above proposition follows from a slight modification of a theorem of Saito (see Thm. 36).

Proposition C (Prop. 49). *A divisor in a complex manifold has normal crossings if and only if it is free has smooth normalization and the residues of its logarithmic 1-forms are holomorphic on the normalization.*

The final result makes use of the dual logarithmic residue, introduced by Granger and Schulze [GS11].

The article contains the following: in section 2 singularities of normal crossing divisors are studied in order to prove theorem A. Free divisors are introduced via the Jacobian ideal characterization due to Aleksandrov. First it is shown that a curve in a complex manifold S of dimension 2 has normal crossings at a point p if and only if its Jacobian ideal is radical of depth 2 in $\mathcal{O}_{S,p}$ (Prop. 9). This is generalized in Prop. 11 to the case where D is a divisor in a complex manifold S of dimension n having reduced Gorenstein singular locus. Here we show that $\mathcal{O}_{\text{Sing} D,p}$ is a reduced Gorenstein ring of dimension $n - 2$ if and only if D has normal crossings and $(\text{Sing} D, p)$ is smooth. Then the general case of the theorem is proven (using results from R. Piene, M. Granger and M. Schulze and from [Fab12]). In section 2.3 radical Jacobian ideals are investigated, in particular we pose the question, which radical ideals can be Jacobian ideals. Our main result in this direction is that if a divisor D has a reduced singular locus of codimension k which is also a complete intersection, then D is analytically trivial along its singular locus and isomorphic to a k -dimensional A_1 -singularity (Prop. 32). Here, after computation of examples, further questions and conjectures are raised.

In the next section, Saito's theory of logarithmic differential forms and vector fields is briefly recalled, in particular the notion of logarithmic residue. This is used to prove proposition B.

In the last section we recall the dual logarithmic residue and the results of Granger and Schulze which lead to proposition C. It is also shown that a divisor with normal irreducible components is free and has weakly holomorphic logarithmic residue if and only if it has normal crossings, without any condition on the normalization (Lemma 57). Furthermore, some results on divisors with weakly holomorphic residue are collected. We close this section with a few comments on divisors with normal crossings in codimension 1.

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2. SINGULARITIES OF NORMAL CROSSING DIVISORS

We work in the complex analytic category. The main objects of our study are divisors (=hypersurfaces) in complex manifolds. We write (S, D) for a fixed divisor D in an n -dimensional complex manifold S . Denote by p a point in S and by $x = (x_1, \dots, x_n)$ the complex coordinates of S around p . The divisor (D, p) will then be defined locally by an equation $\{h_p(x_1, \dots, x_n) = 0\}$ where $h_p \in \mathcal{O}_{S,p}$ (if the context is clear we leave the subscript p). Note that we will always assume that h is reduced! The divisor D has *normal crossings* at a point p if one can find complex coordinates (x_1, \dots, x_n) at p such that the defining equation h of D is $h = x_1 \cdots x_k$ for $k \leq n$. We also say that (D, p) is a *normal crossing divisor*. The *Jacobian ideal* of h is denoted by $J_h = (\partial_{x_1} h, \dots, \partial_{x_n} h) \subseteq \mathcal{O}_{S,p}$. The image of J_h under the canonical epimorphism that sends $\mathcal{O}_{S,p}$ to $\mathcal{O}_{D,p} = \mathcal{O}_{S,p}/(h)$ is denoted by \widetilde{J}_h . The associated analytic coherent ideal sheaves are denoted by $J \subseteq \mathcal{O}_S$ and \widetilde{J} in \mathcal{O}_D . The *singular locus* of D is denoted by $\text{Sing} D$ and is defined by the ideal sheaf $\widetilde{J} \subseteq \mathcal{O}_D$. The local ring of $\text{Sing} D$ at a point p is denoted by

$$\mathcal{O}_{\text{Sing} D,p} = \mathcal{O}_{S,p}/((h) + J_h) = \mathcal{O}_{D,p}/\widetilde{J}_h.$$

Sometimes $\mathcal{O}_{\text{Sing} D,p} = \mathbb{C}\{x_1, \dots, x_n\}/(h, \partial_{x_1} h, \dots, \partial_{x_n} h)$ is also called the *Tjurina algebra*. Note that we *always* consider $\text{Sing} D$ with the (possibly non-reduced) structure given by the Jacobian ideal of D . Hence in general $(\text{Sing} D, p)$ is a complex space germ and not necessarily reduced. We often say that $\text{Sing} D$ is *Cohen–Macaulay*, which means that $\mathcal{O}_{\text{Sing} D,p}$ is Cohen–Macaulay for all points $p \in \text{Sing} D$.

For facts about local analytic geometry we refer to [dJP00, Nar66], about commutative algebra to [Mat86].

Definition 1. Let D be a divisor in a complex manifold S of dimension n that is locally at a point p given by $h = 0$. Then D is called *free* at p if either D is smooth at p or $\mathcal{O}_{\text{Sing } D, p}$ is Cohen–Macaulay of dimension $n - 2$. The divisor D is a *free divisor* if it is free at each $p \in S$.

Remark 2. Usually, free divisors are defined via logarithmic derivations, see section 3. The equivalence of the two characterizations was first proven by A. G. Aleksandrov in [Ale90].

Hence free divisors are either smooth or non-normal. It is easy to see that normal crossing divisors are free (see proof of theorem 4). Thus one has to impose an additional condition on the Jacobian ideal to ensure that a given free divisor really has normal crossings. In order to get an idea of the right property, look at some examples.

Example 3. (1) Let D be the cone in \mathbb{C}^3 , given by the equation $z^2 = xy$. It does not have normal crossings at the origin but the Jacobian ideal $J_{h,0} = (z, x, y)$ is clearly radical and $\mathcal{O}_{\mathbb{C}^3,0}/(x, y, z) \cong \mathbb{C}$ is Cohen–Macaulay. However, the depth of $\mathcal{O}_{\mathbb{C}^3,0}/J_{h,0}$ is 0 and thus too small.

(2) Let $S = \mathbb{C}^3$ and D be the “4-lines” defined by $h = xy(x + y)(x + yz)$, cf. [CN02, Nar08]. This divisor D is free. Its Jacobian ideal is the intersection of the three primary ideals $(x + y, z - 1)$, (x, z) and $(y^4, 2xy^2z + y^3z + 3x^2y + 2xy^2, 4x^2yz - 3y^3z + 2x^3 - 5x^2y - 6xy^2)$ and is not radical (the radical $\sqrt{J_h}$ is $(x + y, z - 1) \cap (x, z) \cap (x, y)$). Also D does not have normal crossings at the origin.

(3) The Hessian deformation of an E_8 curve (see [Dam02], for a different interpretation see [Sek08]) is a divisor in \mathbb{C}^3 defined by $h = y^5 + z^3 + xy^3z$. It does not have normal crossings at 0: it is irreducible and free at 0 but J_h is not radical. The reduced Jacobian ideal is (y, z) , the x -axis, cf. fig. 1.

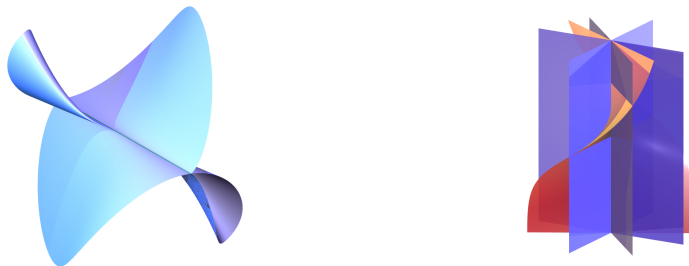


FIGURE 1. Hessian deformation of $y^5 + z^3$ (left) and The 4-lines (right).

So the right additional requirement turns out to be radicality of the Jacobian ideal. Hence a purely algebraic criterion is obtained, which allows to determine whether a divisor has normal crossings at a point p , even without knowing its decomposition into irreducible components.

Theorem 4. Let D be a divisor in a complex manifold S , $\dim S = n$. Denote by $\pi : \tilde{D} \rightarrow D$ the normalization of D . Then the following are equivalent:

- (1) D has normal crossings at a point p in D .
- (2) $D = \{h = 0\}$ is free at p , the Jacobian ideal is $J_{h,p}$ is radical and $(\tilde{D}, \pi^{-1}(p))$ is smooth.

Remark 5. Condition (2) of the above theorem can also be phrased as:

(2') At $p \in D$ the Tjurina algebra $\mathcal{O}_{\text{Sing } D, p}$ is reduced and either 0 or Cohen–Macaulay of dimension $n - 2$ and $\pi_* \mathcal{O}_{\tilde{D}, p}$ is a regular ring.

Another equivalent formulation is:

(2'') At $p \in D$, where $D = \{h = 0\}$, the Jacobian ideal J_h is either equal to $\mathcal{O}_{S, p}$ or it is radical, perfect and with $\text{depth}(I, \mathcal{O}_{S, p}) = 2$ and $\pi_* \mathcal{O}_{\tilde{D}, p}$ is regular.

Remark 6. The condition \tilde{D} smooth is technical and only needed to apply Piene's theorem (Thm. 20) in our proof of Thm. 4. In some special cases (see section 2.1 and also corollary 55 and lemma 57) it can be omitted. We do not know if this condition is necessary in general (cf. Remark 25).

About the proof of Theorem 4: the implication (1) \Rightarrow (2) is a straightforward computation. We start with showing (2) \Rightarrow (1) for some special cases, namely for divisors in manifolds S of dimension 2 (Prop. 9) and for $\text{Sing } D$ Gorenstein (Prop. 11). Note that in the section about free divisors and logarithmic residue the theorem will be shown in the case where D is a union of normal hypersurfaces. For these cases, the assumption \tilde{D} smooth is not needed. The general proof of (2) \Rightarrow (1) occupies the rest of this section.

2.1. Special cases of theorem 4.

Lemma 7. *Let $D \subseteq S$ locally at a point p be defined by an equation $h = 0$. If J_h is radical, then $h \in J_h$, which implies $\mathcal{O}_{\text{Sing } D, p} = \mathcal{O}_{S, p}/J_h$.*

Proof. One can show that h is contained in the ideal $\overline{J_h}$, the integral closure of J_h , see [LJT08]. It follows from the theorem of Briançon–Skoda that $\overline{J_h^n} \subseteq J_h$, see [LT81]. Since $(\overline{J_h})^n \subseteq \overline{J_h^n}$ (see for example [LJT08]), the n -th power of h is contained in J_h and by our assumption J_h already contains h . \square

Remark 8. The above lemma shows in particular that if J_h is radical then also $J_h = \overline{J_h}$. The blowup of D in J_h is the Nash blowup of (D, p) , see e.g. [Nob75]. It is an interesting question whether in the case of a radical Jacobian ideal this blowup is equal to the *normalized Nash blowup* (see [LJT08, Section 3]).

Proposition 9. *Let $\dim S = 2$ and the divisor D be defined at a point p by a reduced $h \in \mathcal{O}_{S, p}$. Then D has normal crossings at p if and only if D is free at p and J_h is radical of depth 2 on $\mathcal{O}_{S, p}$.*

Proof. If D has normal crossings at p , then a simple computation shows the assertion. Conversely, since D is a reduced curve, J_h defines an isolated singularity, that is, J_h is primary to the maximal ideal \mathfrak{m} of $\mathcal{O}_{S, p}$. Because J_h is radical it follows that $J_h = \mathfrak{m}$. This means that $\mathcal{O}_{\text{Sing } D, p} \cong \mathbb{C}$ at p . Now one can use either a direct computation or apply the theorem of Mather–Yau [MY82] for isolated singularities: here it means that (D, p) is isomorphic to the normal crossings divisor (N, p) (defined locally at $p = (x_1, x_2)$ by the equation $\{x_1 x_2 = 0\}$) if and only if their singular loci are isomorphic. But $\mathcal{O}_{\text{Sing } N, p} = \mathcal{O}_{S, p}/(x_1, x_2) \cong \mathbb{C}$ is clearly isomorphic to $\mathcal{O}_{\text{Sing } D, p}$. Hence the assertion is shown. \square

A particular class of Cohen–Macaulay rings are the so-called Gorenstein rings. In general, Gorenstein rings lie between complete intersections and Cohen–Macaulay rings. We prove here Thm. 4 for $\mathcal{O}_{\text{Sing } D, p}$ Gorenstein.

Good references for definitions and properties regarding Gorenstein rings are [Bas63, Eis95, Mat86]. In our situation, where the Jacobian ideal defining $\mathcal{O}_{\text{Sing } D, p}$ has depth two on $\mathcal{O}_{S, p}$, one sees that Gorenstein rings and complete intersection rings coincide, see [Eis95, Cor. 21.20]:

Theorem 10 (Serre). *Let R be a regular local ring and $I \subseteq R$ an ideal with $\text{depth}(I, R) = 2$. Then R/I is Gorenstein if and only if I is generated by a regular sequence of length 2.*

This leads to the statement of

Proposition 11. *Let (S, D) be the pair of an n -dimensional complex manifold S together with a divisor $D \subseteq S$ and $D = \{h = 0\}$ at a point p . Suppose that J_h is radical and $\mathcal{O}_{\text{Sing } D, p}$ is a Gorenstein ring of Krull-dimension $n - 2$. Then $(\text{Sing } D, p)$ is smooth and D has locally at p normal crossings.*

First let us consider a possible counter-example to this proposition:

Example 12. (The cusp) The (reduced) cusp curve in \mathbb{C}^3 is defined by $I = (x_1^3 - x_2^2, x_3)$. Since \mathcal{O}/I is a complete intersection ring, it is Gorenstein. However, \mathcal{O}/I is clearly not regular. In order that I equals J_h for some $h \in \mathcal{O}$ one must have $\partial_{x_i} h = a_{i1}(x_1^3 - x_2^2) + a_{i2}x_3$, for $i = 1, 2, 3$. Now consider the \mathbb{C} -vector space $I/\mathfrak{m}I$. Since \mathcal{O} is a local ring, Nakayama's lemma yields that $\overline{x_1^3 - x_2^2}, \overline{x_3}$ form a basis of this vector space. From the Poincaré lemma it follows that three functions f_1, f_2, f_3 are partial derivatives $\partial_{x_1} h, \partial_{x_2} h, \partial_{x_3} h$ if and only if $\partial_{x_2} f_1 = \partial_{x_1} f_2, \partial_{x_1} f_3 = \partial_{x_3} f_1, \partial_{x_3} f_2 = \partial_{x_2} f_3$. Writing out these conditions for $a_{i1}(x_1^3 - x_2^2) + a_{i2}x_3$ it follows that $a_{11}(0) = a_{21}(0) = a_{12}(0) = a_{22}(0) = 0$. Hence modulo \mathfrak{m} the $\partial_{x_i} h$ cannot be generated by $\overline{x_1^3 - x_2^2}, \overline{x_3}$. By Nakayama's lemma this contradicts the fact that the $\partial_{x_i} h$ also generate I . Thus I cannot be the Jacobian ideal J_h of some reduced h .

Remark 13. One can construct surfaces in \mathbb{C}^3 with the cusp as singular locus by blowing down, see [FH10]. However, then the Jacobian ideal will not be radical.

Lemma 14. *Let (S, D) be as before, with $\dim S = n$ and $D = \{h = 0\}$ at a point $p = (x_1, \dots, x_n)$. Suppose that the Jacobian ideal $J_h = (\partial_{x_1} h, \dots, \partial_{x_n} h)$ is radical and $\mathcal{O}_{\text{Sing } D, p}$ is Gorenstein of dimension $(n - 2)$. Then J_h can be generated by two derivatives $\partial_{x_i} h, \partial_{x_j} h$.*

Proof. Since $\mathcal{O}_{S, p}/J_h$ is Gorenstein, Thm. 10 yields that J_h is generated by a regular sequence f, g in \mathfrak{m} . Then there exists an $(n \times 2)$ -matrix $A \in M_{n, 2}(\mathcal{O}_{S, p})$ such that

$$(1) \quad A(f, g)^T = (\partial_{x_1} h, \dots, \partial_{x_n} h)^T.$$

Consider the $\mathcal{O}_{S, p}/\mathfrak{m}$ -module $J_h/\mathfrak{m}J_h$. Then, since $\mathcal{O}_{S, p}/\mathfrak{m} = \mathbb{C}$, in (1) the matrix \overline{A} is in $M_{n, 2}(\mathbb{C})$. This means that we have obtained a solvable linear system of equations with coefficients in \mathbb{C} . Thus one sees that f and g are \mathbb{C} -linear combinations of two partial derivatives, wlog. of $\partial_{x_1} h$ and $\partial_{x_2} h$ modulo $\mathfrak{m}J_h$. This implies $J_h = (\partial_{x_1} h, \partial_{x_2} h) + \mathfrak{m}J_h$ (as $\mathcal{O}_{S, p}$ -modules). An application of Nakayama's lemma shows the assertion. \square

Proof of Prop. 11. From Lemma 14 it follows that J_h can be generated by two derivatives of h , wlog. $J_h = (\partial_{x_1} h, \partial_{x_2} h)$. Hence one has $\partial_{x_i} h = a_i(\partial_{x_1} h) + b_i(\partial_{x_2} h)$, $a_i, b_i \in \mathcal{O}_{S, p}$, for $3 \leq i \leq n$. Consider vector fields $\delta_i = \partial_{x_i} - a_i \partial_{x_1} - b_i \partial_{x_2}$ for $3 \leq i \leq n$. Then clearly one has $\delta_i(h) = 0$. Evaluation of these $n - 2$ vector fields at 0 shows that $\delta_3(0), \dots, \delta_n(0)$ are \mathbb{C} -linearly independent vectors in $(S, p) \cong (\mathbb{C}^n, 0)$. Thus Rossi's theorem can be applied (see [Ros63]): locally at p the germ (D, p) is isomorphic to $(D' \times \mathbb{C}^{n-2}, (0, 0))$, where D' is locally contained in \mathbb{C}^2 . Hence the problem has been reduced to $\dim_{\mathbb{C}} S = 2$. Then Prop. 9 shows that locally at p the divisor D is isomorphic to the union of two transversally intersecting hyperplanes. \square

Remark 15. Instead of using Rossi's theorem in the above proof, we could use the argument in Lemma 2.3 of [CNM96] and apply induction.

Remark 16. One can also prove proposition 11 using Pellikaan's theory of the primitive ideal [Pel88]. Then one can show that D is even analytically trivial along $\text{Sing } D$, that is, $(D, p) \cong (D_0 \times \mathbb{C}^{n-2}, (0, 0))$, where D_0 is isomorphic to the fibre of D at the origin defined by $h(x_1, x_2, 0)$. This is carried out in detail in [Fab11].

2.2. General proof of theorem 4. The ideas to show the special cases do not lead to a proof in general. Therefore, our strategy to prove the general case is the following:

- (i) If $(D, p) = \bigcup_{i=1}^m (D_i, p)$ is free and a union of irreducible components and has radical Jacobian ideal, then we show that each D_i is also free and has radical Jacobian ideal.
- (ii) If D is free, irreducible, has radical Jacobian ideal at p and the normalization \tilde{D} is smooth, then D is already smooth at p .
- (iii) A free divisor D , which is a union of smooth irreducible hypersurfaces and has a radical Jacobian ideal, is already a normal crossing divisor.

In order to obtain (i) and (iii) we will use the results from [Fab12]: therefore so-called splayed divisors are introduced. A splayed divisor D is a union of transversally meeting hypersurfaces that are possibly singular (see definition below). A divisor $(D, p) = \bigcup_{i=1}^m (D_i, p)$ with radical Jacobian ideal is splayed. Then claim (iii) follows from corollary 19. Note that (iii) also follows from lemma 57, where the logarithmic residue is used. Claim (ii) follows from Piene's Theorem (Thm. 20).

Definition 17. Let D be a divisor in a complex manifold S , $\dim S = n$. The divisor D is called *splayed* at a point $p \in S$ (or (D, p) is *splayed*) if one can find coordinates (x_1, \dots, x_n) at p such that $(D, p) = (D_1, p) \cup (D_2, p)$ is defined by

$$h(x) = h_1(x_1, \dots, x_k)h_2(x_{k+1}, \dots, x_n),$$

$1 \leq k \leq n-1$, where h_i is the defining reduced equation of D_i . Note that the h_i are not necessarily irreducible. The *splayed components* D_1 and D_2 are not unique. Splayed means that D is the union of two products: since h_1 is independent of x_{k+1}, \dots, x_n , the divisor D_1 is locally at p isomorphic to a product $(D'_1, 0) \times (\mathbb{C}^{n-k}, 0)$, where $(D'_1, 0) \subseteq (\mathbb{C}^k, 0)$ (and similar for D_2).

Proposition 18. *Let $D = D_1 \cup D_2$ be a divisor in an n dimensional complex manifold S and let D, D_1 and D_2 at a point $p \in S$ be defined by the equations gh, g and h , respectively. Suppose that J_{gh} is radical. Then D is splayed and J_h and J_g are also radical. If moreover D is free at p then also D_1 and D_2 are free at p .*

Proof. See [Fab12]. □

From this follows (using induction on n)

Corollary 19. *Let (S, D) be a complex manifold, $\dim S = n$, together with a divisor $D \subseteq S$ and suppose that locally at a point $p \in S$ the divisor (D, p) has the decomposition into irreducible components $\bigcup_{i=1}^m (D_i, p)$ such that each (D_i, p) is smooth. Let the corresponding equation of D at p be $h = h_1, \dots, h_m$. If D is free at p and $J_h = \sqrt{J_h}$ then D has normal crossings at p .*

In order to state Piene's theorem below, we need some properties of the normalization of D , in particular of the conductor ideal.

Let (X, x) be the germ of an equidimensional analytic space with normalization $\pi : \tilde{X} \rightarrow X$. Then the *conductor ideal* $C_{X,x}$ at x is the largest ideal that is an ideal in $\mathcal{O}_{X,x}$ as well as in $\mathcal{O}_{\tilde{X},x}$ (we write C_X if there is no danger of confusion regarding the point x). Here note that $\mathcal{O}_{\tilde{X},x}$ is canonically isomorphic to $\pi_* \mathcal{O}_{\tilde{X},x}$ and also to the ring of weakly holomorphic functions on X , see e.g.

[dJP00]. Alternatively, the conductor $C_{X,x}$ can be defined as the ideal quotient $(\mathcal{O}_{X,x} : \pi_* \mathcal{O}_{\tilde{X},x}) = \{f \in \mathcal{O}_{X,x} : f\pi_* \mathcal{O}_{\tilde{X},x} \subseteq \mathcal{O}_{X,x}\}$ or as $\text{Hom}_{\mathcal{O}_{X,x}}(\pi_* \mathcal{O}_{\tilde{X},x}, \mathcal{O}_{X,x})$. Note that C_X is a coherent sheaf of ideals over \mathcal{O}_X .

Theorem 20 (Piene’s Theorem). *Let X be a locally complete intersection variety of dimension s over an algebraically closed field k . Let $f : Z \rightarrow X$ be a desingularization of X and denote by $I_f = F_Z^0(\Omega_{Z/X}^1)$ the ramification ideal of f in \mathcal{O}_Z and by J_X the ideal $F_X^s(\Omega_{X/k}^1)$. Suppose that f is finite. Then there is an equality of ideals*

$$J_X \mathcal{O}_Z = I_f C_X \mathcal{O}_Z.$$

Proof. See Theorem 1 and Corollary 1 of [Pie79]. \square

Remark 21. (1) The above theorem also holds in the analytic case since all constructions in the proof of Theorem 1 of [Pie79] also work, cf. [MP89, Ale90, GS11]. (2) The ideal J_X is sometimes also called “Jacobian ideal of X ”. We need the above theorem in the case where X is a divisor D in a complex manifold S defined locally at a point p by $\{h = 0\}$. Then J_D is simply the ideal J_h in $\mathcal{O}_{D,p}$ (resp. the ideal $((h) + J_h) \subseteq \mathcal{O}_{S,p}$) defining the singular locus $(\text{Sing } D, p)$. Clearly, D is at p a complete intersection.

Lemma 22. *Let (S, D) be a complex manifold, $\dim S = n$, together with a divisor $D \subseteq S$ and suppose that $D = \{h = 0\}$ is free at p and that $J_h = \sqrt{J_h}$. Then the Jacobian ideal equals the conductor of the normalization, that is $J_h = C_{D,p}$.*

Proof. The inclusion $J_h \subseteq C_{D,p}$ always holds. Since in case of a free divisor the singular locus is non-normal, it follows that $\text{supp}(\mathcal{O}_{D,p}/J_h) = \text{supp}(\mathcal{O}_{D,p}/C_{D,p})$. Because J_h is radical, it is actually equal to $C_{D,p}$. \square

Lemma 23. *Let $\pi : \tilde{D} \rightarrow D$ be the normalization of an irreducible (D, p) and suppose that π is unramified, that is, $\Omega_{\tilde{D}/D}^1 = 0$. Then π is an isomorphism.*

Proof. See e.g. lemma 4.1 of [GS11]. \square

Proof of Theorem 4. First let suppose that D has normal crossings at $p \in \text{Sing } D$. Then we can assume that $D = \bigcup_{i=1}^m (D_i, p)$ is given by the equation $h = x_1 \cdots x_m$, $1 < m \leq n$ where each x_i corresponds to an irreducible component D_i passing through p . Then

$$J_h = \sum_{i=1}^m (x_1 \cdots \hat{x}_i \cdots x_m).$$

The ideal J_h is the ideal generated by the maximal minors of the $(n-1) \times n$ matrix with rows $(x_1, 0, \dots, 0, -x_i, 0, \dots, 0)$ for $i = 2, \dots, n$. Therefore \mathcal{O}/J_h Cohen–Macaulay of dimension $n-2$ by the Theorem of Hilbert–Burch. Using facts about primary decomposition of monomial ideals, see e.g. [HS02], it follows that

$$J_h = \bigcap_{1 \leq i < j \leq m} (x_i, x_j),$$

which is clearly radical. The normalization of a normal crossing divisor $D = \bigcup_{i=1}^m D_i$ is smooth since it is the disjoint union of the smooth components D_i . Conversely, suppose that $J_h = \sqrt{J_h}$ and $\mathcal{O}_{\text{Sing } D, p}$ is Cohen–Macaulay of dimension $(n-2)$ and moreover that $\mathcal{O}_{\tilde{D}, \pi^{-1}(p)}$ is regular (denote by $\pi : \tilde{D} \rightarrow D$ the normalization morphism, resp. by $\pi_i : \tilde{D}_i \rightarrow D_i$ the normalization of D_i). Note here that always $\mathcal{O}_{\tilde{D}} = \bigoplus_{i=1}^m \mathcal{O}_{\tilde{D}_i}$ holds, see [dJP00]. Prop. 18 implies that each D_i is

free at p and has a radical Jacobian ideal J_{h_i} . By our hypothesis, Piene's theorem (Thm. 20) and remark 21 yield the equality of ideals

$$C_{D_i} I_{\pi_i} \mathcal{O}_{\tilde{D}_i, p} = J_{h_i} \mathcal{O}_{\tilde{D}_i, p}.$$

Since by lemma 22 one has $J_{h_i} = C_{D_i, p}$ in $\mathcal{O}_{D_i, p}$, it follows, using Nakayama's lemma, that $I_{\pi_i} = \mathcal{O}_{\tilde{D}_i, p}$. Hence $\Omega_{\tilde{D}_i/D_i}^1 = 0$. By lemma 23, each π_i is an isomorphism and thus D_i is already normal at p . By definition, the only free divisor that is normal is the smooth divisor, so it follows that each D_i is smooth at p . For $(D, p) = \bigcup_{i=1}^m (D_i, p)$ this means that we are in the situation of corollary 19 and the assertion follows. \square

Remark 24. We can also give a different proof of (2) \Rightarrow (1) of Thm. 4 using the characterization of normal crossings by the logarithmic residue of Prop. 49: let $(D, p) = \bigcup_{i=1}^m (D_i, p)$ be the decomposition into irreducible components and suppose that $J_h = \sqrt{J_h}$. Then the singular locus of the singular locus, $\text{Sing}(\text{Sing } D)$, is of dimension less than or equal to $(n-3)$. By proposition 11, D has normal crossings at smooth points of $\text{Sing } D$. Hence D has normal crossings in codimension 1. From a result of Saito [Sai80, Lemma 2.13] it follows that the logarithmic residue is holomorphic on the normalization, that is, $\rho(\Omega_S^1(\log D)) = \pi_* \mathcal{O}_{\tilde{D}}$. Then Prop. 49 shows that D is a normal crossing divisor.

Remark 25. We do not know whether the condition on the normalization of D in Theorem 4 is necessary. If (D, p) is free and has a radical Jacobian ideal, then by Lemma 51 the normalization $(\tilde{D}, \pi^{-1}(p))$ is Cohen–Macaulay. Here the question is if Piene's theorem about the equality of the ideals holds in a more general context or whether we can find an alternative argument to show that the normalization $\pi : \tilde{D} \rightarrow D$ is unramified.

Conjecture 26. *Let $D \subseteq S$ be a divisor in a complex manifold S that is locally at a point p given by $h = 0$ and denote by $\pi : \tilde{D} \rightarrow D$ its normalization. Suppose that D is free at p and that $J_h = \sqrt{J_h}$. Then the normalization \tilde{D} of D is already smooth at $\pi^{-1}(p)$.*

This conjecture is supported by the results of Granger and Schulze about the dual logarithmic residue, see [GS11].

2.3. Radical jacobian ideals. Let D be a divisor in a smooth n -dimensional manifold S that is locally at a point p given by $h \in \mathcal{O}_{S, p}$. Suppose that J_h is radical. Which ideals $I \subseteq \mathcal{O}_{S, p}$ can be such radical Jacobian ideals J_h ? More precisely: given a radical ideal $I \subseteq \mathcal{O}_{S, p}$, when does there exist a divisor $(D, p) = \{h = 0\}$ such that $I = J_h$?

If I is a complete intersection then in proposition 32 it is shown that I is a Jacobian ideal if and only if it defines a smooth variety. Apart from that, the case of $\dim S = 2$ was treated in proposition 9. For $\dim S = 3$ it also follows easily that for a divisor $D = \{h = 0\}$ with $J_h = \sqrt{J_h} \neq (1)$ and smooth normalization one of the two cases occurs:

- (i) $\text{depth}(J_h, \mathcal{O}_{S, p}) = 3$ and (D, p) is an A_1 -singularity.
- (ii) $\text{depth}(J_h, \mathcal{O}_{S, p}) = 2$ and D has normal crossings at p .

Here (i) directly follows from Prop. 32. To prove (ii) one uses that a reduced one-dimensional local ring is Cohen–Macaulay, see e.g. [Eis95], and Theorem 4.

Question 27. *Does there exist a surface $(D, p) \subseteq (\mathbb{C}^3, p)$ such that (D, p) is free and $J_h = \sqrt{J_h} \neq (1)$ but (\tilde{D}, \tilde{p}) is not Gorenstein?*

For $\dim S \geq 4$ the situation is more involved, we split it in two parts.

2.3.1. Codimension 1 singular locus.

Example 28. Consider a manifold $(S, p) \cong (\mathbb{C}^4, 0)$ with coordinates $p = (x, y, z, w)$. Then the ideal $I = (x, y) \cap (z, w) = (xz, xw, yz, yw) \subseteq \mathcal{O}_{S,p}$ is radical and defines an equidimensional 2-dimensional analytic space germ (Z, p) . One can show that $\mathcal{O}_{S,p}/I$ is not Cohen–Macaulay, which implies that I is not a complete intersection. By computation we show that there does not exist an $h \in \mathcal{O}_{S,p}$ such that $I = J_h$: first note that I is the Jacobian ideal of a divisor defined by some $h \in \mathcal{O}_{S,p}$ if and only if there exists a matrix $A \in GL_4(\mathcal{O}_{S,p})$ such that

$$(2) \quad (\partial_x h, \partial_y h, \partial_z h, \partial_w h)^T = A \underline{f}^T,$$

where \underline{f} is the vector $(f_1, \dots, f_4) := (xz, yz, xw, yw)$. Hence the matrix $A(0)$ has to be in $GL_4(\mathbb{C})$. The partial derivatives of h have to satisfy six equations, namely

$$\begin{aligned} \partial_{xy} h &= \partial_{yx} h, \partial_{xz} h = \partial_{zx} h, \partial_{xw} h = \partial_{wx} h, \\ \partial_{yz} h &= \partial_{zy} h, \partial_{yw} h = \partial_{wy} h, \partial_{zw} h = \partial_{wz} h. \end{aligned}$$

An explicit comparison of the order zero terms of (2) plugged into these relations shows that in the matrix $A(0)$ the first row is zero, which means that $A \notin GL_4(\mathcal{O}_{S,p})$.

Conjecture 29. *Let $D \subseteq S$ be a divisor defined at a point p by $h \in \mathcal{O}_{S,p}$. If J_h is radical, of depth 2 on $\mathcal{O}_{S,p}$ and equidimensional, then $\mathcal{O}_{S,p}/J_h$ is already Cohen–Macaulay. In other words: we conjecture that if a divisor that has locally at a point $p \in S$ an equidimensional radical Jacobian ideal of depth 2 is already free at p .*

If J_h is not equidimensional, the only thing we can say is that it is the intersection of some prime ideals whose minimal height is 2.

Example 30. (The Jacobian ideal can be of height 2 and radical but it may not be equidimensional) Consider $S = \mathbb{C}^5$ at the origin with coordinates (x, y, z, s, t) . Let the divisor D be locally defined by $h = (x^2 + y^2 + z^2)(s^2 - t^2) \in \mathcal{O} = \mathbb{C}\{x, y, z, s, t\}$. Note that D is splayed and the union of a normal crossing divisor and a cone. The Jacobian ideal J_h is radical, its height is 2 and it has the prime decomposition

$$(x, y, z) \cap (s - t, x^2 + y^2 + z^2) \cap (s, t) \cap (s + t, x^2 + y^2 + z^2).$$

The ideal J_h is not unmixed and hence $\mathcal{O}_{\text{Sing } D} = \mathcal{O}/J_h$ is not Cohen–Macaulay.

Question 31. *Suppose that J_h of a divisor D is radical and of height 2 but not equidimensional. Which J_h are possible?*

2.3.2. *Higher codimensional singular locus.* In this case the divisor D has to be irreducible and normal.

Proposition 32. *Let $J_h = \sqrt{J_h}$ be the Jacobian ideal of the divisor $D \subseteq S$ and denote by $\text{Sing } D$ its singular locus with associated ring $\mathcal{O}_{\text{Sing } D, p} = \mathcal{O}_{S,p}/J_h$ at p . Suppose that $\text{codim}_p(\text{Sing } D, S) = k$ and that $(\text{Sing } D, p)$ is a complete intersection. Then D is isomorphic to $\{x_1^2 + \dots + x_k^2 = 0\}$, that is, D has locally along $\text{Sing } D$ an A_1 -singularity.*

In particular, it follows that $(\text{Sing } D, p)$ is a complete intersection if and only if $(\text{Sing } D, p)$ is smooth and thus D is isomorphic to $\{x_1^2 + \dots + x_k^2 = 0\}$.

Proof. The proof is similar to the free divisor case, see Prop. 11. Since $(\text{Sing } D, p)$ is a complete intersection, there exist $f_1, \dots, f_k \in \mathcal{O}_{S,p}$ such that $J_h = (f_1, \dots, f_k)$. Since the f_i generate J_h , there is an $n \times k$ matrix A with entries in $\mathcal{O}_{S,p}$ such that

$$A(f_1, \dots, f_k)^T = (\partial_{x_1} h, \dots, \partial_{x_n} h)^T.$$

Similar to lemma 14 consider the $\mathcal{O}/\mathfrak{m} = \mathbb{C}$ module $J_h/\mathfrak{m}J_h$. Hence wlog. one may assume that $J_h = (\partial_{x_1}h, \dots, \partial_{x_k}h)$. Similar to the proof of Prop. 11 D may be considered in $(\mathbb{C}^k, 0)$ and defined by $h^*(x_1, \dots, x_k) = h(x_1, \dots, x_k, 0)$. Then since J_{h^*} defines a complete intersection of codimension k in $(\mathbb{C}^k, 0)$, it defines an isolated singularity. Like in the 2-dimensional case we find that D is locally isomorphic to $\{x_1^2 + \dots + x_k^2 = 0\}$. \square

It is not clear how to treat non-complete intersection radical Jacobian ideals of height k , $3 \leq k < n$ in \mathcal{O} , we do not even know if there exist divisors $D = \{h = 0\}$ such that $J_h = \sqrt{J_h}$ of height $k \geq 3$ is not a complete intersection. For example, one can show in a similar way like in example 28 that the equidimensional radical ideal $I = (x_1, x_2, x_3) \cap (x_4, x_5, x_6) \subseteq \mathcal{O} = \mathbb{C}\{x_1, \dots, x_6\}$ cannot be the Jacobian ideal of some $h \in \mathcal{O}$.

The propositions and examples above motivate the following

Conjecture 33. *Let D be a divisor in a complex manifold S , defined locally at a point p by a reduced $h \in \mathcal{O}_{S,p}$. Suppose that the Jacobian ideal J_h is radical, equidimensional and of depth ≥ 3 on $\mathcal{O}_{S,p}$. Then the variety $\text{Sing } D$ with coordinate ring \mathcal{O}/J_h is a complete intersection, that is, $\text{Sing } D$ is Cohen–Macaulay and must even be smooth by Prop. 32.*

3. NORMAL CROSSINGS AND LOGARITHMIC DIFFERENTIAL FORMS AND VECTOR FIELDS

Usually, free divisors are introduced via logarithmic differential forms and vector fields. Here we first introduce logarithmic differential forms and vector fields and also the logarithmic residue, which will be needed in this and the next section. The corresponding theory was developed by K. Saito in [Sai80], where also proofs for most of our assertions can be found.

In this section we give a characterization of a normal crossing divisor in terms of generators of its module of logarithmic differential forms resp. vector fields (Prop. 43). Namely, a divisor $D \subseteq S$ has normal crossings at a point p if and only if $\Omega_{S,p}^1(\log D)$ is a free $\mathcal{O}_{S,p}$ -module and has a basis of closed forms or if and only if $\text{Der}_{S,p}(\log D)$ is a free $\mathcal{O}_{S,p}$ -module and has a basis of commuting vector fields (this means that there exist logarithmic derivations $\delta_1, \dots, \delta_n$ such that the δ_i form a basis of $\text{Der}_{S,p}(\log D)$ and $[\delta_i, \delta_j] = 0$ for all $i, j = 1, \dots, n$). We remark that we only show the *existence* of bases with these properties of $\Omega_{S,p}^1(\log D)$ and $\text{Der}_{S,p}(\log D)$ in case D has normal crossings at p . We do not have a procedure to *construct* such bases. Thus, strictly considered, Prop. 43 does not satisfy our requirements on an effective algebraic criterion for normal crossings.

Let D be a divisor in S defined at p by $D = \{h = 0\}$. A *logarithmic vector field* (or *logarithmic derivation*) (along D) is a holomorphic vector field on S , that is, an element of Der_S , satisfying one of the two equivalent conditions:

- (i) For any smooth point p of D , the vector $\delta(p)$ of p is tangent to D ,
- (ii) For any point p , where (D, p) is given by $h = 0$, the germ $\delta(h)$ is contained in the ideal (h) of $\mathcal{O}_{S,p}$. The module of germs of logarithmic derivations (along D) at p is denoted by

$$\text{Der}_{S,p}(\log D) = \{\delta : \delta \in \text{Der}_{S,p} \text{ such that } \delta h \in (h)\},$$

These modules are the stalks at points p of the sheaf $\text{Der}_S(\log D)$ of \mathcal{O}_S -modules. Similarly we define logarithmic differential forms: a meromorphic q -form ω is logarithmic (along D) at a point p if ωh and $h d\omega$ are holomorphic in an open neighbourhood around p . We denote

$$\Omega_{S,p}^q(\log D) = \{\omega : \omega \text{ germ of a logarithmic } q\text{-form at } p\},$$

One can show that $\text{Der}_{S,p}(\log D)$ and $\Omega_{S,p}^1(\log D)$ are reflexive $\mathcal{O}_{S,p}$ -modules (see [Sai80]). By a theorem of Aleksandrov [Ale90], (D, p) is free if and only if $\text{Der}_{S,p}(\log D)$ resp. $\Omega_{S,p}^1(\log D)$ is a free $\mathcal{O}_{S,p}$ -module. The following theorem makes it possible to test whether D is free (cf. [Sai80, Thm. 1.8]):

Theorem 34 (Saito's criterion). *Let (S, D) , p and h be as above. $\Omega_{S,p}^1(\log D)$ is a free $\mathcal{O}_{S,p}$ -module if and only if one has $\bigwedge^n \Omega_{S,p}^1(\log D) = \frac{1}{h} \Omega_{S,p}^n(\log D)$. This means that there exist n elements $\omega_i \in \Omega_{S,p}^1(\log D)$ such that*

$$\omega_1 \wedge \dots \wedge \omega_n = u \frac{dx_1 \wedge \dots \wedge dx_n}{h},$$

where u is a unit in $\mathcal{O}_{S,p}$, i.e., $u \in \mathcal{O}_{S,p}^*$. Then the $\omega_1, \dots, \omega_n$ form an $\mathcal{O}_{S,p}$ -basis for $\Omega_{S,p}^1(\log D)$ and one can write

$$\Omega_{S,p}^q(\log D) = \sum_{i_1 < \dots < i_q} \mathcal{O}_{S,p} \omega_{i_1} \wedge \dots \wedge \omega_{i_q},$$

for all $q = 1, \dots, n$.

A similar statement also holds for $\text{Der}_{S,p}(\log D)$.

In the following the so-called logarithmic residue will be used. It is a tool to study the structure of the module of logarithmic differential forms along D . It is tightly connected to the normalization of D . Locally, the residue of $\Omega_{S,p}^1(\log D)$ is contained in the ring of meromorphic functions $\mathcal{M}_{D,p}$ on D . In some way it measures how far away a logarithmic q -form is from being holomorphic.

Historically, the study of residues of differential forms was initiated by A. Cauchy in 1825: he considered residues of holomorphic functions in one variable. Later, in 1887, H. Poincaré introduced the notion of the residue of a rational 2-form in \mathbb{C}^2 . This was generalized by G. de Rham and J. Leray to the class of d -closed meromorphic q -forms with poles of first order along a smooth divisor. The modern algebraic treatment of residues in duality theory is due to Leray and Grothendieck, see for example [Har66]. We will study the *logarithmic* residue as introduced by K. Saito. More about the logarithmic residue can be found in [AT01, Ale05, GS11].

Let S be an n -dimensional complex manifold and D a divisor in S given locally at a point $p \in S$ by a reduced equation $h \in \mathcal{O}_{S,p}$ and denote by $\pi : \tilde{D} \rightarrow D$ the normalization of D . Let \mathcal{O}_D and \mathcal{M}_D (resp. $\mathcal{O}_{\tilde{D}}$ and $\mathcal{M}_{\tilde{D}}$) be the sheaves of germs of holomorphic and meromorphic functions on D (resp. \tilde{D}). Further denote by Ω_D^q (resp. $\Omega_{\tilde{D}}^q$) the sheaf of germs of holomorphic q -forms on D (resp. \tilde{D}). One has $\mathcal{O}_{D,p} = \mathcal{O}_{S,p}/(h)\mathcal{O}_{S,p}$ and $\Omega_{D,p}^q = \Omega_{S,p}^q/(h\Omega_{S,p}^q + dh \wedge \Omega_{S,p}^{q-1})$ and also $\mathcal{M}_D \otimes_{\mathcal{O}_D} \Omega_D^q = \pi_*(\mathcal{M}_{\tilde{D}} \otimes_{\mathcal{O}_{\tilde{D}}} \Omega_{\tilde{D}}^q)$. In particular for $q = 0$ we have $\pi_*(\mathcal{M}_{\tilde{D}}) = \mathcal{M}_D$ since π is birational.

Definition 35. Let (S, D) , p and h be defined as usual. Let ω be any element in $\Omega_{S,p}^q(\log D)$. Then one can find a presentation (see [Sai80, 1.1])

$$g\omega = \frac{dh}{h} \wedge \xi + \eta,$$

with g holomorphic and $\dim \mathcal{O}_{D,p}/(g)\mathcal{O}_{D,p} \leq n-2$, $\xi \in \Omega_{S,p}^{q-1}$ and $\eta \in \Omega_{S,p}^q$. The residue homomorphism ρ is defined as the $\mathcal{O}_{S,p}$ -linear homomorphism of sheaves

$$\begin{aligned} \rho : \Omega_S^q(\log D) &\longrightarrow \mathcal{M}_D \otimes_{\mathcal{O}_D} \Omega_D^{q-1} \\ \omega &\longmapsto \rho(\omega) = \frac{\xi}{g}. \end{aligned}$$

We often call $\rho(\Omega_{S,p}^1(\log D)) \subseteq \mathcal{M}_{D,p}$ the *logarithmic residue* (of D at p).

One can show that the residue homomorphism ρ is well defined, see [Sai80, 2.4]. For an $\omega \in \Omega_{S,p}^q(\log D)$, $\rho(\omega)$ is 0 on D if and only if $\omega \in \Omega_{S,p}^q$. Moreover, $\rho(\Omega_S^q(\log D))$ is an $\mathcal{O}_{\bar{D}}$ -coherent submodule of $\mathcal{M}_{\bar{D}} \otimes \Omega_{\bar{D}}^{q-1}$. In particular, the logarithmic residue $\rho(\Omega_S^1(\log D))$ contains $\pi_*\mathcal{O}_{\bar{D}}$, the ring of weakly holomorphic functions on D .

For the characterization of normal crossings we start with the following theorem [Sai80, Thm. 2.9].

Theorem 36 (Saito's theorem). *Let (S, D) be a pair of a complex n -dimensional manifold and a divisor $D \subseteq S$. Suppose that locally at a point p the divisor D decomposes into irreducible components $(D, p) = (D_1, p) \cup \dots \cup (D_m, p)$. Let $h = h_1 \cdots h_m$ be the corresponding decomposition of the local equation of D , each irreducible factor h_i corresponding to D_i . Then the following conditions are equivalent:*

- (i) $\Omega_{S,p}^1(\log D) = \sum_{i=1}^m \mathcal{O}_{S,p} \frac{dh_i}{h_i} + \Omega_{S,p}^1$.
- (ii) $\Omega_{S,p}^1(\log D)$ is generated by closed forms.
- (iii) $\rho(\Omega_{S,p}^1(\log D)) = \bigoplus_{i=1}^m \mathcal{O}_{D_i,p}$.
- (iv) (a) For each $i = 1, \dots, m$ the component D_i is normal (i.e., $\dim \text{Sing } D_i \leq n-3$),
 (b) D_i intersects D_j transversally for $i \neq j$ and $i, j = 1, \dots, m$,
 (c) $\dim(D_i \cap D_j \cap D_k) \leq n-3$ for all i, j, k distinct and $i, j, k = 1, \dots, m$.

Example 37. Let D be the divisor in \mathbb{C}^3 defined by $h = xz(x+z-y^2)$. This divisor is called *Tülle* and is studied in more detail in [FH10]. Tülle consists of three components, which are smooth, intersect pairwise transversally and whose triple intersection is a point, see fig. 2. Thus it fulfills the assumption (iv) of Theorem 36. The local ring $\mathcal{O}_{\text{Sing } D, 0}$ defining the singular locus $(\text{Sing } D, 0)$ is not Cohen–Macaulay. Hence D is not free at the origin. Note that Tülle does not have normal crossings at the origin.

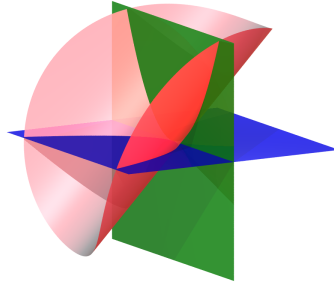


FIGURE 2. Tülle, defined by $h = xz(x+yz-y^2)$, has normal crossings outside the origin but is not free at the origin.

Lemma 38. *Denote by (S, D) a complex manifold of dimension n together with a divisor $D \subseteq S$, and let $(D, p) = \bigcup_{i=1}^m (D_i, p)$ be the decomposition of D into*

irreducible components at a point p in S . Suppose that $h = h_1 \cdots h_m$ is the local equation of D at p . Then D has normal crossings at p if and only if the dh_i/h_i are part of a basis, whose elements are closed, of the form $\omega_1 = dh_1/h_1, \dots, \omega_m = dh_m/h_m, \omega_{m+1} = df_{m+1}, \dots, \omega_n = df_n$ of $\Omega_{S,p}^1(\log D)$, that is,

$$\frac{dh_1}{h_1} \wedge \cdots \wedge \frac{dh_m}{h_m} \wedge df_{m+1} \wedge \cdots \wedge df_n = \frac{c}{h} \cdot dx_1 \wedge \cdots \wedge dx_n,$$

where the f_i are some suitable elements in $\mathcal{O}_{S,p}$ and $c \in \mathcal{O}_{S,p}^*$.

Proof. If D has normal crossings at p then one can find coordinates $x = (x_1, \dots, x_n)$ such that $h = x_1 \cdots x_m$ is the defining equation of D at p . Then clearly

$$\frac{dx_1}{x_1}, \dots, \frac{dx_m}{x_m}, dx_{m+1}, \dots, dx_n$$

form a basis of $\Omega_{S,p}^1(\log D)$. Conversely, suppose that $\frac{dh_1}{h_1} \wedge \cdots \wedge \frac{dh_m}{h_m} \wedge df_{m+1} \wedge \cdots \wedge df_n = c/h \cdot dx_1 \wedge \cdots \wedge dx_n$. This means that the Jacobian matrix of the $h_1, \dots, h_m, f_{m+1}, \dots, f_n$ has determinant $c \in \mathcal{O}_{S,p}^*$. By the implicit function theorem the h_i and the f_i are complex coordinates at p . Then, by definition D has normal crossings at p . \square

Lemma 39. *Let $D \subseteq S$ be a divisor in a complex manifold S with $\dim S = n$. Suppose that D is free at a point $p \in S$ and $\Omega_{S,p}^1(\log D)$ has a basis $\omega_1, \dots, \omega_n$ such that $\omega_1, \dots, \omega_k$, $k < n$ are in $\Omega_{S,p}^1$. Then one can find a local isomorphism $(D, p) \cong (D', p') \times (\mathbb{C}^k, 0)$, where (D', p') is in (\mathbb{C}^{n-k}, p') .*

Proof. Since $\Omega_{S,p}^1(\log D)$ is free with basis $\omega_1, \dots, \omega_n$, there is a unique basis $\delta_1, \dots, \delta_n$ of $\text{Der}_{S,p}(\log D)$ satisfying $\omega_i \cdot \delta_j = \delta_{ij}$. Suppose that $1 \leq i \leq k$. For all coefficients of $\omega_i = \sum_{j=1}^n w_{ij} dx_j$ and $\delta_i = \sum_{j=1}^n d_{ij} \partial_{x_j}$ are holomorphic, one obtains the equation

$$1 = \sum_{j=1}^n w_{ij} d_{ij}.$$

Since $\mathcal{O}_{S,p}$ is a local ring, at least one $w_{ij} d_{ij}$, w.l.o.g., for $j = 1$, is invertible in $\mathcal{O}_{S,p}$, which implies $d_{i1} \in \mathcal{O}_{S,p}^*$. Applying δ_i to h , the local defining equation of D yields $\partial_{x_1} h \in (h, \partial_{x_2} h, \dots, \partial_{x_n} h)$. The triviality lemma [Sai80, 3.5] yields that D is locally isomorphic to some $D' \times \mathbb{C}$. Applying this construction to the remaining ω_i , one arrives at $(D, p) \cong (D', p') \times (\mathbb{C}^k, 0)$. \square

Lemma 40. *Let $(D, p) = \bigcup_{i=1}^m (D_i, p)$ be given by the reduced equation $h = h_1 \cdots h_m$ and let $\omega \in \Omega_{S,p}^1(\log D)$ be a closed form. Then:*

(i) *The residue of ω along each branch D_i is constant, that is, $\rho(\omega)|_{D_i} = c_i$ with $c_i \in \mathbb{C}$ for $i = 1, \dots, m$.*

(ii) *ω can be represented as $\omega = \sum_{i=1}^m c_i dh_i/h_i + \xi$, where $c_i \in \mathbb{C}$ and $\xi \in \Omega_{S,p}^1$ is closed.*

(iii) *If the residue of ω along at least one branch D_i is non-zero, then ω can be represented as*

$$\omega = \sum_{i=1}^m c_i \frac{dh'_i}{h'_i}, \quad c_i \in \mathbb{C},$$

with $h'_i = u_i h_i$ and $u_i \in \mathcal{O}_{S,p}^*$. Note that h'_i also defines D_i and that $h' = h'_1 \cdots h'_m$ also defines D near p .

Proof. (i) is shown in the proof of [Sai80, Thm. 2.9].

(ii): Follows from the fact that $\rho(\omega) = 0$ if and only if ω is holomorphic and the resulting exact sequence, see [Sai80, 2.5].

(iii): Suppose that $\omega = \sum_{i=1}^m c_i \frac{dh_i}{h_i} + \xi$, with $c_i \in \mathbb{C}$, is a closed logarithmic form.

Since we consider germs of differential forms, one can assume (Poincaré's lemma) that $\xi = df$ for some $f \in \mathcal{O}_{S,p}$. Now assume that the residue $\rho(\omega)|_{D_1}$ is non-zero. Define $h'_1 := h_1 \exp(f/c_1)$. Then $h'_1 h_2 \cdots h_m$ also defines D because multiplying with a unit does not change the zero-set locally at p . The following holds:

$$c_1 \frac{dh'_1}{h'_1} = c_1 \frac{dh_1}{h_1} + df = c_1 \frac{dh_1}{h_1} + \xi.$$

Hence we have $\omega = c_1 dh'_1/h'_1 + \sum_{i=2}^m c_i dh_i/h_i$. \square

Lemma 41. *Let $(D, p) = \bigcup_{i=1}^m (D_i, p)$ be free at p and let $\Omega_{S,p}^1(\log D)$ have a basis $\omega_1, \dots, \omega_n$ consisting of closed forms. Then $m \leq n$ and maximally $n - m$ elements ω_i of this basis are holomorphic forms.*

Proof. From Lemma 40 it follows that each closed basis element ω_i can be represented as $\omega_i = \sum_{j=1}^m c_{ij} dh_j/h_j + df_i$ with $df_i \in \Omega_{S,p}^1$ and $c_{ij} \in \mathbb{C}$ for $j = 1, \dots, m$. First suppose that $m > n$. By Saito's criterion one knows that $\bigwedge_{i=1}^n \omega_i = \frac{c}{h_1 \cdots h_m} dx_1 \wedge \dots \wedge dx_n$ with $c \in \mathcal{O}_{S,p}^*$. This means that the n -form $\bigwedge_{i=1}^n \omega_i$ has a simple pole at $h_1 \cdots h_m$. But forming the wedge product of the ω_i we obtain (by a simple computation) $\bigwedge_{i=1}^n \omega_i = \frac{g}{h_1 \cdots h_m} dx_1 \wedge \dots \wedge dx_n$ with $g \in (h_1, \dots, h_m) \subseteq \mathfrak{m}$. Thus g is not invertible, which is a contradiction to Saito's criterion.

For the second assertion suppose that $\omega_i = df_i$, $f_i \in \mathcal{O}_{S,p}$ for $i = m, \dots, n$ are holomorphic, that is, the basis contains $n - m + 1$ closed holomorphic elements. An application of Lemma 39 yields an isomorphism $(D, p) \cong (D', 0) \times (\mathbb{C}^{n-m+1}, 0)$ with $(D', 0) \subseteq (\mathbb{C}^{m-1}, 0)$. This means that D' would be a free divisor with m irreducible components and with a basis of closed forms in an $m - 1$ dimensional manifold. Contradiction to the first assertion of this lemma. \square

Proposition 42. *Let $(D, p) = \bigcup_{i=1}^m (D_i, p)$ be free at p and let $\Omega_{S,p}^1(\log D)$ have a basis consisting of closed forms $\omega_1, \dots, \omega_n$. Then $m \leq n$ and ω_i can be chosen as $\omega_i = dh'_i/h'_i$ where $h'_i = f_i h_i$ with $f_i \in \mathcal{O}_{S,p}^*$ for $i = 1, \dots, m$ and $\omega_i = df_i$ with $f_i \in \mathcal{O}_{S,p}$ holomorphic for $i = m + 1, \dots, n$.*

Proof. From Lemma 41 it follows that $m \leq n$ and from Lemma 40 that $(\omega_1, \dots, \omega_n)$ can be represented as

$$(\omega_1, \dots, \omega_n)^T = \begin{pmatrix} C & 0 \\ 0 & I_{n-m} \end{pmatrix} \begin{pmatrix} \frac{dh}{h} \\ 0 \end{pmatrix} + \begin{pmatrix} \xi \\ df \end{pmatrix}$$

with C an $m \times m$ -matrix with entries in \mathbb{C} , $\frac{dh}{h} = (\frac{dh_1}{h_1}, \dots, \frac{dh_m}{h_m})^T$, $\xi = (\xi_1, \dots, \xi_m)^T$ with $\xi_i \in \Omega_{S,p}^1$ and $df = (df_{m+1}, \dots, df_n)^T$ with $f_i \in \mathcal{O}_{S,p}$. Elementary linear algebra computations and an application of lemma 41 yield that $C \in GL_m(\mathbb{C})$. Thus one can assume that $(\omega_1, \dots, \omega_m)$ is of the form $(\frac{dh_1}{h_1} + \xi'_1, \dots, \frac{dh_m}{h_m} + \xi'_m)$, where $\xi'_i = M\xi_i$. As in Lemma 40 write $\omega_i = dh'_i/h'_i$, where for $\xi'_i = df_i/f_i$, $f_i \in \mathcal{O}_{S,p}^*$ one has $h'_i = f_i h_i$. The change of one h_i does not affect the others. The functions h'_i also define the divisor D at p . The assertion of the proposition follows. \square

Proposition 43. *Denote by (S, D) a complex manifold with $\dim S = n \geq 2$ together with a divisor $D \subseteq S$ and let $p \in S$ be a point. The following conditions are equivalent:*

- (i) D has normal crossings at p .
- (ii) $\Omega_{S,p}^1(\log D)$ is free and has a basis of closed forms.
- (iii) $\text{Der}_{S,p}(\log D)$ is free and there exists a basis $\delta^1, \dots, \delta^n$ of $\text{Der}_{S,p}(\log D)$ such that $[\delta^i, \delta^j] = 0$ for all $i, j \in \{1, \dots, n\}$.

Proof. (i) \Rightarrow (ii) is a simple computation (cf. proof of lemma 38).

Conversely, suppose that $\Omega_{S,p}^1(\log D)$ has a basis of closed forms. By Proposition 42 we can assume that $(D, p) = \bigcup_{i=1}^m (D_i, p)$ has $m \leq n$ irreducible components and that the closed basis of $\Omega_{S,p}(\log D)$ is of the form $dh_1/h_1, \dots, dh_m/h_m, df_{m+1}, \dots, df_n$, where h_i is the reduced function corresponding to the component (D_i, p) . By Lemma 38 the existence of a closed basis of this form is equivalent to (D, p) having normal crossings.

It remains to show (ii) \Leftrightarrow (iii): We have

$$(3) \quad d\omega(\xi^1, \xi^2) = \xi^1(\omega(\xi^2)) - \xi^2(\omega(\xi^1)) - \omega([\xi^1, \xi^2]),$$

where ω is a differential 1-form and ξ^1, ξ^2 are vector fields (see e.g. [Cap11, Def. 4.4.]). First, suppose that $[\delta^i, \delta^j] = 0$ for all pairs (i, j) . Plugging δ^i, δ^j into a basis element ω_k yields $d\omega_k(\delta^i, \delta^j) = \delta^i(\delta_{jk}) - \delta^j(\delta_{ik}) - \omega_k(0) = 0$. Hence any basis element ω_k is closed. Conversely, if each ω_k is closed, it follows from (3) that $\omega_k([\delta^i, \delta^j]) = 0$. Since $\text{Der}_{S,p}(\log D)$ is closed under $[\cdot, \cdot]$ and the δ 's form a basis of $\text{Der}_{S,p}(\log D)$, the equation $[\delta^i, \delta^j] = \sum_{k=1}^n g_k \delta^k$ holds for some $g_k \in \mathcal{O}_{S,p}$. Using the $\mathcal{O}_{S,p}$ -linearity of ω_k we obtain $g_k = 0$ for any $k = 1, \dots, n$. Since this equality holds for any i, j, k it follows that $[\delta^i, \delta^j] = 0$ for all pairs (i, j) . \square

Question 44. (1) *Construct special bases: we ask for a constructive algorithm for a closed basis of $\Omega_{S,p}^1(\log D)$ (resp. a basis of commuting fields of $\text{Der}_{S,p}(\log D)$), which in the first place determines if there exists such a basis.*

(2) *Construct a minimal system of generators of $\Omega_{S,p}^q(\log D)$, in particular in the case where (D, p) is not free.*

4. NORMAL CROSSINGS AND (DUAL) LOGARITHMIC RESIDUE

In this section we present a characterization of normal crossing divisors by their logarithmic residue $\rho(\Omega_{S,p}^1(\log D))$. It follows from results about the dual logarithmic residue, which was introduced by Granger and Schulze in [GS11]. They showed that with the dual logarithmic residue a question by K. Saito [Sai80, TS84] concerning the logarithmic residue can be answered, see Thm. 59.

In [Sai80, 2.8] it is shown that the logarithmic residue of $\Omega_{S,p}^1(\log D)$ always contains the ring of weakly holomorphic functions on D . So it is quite natural to ask when the two rings are the same. For free divisors the answer is surprisingly simple (under the additional condition that the normalization of D is smooth): $\rho(\Omega_{S,p}^1(\log D)) = \pi_* \mathcal{O}_{\tilde{D}, p}$ if and only if (D, p) has normal crossings. In general the equality is equivalent to saying that (D, p) has normal crossings in codimension 1 (see Thm. 59).

This section is organized as follows: first we consider examples of divisors (D, p) with weakly holomorphic logarithmic residue. Then some properties of divisors with weakly holomorphic residues are studied. Finally we introduce the dual logarithmic residue in order to prove the theorem.

Suppose that D is a free divisor whose logarithmic residue $\rho(\Omega_S^1(\log D))$ is equal to $\pi_* \mathcal{O}_{\tilde{D}}$. Recall that $\pi_* \mathcal{O}_{\tilde{D}}$ is equal to the normalization $\tilde{\mathcal{O}}_D$ and also to the ring of weakly holomorphic functions. Since we consider free divisors, it is possible to compute $\rho(\Omega_S^1(\log D))$ and $\pi_* \mathcal{O}_{\tilde{D}}$ explicitly with a computer algebra system: from a basis of $\Omega_{S,p}^1(\log D)$ the logarithmic residue can be computed, and it is also possible to compute the normalization of D . However, computing normalizations is of high complexity, so we are confined to low dimensional examples.

Example 45. Let $D \subseteq S$ with $\dim S = n$ be smooth at a point p . Then locally at p we can find coordinates (x_1, \dots, x_n) such that $D = \{x_1 = 0\}$. Since $\Omega_{S,p}^1(\log D)$ is generated by $\frac{dx_1}{x_1}, dx_2, \dots, dx_n$, the residue of a logarithmic form $\omega = a_1 \frac{dx_1}{x_1} + \sum_{i=2}^n a_i dx_i$ is just $a_1|_D$ and hence $\rho(\Omega_{S,p}^1(\log D)) = \mathcal{O}_{D,p}$, also cf. Thm. 36.

Example 46. Consider the cusp D in \mathbb{C}^2 , given by $h = x^3 - y^2$ with coordinate ring $\mathcal{O}_{D,0} = \mathbb{C}\{x, y\}/(x^3 - y^2)$. It is well known that $\tilde{\mathcal{O}}_D = \mathbb{C}\{t\}$ with $t = \frac{y}{x}$. A basis of $\Omega_{\mathbb{C}^2,0}^1(\log D)$ is $\omega_1 = \frac{dh}{h}$ and $\omega_2 = \frac{1}{h}(3ydx + 2xdy)$. Here $\rho(\omega_2) = \frac{x}{y} = t^{-1}$ is clearly not in $\mathbb{C}\{t\}$. Thus it follows that $\Omega^1(\log D) \not\supseteq \pi_*\mathcal{O}_{\tilde{D}}$.

Example 47. (The 4-lines) In this example, the divisor D is free but does not have normal crossings outside an $(n-3)$ -dimensional subset of D . Let D be the divisor in \mathbb{C}^3 given at The divisor D is free, thus one can compute a basis of $\Omega_{\mathbb{C}^3,p}^1(\log D)$, namely

$$\begin{aligned}\omega_1 &= \frac{dh}{h} \\ \omega_2 &= \frac{1}{4h}(y(zx + 9yz + 7x + 7y)dx - x(zx + 9yz + 7x + 7y)dy - (x+y)y(2y+x)dz) \\ \omega_3 &= \frac{1}{4h}(y(x+y+yz)dx - x(x+y+yz)dy)\end{aligned}$$

This basis is the dual to the basis of $\text{Der}_{\mathbb{C}^3,p}(\log D)$ given in example 6.2 of [CN02] (in different coordinates). Here $\pi_*\mathcal{O}_{\tilde{D},p} \cong \mathcal{O}_{D,p}$ is isomorphic to

$$\mathbb{C}\{x, y, z\}/(x+y) \oplus \mathbb{C}\{x, y, z\}/(y) \oplus \mathbb{C}\{x, y, z\}/(x+2y) \oplus \mathbb{C}\{x, y, z\}/(x+y+yz).$$

Since $\dim(\{h = \partial_y h = 0\}) = 1$, we have $\rho(\omega_i) = \frac{a_{i2}}{\partial_y h}$, where $\omega_i = \frac{1}{h}(a_{i1}dx + a_{i2}dy + a_{i3}dz)$ for $i = 1, 2, 3$. For example the computation of $\rho(\omega_3)|_{D_1} = -\frac{1}{4x}$ shows that the residue of ω_3 is not holomorphic in $\pi_*\mathcal{O}_{\tilde{D}_1,p}$. Hence the inclusion $\pi_*\mathcal{O}_{\tilde{D},p} \not\supseteq \rho(\Omega_{S,p}^1(\log D))$ is strict.

Example 48. Consider the Whitney Umbrella D in \mathbb{C}^3 given by $h = x^2 - y^2z$. The normalization \tilde{D} is smooth at the origin and has coordinate ring $\pi_*\mathcal{O}_{\tilde{D},0} = \mathbb{C}\{x, y, z, t\}/(x^2 - y^2z, yt - x, z - t^2) \cong \mathbb{C}\{y, t\}$. One can show that $\Omega_{\mathbb{C}^3,0}^1(\log D)$ is generated by $dh/h, \omega = (yzdx - xzdy - 1/2xydz)/h$ and dx, dy, dz . Since $\rho(\omega) = yz/2x = t/2$ it follows that $\rho(\Omega_{\mathbb{C}^3,0}^1(\log D))$ is holomorphic on the normalization. Note that D is not free.

These examples lead to the following

Proposition 49. *Let (S, D) be a manifold of complex dimension n together with a divisor $D \subseteq S$. Suppose that D is free at p , that*

$$\rho(\Omega_{S,p}^1(\log D)) = \pi_*\mathcal{O}_{\tilde{D},p}$$

and that $(\tilde{D}, \pi^{-1}(p))$ is smooth. Then D has normal crossings at p .

First we consider some general properties of divisors with weakly holomorphic residue, in particular we show that if D is a free divisor in a complex manifold S of dimension n , having n irreducible components D_i at a point p and satisfying $\rho(\Omega_{S,p}^1(\log D)) = \pi_*\mathcal{O}_{\tilde{D},p}$, then D has normal crossings at p (Corollary to Lemma 53). Then we introduce the dual logarithmic residue and prove Prop. 49 (following Granger and Schulze).

4.1. Divisors with weakly holomorphic logarithmic residue. Here we show first an analogue of Theorem 36 (i) \Leftrightarrow (iii). Then some properties of $\pi_*\mathcal{O}_{\tilde{D},p}$ are considered (Cohen–Macaulayness). Finally we show how to choose “good” generators for $\Omega_{S,p}^1(\log D)$ if $\rho(\Omega_{S,p}^1(\log D)) = \pi_*\mathcal{O}_{\tilde{D},p}$ and that D is Euler–homogeneous in this case (Lemma 53).

Proposition 50. *Let (S, D) be a divisor D in a complex manifold S of dimension n . Then the following are equivalent:*

- (i) $\Omega_{S,p}^1(\log D) = \mathcal{O}_{S,p}\langle\omega_1, \dots, \omega_k\rangle + \Omega_{S,p}^1$, such that $\rho(\omega_1), \dots, \rho(\omega_k) \in \pi_*\mathcal{O}_{\tilde{D},p}$ generate $\pi_*\mathcal{O}_{\tilde{D},p}$ as $\mathcal{O}_{D,p}$ -module.
- (ii) $\rho(\Omega_{S,p}^1(\log D)) = \pi_*\mathcal{O}_{\tilde{D},p}$.

Proof. The implication (i) \Rightarrow (ii) is clear, since ρ is a sheaf homomorphism and $\rho(\Omega_{S,p}^1) = 0$. Suppose now that $\rho(\Omega_{S,p}^1(\log D)) = \pi_*\mathcal{O}_{\tilde{D},p}$. The normalization is a finitely generated $\mathcal{O}_{D,p}$ -module, i.e., $\pi_*\mathcal{O}_{\tilde{D},p} = \sum_{i=1}^k \mathcal{O}_{D,p}\alpha_i$ for some $\alpha_i \in \pi_*\mathcal{O}_{\tilde{D},p}$. The sequence

$$(4) \quad 0 \longrightarrow \Omega_{S,p}^1 \longrightarrow \Omega_{S,p}^1(\log D) \xrightarrow{\rho} \pi_*\mathcal{O}_{\tilde{D},p} \longrightarrow 0$$

is exact (cf. [Sai80]). Thus there exist some $\omega_i \in \Omega_{S,p}^1(\log D)$ such that $\rho(\omega_i) = \alpha_i$ for each $i = 1, \dots, k$. Now take any $\omega \in \Omega_{S,p}^1(\log D)$. Then $\rho(\omega) = \sum_{i=1}^k a_i \rho(\omega_i)$ for some $a_i \in \mathcal{O}_{D,p}$. Choose some representatives of the $a_i \in \mathcal{O}_{S,p}$ and define $\omega' := \sum_{i=1}^k a_i \omega_i$. Clearly $\omega' \in \Omega_{S,p}^1(\log D)$ as well as $\omega - \omega'$. But $\rho(\omega - \omega') = 0$, so $\omega - \omega'$ is holomorphic. This shows that any $\omega \in \Omega_{S,p}^1(\log D)$ can be written as an $\mathcal{O}_{S,p}$ -linear combination of the ω_i and some holomorphic form. \square

Lemma 51. *Let (S, D) be a divisor D in a complex manifold S of dimension n . Suppose that at a point p the divisor is free and $\rho(\Omega_{S,p}^1(\log D)) = \pi_*\mathcal{O}_{\tilde{D},p}$.*

- (i) *The ring $\pi_*\mathcal{O}_{\tilde{D},p}$ is Cohen–Macaulay.*
- (ii) *If D additionally is not smooth and does not contain a smooth factor at p , i.e., is not locally isomorphic to some Cartesian product $(D', p') \times (\mathbb{C}^k, 0)$ for some $0 < k < n$, one may assume that $\pi_*\mathcal{O}_{\tilde{D},p}$ is minimally generated by n elements α_i , where $\alpha_1 = 1$ and $\alpha_i \in \pi_*\mathcal{O}_{\tilde{D},p} \setminus \mathcal{O}_{D,p}$ for $i \geq 2$.*

Proof. (i): Under our assumptions, the exact sequence (4) yields a free resolution of $\pi_*\mathcal{O}_{\tilde{D},p}$ (as $\mathcal{O}_{S,p}$ -module). Since we are working over a regular local ring, it follows that $\text{projdim}_{\mathcal{O}_{S,p}}(\pi_*\mathcal{O}_{\tilde{D},p}) \leq 1$. With the Auslander–Buchsbaum formula follows $\text{depth}(\mathfrak{m}_S, \pi_*\mathcal{O}_{\tilde{D},p}) \geq n - 1$ (where \mathfrak{m}_S denotes the maximal ideal of $\mathcal{O}_{S,p}$). Since the depth is stable under local homomorphisms, $\text{depth}(\mathfrak{m}_D, \pi_*\mathcal{O}_{\tilde{D},p}) \geq n - 1$. First suppose that (D, p) is irreducible, then $\pi_*\mathcal{O}_{\tilde{D},p}$ is a local ring. Since then $\mathcal{O}_{D,p} \subseteq \pi_*\mathcal{O}_{\tilde{D},p}$ is a finite ring extension it follows that the depth of $\pi_*\mathcal{O}_{\tilde{D},p}$ as an $\pi_*\mathcal{O}_{\tilde{D},p}$ -module is also greater than or equal to $n - 1$. Clearly, $\dim(\pi_*\mathcal{O}_{\tilde{D},p}) = n - 1$ and so the assertion follows from the height–depth inequality.

If $(D, p) = \bigcup_{i=1}^m (D_i, p)$, where (D_i, p) denote the irreducible components, then $\pi_*\mathcal{O}_{\tilde{D},p} = \bigoplus_{i=1}^m \pi_*\mathcal{O}_{\tilde{D}_i,p}$ is a semi-local ring with m maximal ideals $\mathfrak{m}_{\tilde{D}_i}$, $i = 1, \dots, m$. Then $\pi_*\mathcal{O}_{\tilde{D},p}$ is Cohen–Macaulay if $(\pi_*\mathcal{O}_{\tilde{D},p})_{\mathfrak{m}_{\tilde{D}_i}} \cong \pi_*\mathcal{O}_{\tilde{D}_i,p}$ is Cohen–Macaulay for all $i = 1, \dots, m$. But this follows from the irreducible case since $\text{depth}(\mathfrak{m}_S, \pi_*\mathcal{O}_{\tilde{D},p}) = \text{depth}(\mathfrak{m}_S, \pi_*\mathcal{O}_{\tilde{D}_i,p})$ for all $i = 1, \dots, m$.

(ii): follows from lemmata 50 and 39 and an application of the lemma of Nakayama. \square

Lemma 52. *Let $D \subseteq S$ be a divisor in a complex manifold S . If b is an element in $\mathcal{O}_{D,p}$ that is invertible in $\pi_*\mathcal{O}_{\tilde{D},p}$ then b is already invertible in $\mathcal{O}_{D,p}$.*

Proof. Easy computation. \square

Lemma 53. *Let $D \subseteq S$ be a divisor in a complex manifold S of dimension n . Suppose that $\rho(\Omega_{S,p}^1(\log D)) = \pi_*\mathcal{O}_{\tilde{D},p}$. Then $\frac{dh}{h} \in \Omega_{S,p}^1(\log D)$ can be chosen as an element of a minimal system of generators of $\Omega_{S,p}^1(\log D)$. If $(D, p) = \bigcup_{i=1}^m (D_i, p)$, defined by $h = h_1 \cdots h_m$ in $\mathcal{O}_{S,p}$ then the $\frac{dh_i}{h_i}$ form part of a minimal system of generators of $\Omega_{S,p}^1(\log D)$.*

Proof. Since $\Omega_S^1(\log D)$ is a coherent analytic sheaf, the stalk $\Omega_{S,p}^1(\log D)$ has a finite minimal system of generators $\omega_1, \dots, \omega_k$ with $k \geq n$. One can write

$$\frac{dh}{h} = \sum_{i=1}^k a_i \omega_i,$$

for some $a_i \in \mathcal{O}_{S,p}$. Taking residues yields

$$(5) \quad 1_{\pi_*\mathcal{O}_{\tilde{D},p}} = \sum_{i=1}^n a_i|_D \rho(\omega_i).$$

First assume that D is irreducible at p . Then $\pi_*\mathcal{O}_{\tilde{D},p}$ is a local ring and at least one $a_i|_D$ has to be invertible in $\pi_*\mathcal{O}_{\tilde{D},p}$. By Lemma 52 this $a_i|_D$ is already invertible in $\mathcal{O}_{D,p}$. Thus $a_i(0) \neq 0$ and hence a_i is contained in $\mathcal{O}_{S,p}^*$. This implies that $\frac{dh}{h}$ can be chosen as an element of a minimal system of generators of $\Omega_{S,p}^1(\log D)$ instead of ω_i .

If $(D, p) = \bigcup_{i=1}^m (D_i, p)$ is the decomposition into irreducible components, equation (5) reads as follows:

$$1_{\pi_*\mathcal{O}_{\tilde{D},p}} = \sum_{i=1}^k a_i|_D \rho(\omega_i) = \sum_{j=1}^m \left(\sum_{i=1}^k a_i|_{D_j} \rho(\omega_i)|_{D_j} \right).$$

Since the sum of the $\pi_*\mathcal{O}_{\tilde{D}_j,p}$ is direct,

$$1_{\pi_*\mathcal{O}_{\tilde{D}_1,p}} = \sum_{i=1}^k a_i|_{D_1} \rho(\omega_i)|_{D_1}.$$

Like in the irreducible case, it follows that $a_i|_{D_1}$, wlog. for $i = 1$, has to be invertible in $\pi_*\mathcal{O}_{\tilde{D}_1,p}$. Also, it follows that a representative of $a_1|_{D_1}$ in $\mathcal{O}_{S,p}$, namely a_1 , is invertible in $\mathcal{O}_{S,p}$, so we may exchange ω_1 and $\frac{dh_1}{h_1}$. For the remaining $\frac{dh_i}{h_i}$ a similar argument is used. Thus all $\frac{dh_i}{h_i}$ can be chosen as part of a minimal system of generators. Clearly, also $\frac{dh}{h}, \frac{dh_2}{h_2}, \dots, \frac{dh_m}{h_m}$ are also part of any minimal system of generators. \square

Remark 54. Consider D with the assumptions of Lemma 53 and further suppose that D is free. Then the element $\frac{dh}{h}$ can be chosen as an element of a basis of $\Omega_{S,p}^1(\log D)$. Then D is Euler-homogeneous: one can find a $\delta \in \text{Der}_{S,p}(\log D)$ such that $\delta \cdot \frac{dh}{h} = 1$. Hence $\delta(h) = h$. So we have shown that all free divisors D with $\pi_*\mathcal{O}_{\tilde{D},p} = \rho(\Omega_{S,p}^1(\log D))$ are Euler-homogeneous at p .

Corollary 55. *Let D be a divisor in a complex manifold S of dimension n and suppose that at a point p , D has n irreducible components (D_i, p) . If D has weakly holomorphic residue and is free at p , then D has normal crossings at p .*

Proof. Use lemma 53 and Saito's criterion. \square

4.2. The dual logarithmic residue. The dual logarithmic residue was introduced by Granger and Schulze in [GS11]. It relates the Jacobian ideal of a divisor with the conductor ideal of the normalization. Here it will be used for the proof of Prop. 49.

Let (S, D) be a complex manifold S of dimension n together with a divisor D that is locally at a point $p \in S$ given by $\{h = 0\}$. Denote by $\pi : \tilde{D} \rightarrow D$ the normalization of D . Here we will abbreviate $\mathcal{O}_{S,p}$ to \mathcal{O}_S etc. By definition there is an exact sequence (cf. (4))

$$(6) \quad 0 \longrightarrow \Omega_S^1 \longrightarrow \Omega_S^1(\log D) \xrightarrow{\rho} \rho(\Omega_S^1(\log D)) \longrightarrow 0.$$

By applying the functor $\mathrm{Hom}_{\mathcal{O}_S}(-, \mathcal{O}_S)$ to (6) one obtains

$$(7) \quad 0 \longrightarrow \mathrm{Der}_S(\log D) \longrightarrow \mathrm{Der}_S \xrightarrow{\sigma} \rho(\Omega_S^1(\log D))^\vee \longrightarrow \mathrm{Ext}_{\mathcal{O}_S}^1(\Omega_S^1(\log D), \mathcal{O}_S) \longrightarrow 0.$$

Here $-\vee$ denotes $\mathrm{Hom}_{\mathcal{O}_D}(-, \mathcal{O}_D)$. By Lemma 4.5 of [DSS⁺11] one has

$$\mathrm{Ext}_{\mathcal{O}_S}^1(\rho(\Omega_S^1(\log D)), \mathcal{O}_S) = \mathrm{Hom}_{\mathcal{O}_D}(\rho(\Omega_S^1(\log D)), \mathcal{O}_D) = \rho(\Omega_S^1(\log D))^\vee,$$

which explains the third term on the right in (7). Then $\rho(\Omega_S^1(\log D))^\vee$ is called the *dual logarithmic residue* and denote it shortly by \mathcal{R}_D^\vee .

One can show (see [GS11]) that $\rho(\Omega_S^1(\log D)) = \tilde{J}_h^\vee$, where \tilde{J}_h is the ideal generated by $(\partial_{x_1} h, \dots, \partial_{x_n} h) \subseteq \mathcal{O}_D$, that is, the Jacobian ideal of D .

Proposition 56. *Let $D \subseteq S$ be free. If the logarithmic residue is weakly holomorphic, that is, $\rho(\Omega_{S,p}^1(\log D)) = \pi_* \mathcal{O}_{\tilde{D},p}$, then $\tilde{J}_h \subseteq \mathcal{O}_D$ is equal to the conductor ideal C_D . Conversely, if \tilde{D} is Cohen–Macaulay at p and $\tilde{J}_h = C_D$, then*

$$\rho(\Omega_{S,p}^1(\log D)) = \pi_* \mathcal{O}_{\tilde{D},p}.$$

Proof. See [GS11]. □

Lemma 57. *Let $D \subseteq S$ be a divisor in a complex manifold of dimension n . Suppose that D is free at p , $\rho(\Omega_{S,p}^1(\log D)) = \pi_* \mathcal{O}_{\tilde{D},p}$ and that $(D, p) = \bigcup_{i=1}^m (D_i, p)$, where each irreducible component D_i is normal. Then all (D_i, p) are smooth and (D, p) has normal crossings.*

Proof. Since all irreducible components are normal, it follows that $\rho(\Omega_{S,p}^1(\log D)) = \bigoplus_{i=1}^m \mathcal{O}_{D_i,p}$. By Theorem 36 and proposition 43 (D, p) is a normal crossing singularity. □

Proof of Prop. 49. Using Prop. 56 it follows (similar to Thm. 4) from Piene’s theorem that $\Omega_{\tilde{D}/D}^1 = 0$. By [AK70, VI, Prop. 1.18, Prop. 1.20] (localization to an irreducible component D_i and base change) it follows that $\Omega_{\tilde{D}_i/D_i}^1 = 0$ for all $i = 1, \dots, m$. Then using lemma 57, the remaining proof is similar to the one of Thm. 4. □

Theorem 36 suggests that $\rho(\Omega_S^1(\log D))$, the residue of logarithmic 1-forms, is directly related to the geometry of the divisor D . K. Saito has considered the relationship between the logarithmic residue and the local fundamental group of the complement of the divisor. He asked the following, cf. [Sai80, (2.12)]:

Question 58 (K. Saito). *Let (S, D) be a manifold with $\dim S = n$ together with a divisor $D \subseteq S$ and let p be a point on D . Are the following equivalent?*

- (i) *The local fundamental group $\pi_{1,q}(S \setminus D)$ for q near p is abelian.*
- (ii) *There exists an $(n-3)$ -dimensional analytic subset Z of D , such that $D \setminus Z$ has*

only normal crossing singularities in a neighbourhood of p .

$$(iii) \rho(\Omega_{S,p}^1(\log D)) = \pi_* \mathcal{O}_{\tilde{D},p}.$$

The implications $(i) \Rightarrow (ii) \Rightarrow (iii)$ were proven in [Sai80]. In 1985 Lê and Saito [TS84] gave a topological proof of the equivalence of (i) and (ii) . The implication $(iii) \Rightarrow (ii)$ was only recently proven by Granger and Schulze [GS11]. Below is a proof using our proposition 49. Hence all three conditions are equivalent. There seems to be no obvious link between the residue and the fundamental group, and nobody seems to have studied how to prove directly that (i) is equivalent to (iii) .

Theorem 59 (Granger–Schulze). *Let (S, D) be a complex manifold together with a divisor $D \subseteq S$. If the logarithmic residue $\rho(\Omega_S^1(\log D)) = \pi_* \mathcal{O}_{\tilde{D}}$, then D has normal crossings in codimension 1.*

Proof. By a theorem of Scheja [Sch64] (also see [ST71]), applied to $\mathcal{O}_{\text{Sing } D}$, the divisor D is free outside an analytic subset $Z \subseteq S$ of codimension at least 2 in D . Since $\rho(\Omega_{S,p}^1(\log D)) = \pi_* \mathcal{O}_{\tilde{D},p}$ for all $p \in S$ and \tilde{D} is by definition smooth in codimension 1 it follows from Prop. 49 that D has normal crossings outside an analytic set of codimension 2 in D . \square

Similar to conjecture 26 one asks

Question 60. *Is a free divisor that has normal crossings in codimension 1 already a normal crossing divisor?*

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