

# Hankel determinants of Schröder-like numbers

Johann Cigler

## Abstract

After a short survey about Schröder numbers and some generalizations which I call Schröder-like numbers I study some  $q$ -analogues which have simple Hankel determinants. Some special cases have already been considered in [2] and [3].

## 1. Schröder numbers

In the first paragraphs I state some results about Schröder numbers and Hankel determinants which are either well known or simple modifications of well-known results.

The (large) Schröder numbers  $r_n$  can be defined by the generating function

$$F(z) = \sum_{n \geq 0} r_n z^n = \frac{1 - z - \sqrt{1 - 6z + z^2}}{2z}. \quad (1.1)$$

The first terms of this sequence are (cf. [4] A006318)

$$(r_n)_{n \geq 0} = (1, 2, 6, 22, 90, \dots). \quad (1.2)$$

The generating function satisfies

$$F(z) = 1 + zF(z) + zF(z)^2. \quad (1.3)$$

A closely related sequence (cf. [4], A001003) is the sequence  $(1, 1, 3, 11, 45, 197, \dots)$  of little Schröder numbers  $s_n$ , defined by  $s_0 = 1$  and  $s_n = \frac{r_n}{2}$  for  $n > 0$ .

Their generating function

$$f(z) = \frac{1 + F(z)}{2} \quad (1.4)$$

satisfies

$$f(z) = 1 - zf(z) + 2zf(z)^2. \quad (1.5)$$

Historical remarks about these numbers can be found in [7].

It is well known that the Hankel determinants of these numbers are (cf. [4] A006318, A001003)

$$\det(r_{i+j})_{i,j=0}^{n-1} = 2^{\binom{n}{2}}, \quad (1.6)$$

$$\det(r_{i+j+1})_{i,j=0}^{n-1} = 2^{\binom{n+1}{2}}, \quad (1.7)$$

$$\det(s_{i+j})_{i,j=0}^{n-1} = \det(s_{i+j+1})_{i,j=0}^{n-1} = 2^{\binom{n}{2}} \quad (1.8)$$

and

$$\det(s_{i+j+2})_{i,j=0}^{n-1} = 2^{\binom{n}{2}} (2^{n+1} - 1). \quad (1.9)$$

There are many ways to prove such results. My favorite method uses orthogonal polynomials. In the sequel I consider only sequences of numbers  $(a(n))$  with the property that  $a(0) = 1$  and  $\det(a(i+j))_{i,j=0}^{n-1} \neq 0$  for all  $n \geq 1$ .

In this situation the polynomials

$$p(n, x) = \frac{1}{\det(a(i+j))_{i,j=0}^{n-1}} \det \begin{pmatrix} a(0) & a(1) & \cdots & a(n-1) & 1 \\ a(1) & a(2) & \cdots & a(n) & x \\ a(2) & a(3) & \cdots & a(n+1) & x^2 \\ \vdots & \vdots & \cdots & \vdots & \vdots \\ a(n) & a(n+1) & \cdots & a(2n-1) & x^n \end{pmatrix} \quad (1.10)$$

are well defined and orthogonal with respect to the linear functional  $F$  defined by  $F(x^n) = a(n)$ .

By Favard's theorem they satisfy a recurrence of the form

$$p(n, x) = (x - s(n-1))p(n-1, x) - t(n-2)p(n-2, x). \quad (1.11)$$

Let  $a(n, k)$  be the uniquely determined coefficients in the expansion

$$x^n = \sum_{k=0}^n a(n, k) p(k, x). \quad (1.12)$$

They satisfy

$$\begin{aligned} a(0, k) &= [k = 0] \\ a(n, 0) &= s(0)a(n-1, 0) + t(0)a(n-1, 1) \\ a(n, k) &= a(n-1, k-1) + s(k)a(n-1, k) + t(k)a(n-1, k+1). \end{aligned} \quad (1.13)$$

Obviously

$$a(n, 0) = F(x^n) = a(n). \quad (1.14)$$

We say that the sequences  $(s(n))$  and  $(t(n))$  are associated with the sequence  $(a(n))$ . They contain the same information as the sequence  $(a(n))$ .

For the large Schröder numbers the associated sequences are (see (2.10) )

$$\begin{aligned} s(0) &= 2, s(n) = 3 \\ t(n) &= 2. \end{aligned} \quad (1.15)$$

This gives the Schröder triangle ( [4] A133367 )

```

1
2  1
6  5  1
22 23  8  1
90 107 49 11 1

```

For the little Schroeder numbers the generating function (1.5) implies (see (2.11) )

$$s(0) = 1, s(n) = 3 \tag{1.16}$$

and

$$t(n) = 2. \tag{1.17}$$

This gives the triangle

```

1
1  1
3  4  1
11 17  7  1
45 76 40 10 1

```

The numbers  $a(n, k)$  have a well known combinatorial interpretation.

Consider lattice paths, so-called Motzkin paths, with upward steps  $(n, k) \rightarrow (n+1, k+1)$ , horizontal steps  $(n, k) \rightarrow (n+1, k)$  and downward steps  $(n, k+1) \rightarrow (n+1, k)$  which start in  $(0, 0)$  and never fall under the  $x$ -axis. To each path we associate a weight in the following way: Each upward step has weight 1, each horizontal step at height  $k$  has weight  $s(k)$  and each downward step which ends on height  $k$  has weight  $t(k)$ . The weight of a path is the product of the weights of its steps. Then  $a(n, k)$  is the sum of the weights of all paths from  $(0, 0)$  to  $(n, k)$ .

Let now  $f_j(z)$  be the generating function of all paths which start and end at height  $j$  and never fall under this height. Then  $f_j(z)$  is the generating function  $f_j(z) = \sum_{n \geq 0} a_j(n, 0) z^n$ ,

where  $a_j(n, k)$  is given by (1.13) with  $s_j(n) = s(n+j)$  and  $t_j(n) = t(n+j)$ .

Then a simple combinatorial argument gives

$$f(z) = f_0(z) = 1 + s(0)zf(z) + t(0)z^2f(z)f_1(z). \tag{1.18}$$

This identity is equivalent with

$$f(z) = \frac{1}{1 - s(0)z - t(0)z^2f_1(z)}$$

and therefore with the continued fraction

$$f(z) = \frac{1}{1 - s(0)z - \frac{t(0)z^2}{1 - s(1)z - \frac{t(1)z^2}{1 - s(2)z - \dots}}}. \quad (1.19)$$

Let

$$d(n, k) = \det(a(i + j + k))_{i, j=0}^{n-1}. \quad (1.20)$$

be the Hankel determinant of order  $k$  of  $(a(n))$ .

Then (cf. e.g. [6])

$$d(n, 0) = \prod_{i=1}^{n-1} \prod_{k=0}^{i-1} t(k) = t(0)^{n-1} t(1)^{n-2} \dots t(n-3)^2 t(n-2) \quad (1.21)$$

and

$$d(n, 1) = (-1)^n p(n, 0) d(n, 0). \quad (1.22)$$

Sometimes the sequence  $(d(n, 0))$  is called the Hankel transform of the sequence  $(a(n))$ .

Under the stated requirements it would be better to call  $(d(n, 0))_{n \geq 1} \times (d(n, 1))_{n \geq 1}$  the Hankel transform of  $(a(n))$  since the sequence  $(a(n))$  is uniquely determined by the sequences of Hankel determinants  $(d(n, 0))$  and  $(d(n, 1))$ .

It is often convenient to consider a sequence  $(a(n))$  which satisfies  $a(2n) = c(n)$  and  $a(2n+1) = 0$ . In this case  $s(n) = 0$  for all  $n$ . The corresponding lattice paths have no horizontal steps, i.e. they are so called Dyck paths.

Let

$$(a_0(n)) = (a(2n, 0)) = (c(n)) \quad (1.23)$$

Then the associated sequences are

$$s_0(0) = t(0), s_0(n) = t(2n-1) + t(2n), t_0(n) = t(2n)t(2n+1) \quad (1.24)$$

For

$$(a_1(n)) = (a(2n+1, 1)) \quad (1.25)$$

we get

$$s_1(0) = t(0) + t(1), s_1(n) = t(2n) + t(2n+1), t_1(n) = t(2n+1)t(2n+2). \quad (1.26)$$

As an example consider the sequence  $(a(n))$  with  $a(2n) = r_n$  and  $a(2n+1) = 0$ . In this case we get  $s(n) = 0, t(2n) = 2, t(2n+1) = 1$  (cf. (2.9)).

The corresponding triangle is

```

1
0 1
2 0 1
0 3 0 1
6 0 5 0 1
0 11 0 6 0 1
22 0 23 0 8 0 1

```

Since  $a_1(n) = s_{n+1}$  are again little Schröder numbers, (1.26) gives a triangle for the little Schroeder numbers  $s_{n+1}$ . This is [4] A110440.

```

1
3 1
11 6 1
45 31 9 1
197 156 60 12 1

```

The generating function for the sequence  $(s_{n+1})$  is  $g(z) = \frac{f(z)-1}{z}$  and satisfies  $g(z) = 1 + 3zg(z) + 2z^2g(z)^2$ .

## 2. Schröder-like numbers

We first want to study Schröder-like numbers  $A(n, x, y)$  defined by the generating function

$F(z) = \sum_{n \geq 0} A(n, x, y)z^n$  which satisfies

$$F(z) = 1 + xzF(z) + yzF(z)^2. \quad (2.1)$$

A useful combinatorial interpretation of  $A(n, x, y)$  can be obtained in the following way: Consider lattice paths with upward and downward steps of length 1 and horizontal steps of length 2. If each upward step has weight 1, each downward step has weight  $xz$  and each horizontal step has weight  $yz$ , then the weight of the set of all non-negative paths from  $(0,0)$  to  $(2n,0)$  is  $A(n, x, y)z^n$ .

This implies that

$$A(n, x, y) = \sum_{k=0}^n \binom{n+k}{2k} C_k x^{n-k} y^k = \sum_{k=0}^n \binom{2n-k}{k} C_{n-k} x^k y^{n-k}, \quad (2.2)$$

where  $C_k = \frac{1}{k+1} \binom{2k}{k}$  is a Catalan number.

We first choose a  $k$ -subset  $1 \leq a_1 < a_2 < \dots < a_k < 2n$  of  $\{1, \dots, 2n\}$  which contains no successive elements. This is equivalent with  $1 \leq a_1 < a_2 - 1 < \dots < a_k - k + 1 \leq 2n - k$ .

Therefore there are  $\binom{2n-k}{k}$  possibilities to choose such a subset. Each  $a_j$  is the starting point of a horizontal step. On the  $2n - 2k$  remaining points there are  $C_{n-k}$  non-negative Dyck paths.

By solving a quadratic equation we get the explicit formula

$$F(z) = \frac{1 - xz - \sqrt{1 - 2(x+2y)z + x^2z^2}}{2yz}. \quad (2.3)$$

The numbers  $A(n, x, y)$  satisfy the simple recurrence relation

$$A(n, x, y) = \frac{(2n-1)(x+2y)A(n-1, x, y) - (n-2)x^2A(n-2, x, y)}{n+1} \quad (2.4)$$

with initial values

$$A(0, x, y) = 1 \text{ and } A(1, x, y) = x + y.$$

In order to show this we differentiate (2.1) and get

$$F'(z) = xF(z) + xzF'(z) + yF(z)^2 + 2yzF(z)F'(z)$$

Substituting (2.1) gives

$$zF'(z)(1 - xz - 2yzF(z)) = yzF(z)^2 + xzF(z) = F(z) - 1$$

Therefore

$$\begin{aligned} zF'(z) &= \frac{F(z) - 1}{(1 - xz - 2yzF(z))} = \frac{F(z) - 1}{\sqrt{1 - 2(x+2y)z + x^2z^2}} = \frac{(F(z) - 1)\sqrt{1 - 2(x+2y)z + x^2z^2}}{1 - 2(x+2y)z + x^2z^2} \\ &= \frac{(F(z) - 1)(1 - xz - 2yzF(z))}{1 - 2(x+2y)z + x^2z^2} = \frac{(xz + 1 + (x+2y)zF(z) - F(z))}{1 - 2(x+2y)z + x^2z^2} \end{aligned}$$

i.e.

$$zF'(z)(1 - 2(x+2y)z + x^2z^2) = (xz + 1 + (x+2y)zF(z) - F(z))$$

Comparing coefficients we get

$$\begin{aligned} nA(n, x, y) + 2(x+2y)(n-1)A(n-1, x, y) + x^2(n-2)A(n-2, x, y) \\ = -A(n, x, y) + (x+2y)A(n-1, x, y) \end{aligned}$$

and thus (2.4).

The simplest cases occur for  $x = 0$  where  $A(n, 0, y) = C_n y^n$  and for  $x + 2y = 0$ , where we get  $A(2n + 2, 2, -1) = 0$  and  $A(2n + 1, 2, -1) = (-1)^n C_n$ .

The equation  $F(z) = 1 + xzF(z) + yzF(z)^2$  implies

$$F(z) - yzF(z)^2 = 1 + xzF(z) = 1 - yzF(z) + (x + y)zF(z)$$

or

$$F(z) = 1 + (x + y)zF(z)f(z) \text{ with}$$

$$f(z) = \frac{1}{1 - yzF(z)}. \quad (2.5)$$

Therefore (2.1) is equivalent with

$$\begin{aligned} F(z) &= 1 + (x + y)zF(z)f(z), \\ f(z) &= 1 + yzF(z)f(z). \end{aligned} \quad (2.6)$$

This also gives

$$f(z) = \frac{x + yF(z)}{x + y}. \quad (2.7)$$

It is easily verified that

$$f(z) = 1 - xzf(z) + (x + y)zf(z)^2. \quad (2.8)$$

If we write  $f(z) = \sum_{n \geq 0} a(n, x, y)z^n$  then the numbers  $a(n, x, y)$  are a generalization of the little Schröder numbers.

Comparing with (1.18) we see that  $F(z^2)$  corresponds to

$$\begin{aligned} s(n) &= 0, \\ t(2n) &= x + y, t(2n + 1) = y. \end{aligned} \quad (2.9)$$

Denote the corresponding  $a(n, k)$  by  $\alpha(n, k)$ .

Since  $\alpha(2n, 0) = A(n, x, y)$  we get for the original sequence  $(A(0, x, y), A(1, x, y), A(2, x, y), \dots)$

$$\begin{aligned} s(0) &= x + y, s(n) = x + 2y \\ t(n) &= y(x + y). \end{aligned} \quad (2.10)$$

Observing that  $a(n, x, y) = A(n, -x, x + y)$  we get for the sequence  $(a(n, x, y))$

$$\begin{aligned} s(0) &= y, s(n) = x + 2y \\ t(n) &= y(x + y). \end{aligned} \quad (2.11)$$

Let

$$a_1(n) = \alpha(2n+1, 1) = \frac{\alpha(2n+2, 0)}{t(0)} = \frac{A(n+1, x, y)}{x+y} = \frac{a(n+1, x, y)}{y}. \quad (2.12)$$

Its generating function is  $g(z) = \frac{f(z)-1}{yz}$  and satisfies

$$g(z) = 1 + (x+2y)zg(z) + y(x+y)z^2g(z)^2. \quad (2.13)$$

Therefore the corresponding values are

$$s(n) = x+2y, t(n) = y(x+y). \quad (2.14)$$

From (2.1) we get the continued fraction

$$F(z) = \frac{1}{1-xz - \frac{yz}{1-xz - \frac{yz}{1-xz - \ddots}}}} \quad (2.15)$$

By (2.6) and (1.18) we see that this can also be written as a so-called J-fraction

$$F(z) = \frac{1}{1-(x+y)z - \frac{y(x+y)z^2}{1-(x+2y)z - \frac{y(x+y)z^2}{1-(x+2y)z - \frac{y(x+y)z^2}{1-(x+2y)z - \ddots}}}}}. \quad (2.16)$$

It would be interesting if there is also a combinatorial proof, i.e. a bijection between the lattice paths defining these continued fractions.

The Hankel determinants are

$$D(n, 0) = \det(A(i+j, x, y))_{i,j=0}^{n-1} = (y(x+y))^{\binom{n}{2}} \quad (2.17)$$

and

$$D(n, 1) = \det(A(i+j+1, x, y))_{i,j=0}^{n-1} = y^{\binom{n}{2}} ((x+y))^{\binom{n+1}{2}}. \quad (2.18)$$

The first result is obvious. The second follows from (1.22). Let  $r(n) = (-1)^n p(n, 0)$ . Then

$$\begin{aligned} r(n) &= s(n-1)r(n-1) - t(n-2)r(n-2) \\ &= (x+2y)r(n-1) - y(x+y)r(n-2) \end{aligned}$$

with initial values  $r(0) = 1$  and  $r(1) = (x+y)$ .

This gives by induction  $r(n) = (x+y)^n$ .



By changing  $x \rightarrow -x, y \rightarrow x + y$  we get

$$d(n,0) = \det(a(i+j, x, y))_{i,j=0}^{n-1} = (y(x+y)) \binom{n}{2} \quad (2.19)$$

and

$$d(n,1) = \det(a(i+j+1, x, y))_{i,j=0}^{n-1} = y \binom{n+1}{2} (x+y) \binom{n}{2}. \quad (2.20)$$

The last identity also follows from (2.14). This can also be used to compute

$$d(n,2) = \det(a(i+j+2, x, y))_{i,j=0}^{n-1} = y \binom{n}{2} \det(a_1(i+j+1))_{i,j=0}^{n-1}.$$

Using (1.22) we see that  $d(n,2) = r(n)d(n,1)$ , where

$$r(n) = (x+2y)r(n-1) - y(x+y)r(n-2) \text{ with initial values } r(0) = 1 \text{ and}$$

$$r(1) = x + 2y = \frac{(x+y)^2 - y^2}{x}.$$

$$\text{This gives } r(n) = \frac{(x+y)^{n+1} - y^{n+1}}{x}.$$

Therefore

$$d(n,2) = y \binom{n+1}{2} (x+y) \binom{n}{2} \frac{(x+y)^{n+1} - y^{n+1}}{x}. \quad (2.21)$$

### Remark

If  $F(z) = 1 + (x-y)zF(z) + yzF(z)^2$  then  $G(z) = \frac{F(z)-1}{xz}$  satisfies

$$G(z) = 1 + (x+y)zG(z) + xyz^2G(z)^2. \quad (2.22)$$

Let  $F(z) = \sum_{n \geq 0} A(n)z^n$  and  $G(z) = \sum_{n \geq 0} B(n)z^n$ .

Then  $A(0) = 1$  and  $A(n) = xB(n-1)$  for  $n \geq 1$ .

There are many interesting sequences whose generating function satisfies (2.22).

E.g. for  $(x, y) = (2, 1)$  we get  $A(n) = r_n$  and  $B(n) = s_{n+1}$ ,

for  $(x, y) = (1, 1)$  we get the Catalan numbers and for  $(x, y) = \left(\frac{1+i\sqrt{3}}{2}, \frac{1-i\sqrt{3}}{2}\right)$  we get the

Motzkin numbers  $B(n) = M_n$ .

### 3. $q$ -analogues of Schröder-like numbers

Barcucci et al. [1] have introduced (large)  $q$  – Schröder numbers by the generating function

$$F(z) = 1 + zF(z) + qzF(z)F(qz). \quad (2.23)$$

The first terms are

$$(1, 1 + q, (1 + q)(1 + q + q^2), (1 + q)(1 + 2q + 3q^2 + 3q^3 + q^4 + q^5), \dots)$$

We want to consider more generally the  $q$  – Schröder-like numbers  $A(n, x, y)$  with generating function

$$F(z) = 1 + xzF(z) + yzF(z)F(qz). \quad (2.24)$$

For  $(x, y) = (0, 1)$  this reduces to  $F(z) = 1 + zF(z)F(qz)$ . Therefore  $A(n, 0, 1) = C_n(q)$  are the  $q$  – Catalan numbers of Carlitz.

#### Theorem 1

Let  $F(z) = \sum_{n \geq 0} A(n, x, y)z^n$  satisfy the identity  $F(z) = 1 + xzF(z) + yzF(z)F(qz)$ .

Then

$$D(n, 0) = \det(A(i + j, x, y))_{i, j=0}^{n-1} = q^{\frac{n(n-1)^2}{2}} y^{\binom{n}{2}} (x + y)^{n-1} (x + qy)^{n-2} \cdots (x + q^{n-2}y), \quad (2.25)$$

$$\begin{aligned} D(n, 1) &= \det(A(i + j + 1, x, y))_{i, j=0}^{n-1} = q^{\binom{n}{2}} (x + y)(x + qy) \cdots (x + q^{n-1}y) D(n, 0) \\ &= q^{\frac{n^2(n-1)}{2}} y^{\binom{n}{2}} (x + y)^n (x + qy)^{n-1} \cdots (x + q^{n-1}y) \end{aligned} \quad (2.26)$$

and

$$D(n, 2) = q^{\frac{(n-1)n(n+1)}{2}} y^{\binom{n}{2}} \prod_{j=0}^{n-1} (x + q^j y)^{n-j} \left( \prod_{j=1}^{n+1} (x + q^{j-1}y) - q^{\binom{n+1}{2}} y^{n+1} \right). \quad (2.27)$$

An analogue of the little Schröder numbers is given by the generating function

$$f(z) = \sum_{n \geq 0} a(n, x, y)z^n = \frac{x + yF(z)}{x + y}. \quad (2.28)$$

It is easily verified that it satisfies the equation

$$f(z) = 1 - xzf(qz) + (x + y)zf(z)f(qz) \quad (2.29)$$

and that

$$F(z) = 1 + (x + y)zF(z)f(qz). \quad (2.30)$$

## Theorem 2

Let  $f(z) = \sum_{n \geq 0} a(n, x, y) z^n$  satisfy the identity  $f(z) = 1 - xzf(qz) + (x+y)zf(z)f(qz)$ .

Then

$$d(n, 0) = \det(a(i+j, x, y))_{i, j=0}^{n-1} = q^{\binom{n}{3}} y^{\binom{n}{2}} \prod_{j=1}^{n-1} (x + q^j y)^{n-j} \quad (2.31)$$

and

$$d(n, 1) = \det(a(i+j+1, x, y))_{i, j=0}^{n-1} = q^{\binom{n}{2}} y^{\binom{n+1}{2}} (x + qy)^{n-1} \cdots (x + q^{n-1} y). \quad (2.32)$$

Whereas for  $q = 1$  the formulae for the Hankel determinants for  $a(n, x, y)$  could be reduced to those of  $A(n, x, y)$  this is not true for the general case.

An analogue of (2.6) is

$$\begin{aligned} F(z) &= 1 + (x+y)zF(z)f(qz), \\ f(z) &= 1 + yzF(z)f(qz). \end{aligned} \quad (2.33)$$

But in this form it seems to be of no use to find the continued fraction.

Therefore I use an idea of the proof I have given in [2].

There is a uniquely determined series  $h(z) = h(z, y) = 1 + \sum_{n \geq 1} h_n z^n$  such that

$$F(z) = F(z, y) = \frac{h(qz, y)}{h(z, y)}. \quad (2.34)$$

From the defining equation for  $F(z)$  we get  $\frac{h(qz)}{h(z)} = 1 + xz \frac{h(qz)}{h(z)} + yz \frac{h(qz)}{h(z)} \frac{h(q^2 z)}{h(qz)}$  and

therefore

$$h(qz) = h(z) + xzh(qz) + yzh(q^2 z).$$

Comparing coefficients we get

$$(q^n - 1)h_n = q^{n-1} (x + q^{n-1} y) h_{n-1}.$$

This implies

$$h(z) = \sum_{k \geq 0} q^{\binom{k}{2}} \frac{(x+y)(x+qy) \cdots (x+q^{k-1}y)}{(q-1)(q^2-1) \cdots (q^k-1)} z^k. \quad (2.35)$$

On the other hand we have

$$(x+y)h(z, qy) = xh(z, y) + yh(qz, y),$$

i.e.

$$\frac{h(z, qy)}{h(z, y)} = \frac{x + yF(z)}{x + y} = f(z) \quad (2.36)$$

and

$$\begin{aligned} h(qz, y) - h(z, y) &= \sum_{k \geq 0} q^{\binom{k}{2}} \frac{(x + y)(x + qy) \cdots (x + q^{k-1}y)}{(q-1)(q^2-1) \cdots (q^k-1)} (q^k - 1) z^k \\ &= (x + y)z \sum_{k \geq 0} q^{\binom{k-1}{2}} \frac{(x + qy) \cdots (x + q^{k-1}y)}{(q-1)(q^2-1) \cdots (q^{k-1}-1)} (qz)^{k-1} = (x + y)zh(qz, qy) \end{aligned}$$

i.e.

$$F(z) = 1 + (x + y)z \frac{h(qz, qy)}{h(z, y)}. \quad (2.37)$$

From

$$F(z)f(qz) = \frac{h(qz, y)}{h(z, y)} \frac{h(qz, qy)}{h(qz, y)} = \frac{h(qz, qy)}{h(z, y)} = \frac{h(z, qy)}{h(z, y)} \frac{h(qz, qy)}{h(z, qy)} = f(z)F(z, qy)$$

we see that (2.33) can be written in the form

$$\begin{aligned} F(z, y) &= 1 + (x + y)zF(z, y)f(qz, y) \\ f(z, y) &= 1 + yzf(z, y)F(z, qy). \end{aligned} \quad (2.38)$$

This gives the continued fraction

$$F(z) = \frac{1}{1 - \frac{(x + y)z}{1 - \frac{qyz}{1 - \frac{q(x + qy)z}{1 - \frac{q^3yz}{1 - \ddots}}}}} \quad (2.39)$$

From this we can deduce the associated sequences  $s(n)$  and  $t(n)$  for  $F(z^2)$ .

We get

$$s(n) = 0, t(2n) = q^n(x + q^n y), t(2n + 1) = q^{2n+1}y. \quad (2.40)$$

This again implies the associated sequences for  $F(z)$  by (1.24).

They are

$$\begin{aligned} s(0) &= x + y, s(n) = q^n(x + q^{n-1}y(1 + q)) \\ t(n) &= q^{3n+1}y(x + q^n y). \end{aligned} \quad (2.41)$$

From this (2.25) follows immediately from (1.21).

In order to show (2.26) let  $r(n) = (-1)^n p(n, 0)$ . This gives

$$r(n) = q^{n-1}(x + q^{n-2}(1+q)y)r(n-1) - q^{3n-5}y(x + q^{n-2}y)r(n-2)$$

with initial values  $r(0) = 1$  and  $r(1) = x + y$ .

It has to be shown that  $r(n) = q^{\binom{n}{2}}(x + y) \cdots (x + q^{n-1}y)$ ,

i.e.

$$q^{\binom{n}{2}}(x + y) \cdots (x + q^{n-1}y) = q^{n-1}(x + q^{n-2}(1+q)y)q^{\binom{n-1}{2}}(x + y) \cdots (x + q^{n-2}y)$$

$$- q^{3n-5}y(x + q^{n-2}y)q^{\binom{n-2}{2}}(x + y) \cdots (x + q^{n-3}y).$$

But this is easily verified.

From (2.38) we get for  $f(z^2)$

$$s(n) = 0, t(2n) = q^{2n}y, t(2n+1) = q^n(x + q^{n+1}y). \quad (2.42)$$

This implies that the associated sequences for  $f(z)$  are

$$s(0) = y, s(n) = q^{n-1}(x + q^{n-1}(1+q)y), t(n) = q^{3n}y(x + q^{n+1}y). \quad (2.43)$$

This implies formulas (2.31) and (2.32).

Observing that  $a(0, x, y) = \frac{x+y}{x+y}, a(n, x, y) = \frac{yA(n, x, y)}{x+y}$  we get by expanding with respect to the first line

$$d(n, 0) = \frac{y^{n-1}}{(x+y)^n} (yD(n, 0) + xD(n-1, 2)) \quad (2.44)$$

or

$$(x+y)^n q^{\binom{n}{3}} y^{\binom{n}{2}} \prod_{j=1}^{n-1} (x + q^j y)^{n-j} - y^n q^{\frac{n(n-1)^2}{2}} y^{\binom{n}{2}} (x+y)^{n-1} (x+qy)^{n-2} \cdots (x + q^{n-2}y)$$

$$= q^{\binom{n}{3}} \prod_{j=0}^{n-2} (x + q^j y)^{n-j-1} y^{\binom{n}{2}} \left( (x+y)(x+qy) \cdots (x + q^{n-1}y) - y^n q^{\frac{n(n-1)}{2}} \right) = xy^{n-1} D(n-1, 2)$$

This implies

$$D(n, 2) = q^{\frac{(n-1)n(n+1)}{2}} y^{\binom{n}{2}} \prod_{j=0}^{n-1} (x + q^j y)^{n-j} \left( \prod_{j=1}^{n+1} (x + q^{j-1}y) - q^{\binom{n+1}{2}} y^{n+1} \right) \quad (2.45)$$

Let now  $a_1(n) = \frac{A(n+1, x, y)}{x+y}$ .

Its generating function is  $g(z, y) = F(z)f(qz) = \frac{h(qz, y)h(qz, qy)}{h(z, y)h(qz, y)} = \frac{h(qz, qy)}{h(z, y)}$  by (2.38).

Substituting  $F(z, y) = 1 + (x+y)zg(z, y)$  into (2.24) we find that

$$g(z, y) = 1 + (x+y)zg(z, y) + qyzg(qz, y) + qy(x+y)z^2g(z, y)g(qz, y). \quad (2.46)$$

Since

$$\frac{g(qz, y)}{g(z, y)} = \frac{h(q^2z, qy)}{h(qz, y)} \frac{h(z, y)}{h(qz, qy)} = \frac{h(q^2z, qy)}{h(qz, qy)} \frac{h(z, y)}{h(qz, y)} = \frac{F(qz, qy)}{F(z, y)}$$

we get

$$\begin{aligned} g(z, y) &= 1 + (x+y)zg(z, y) + qyzg(qz, y)(1 + (x+y)zg(z, y)) \\ &= 1 + (x+y)zg(z, y) + qyzg(qz, y)F(z) = 1 + (x+y)zg(z, y) + qyzg(z, y)F(qz, qy) \\ &= 1 + (x+y)zg(z, y) + qyzg(z, y) + q^2yz^2(x+qy)g(z, y)g(qz, qy), \end{aligned}$$

i.e.

$$g(z, y) = 1 + (x + (1+q)y)zg(z, y) + q^2y(x+qy)z^2g(z, y)g(qz, qy). \quad (2.47)$$

This implies that the associated sequences are

$$s(n) = q^n(x + q^n(1+q)y) \text{ and } t(n) = q^{3n+2}y(x + q^{n+1}y).$$

We can now give another proof of (2.45).

By (1.22) we get  $D(n, 2) = D(n, 1)r(n)$ ,

where  $r(n) = s(n-1)r(n-1) - t(n-2)r(n-2)$

with initial values  $r(0) = 1$  and  $r(1) = s(0) = x + (1+q)y = \frac{(x+y)(x+qy) - qy^2}{x}$ .

We have to show that  $r(n) = q^{-\binom{n}{2}} \left( \prod_{j=1}^n (x + q^{j-1}y) - q^{\binom{n}{2}} y^n \right)$  or

$$\begin{aligned} \prod_{j=1}^{n+1} (x + q^{j-1}y) - q^{\binom{n+1}{2}} y^{n+1} &= (x + q^{n-1}(1+q)y) \left( \prod_{j=1}^n (x + q^{j-1}y) - q^{\binom{n}{2}} y^n \right) \\ &\quad - q^{n-1}y(x + q^{n-1}y) \left( \prod_{j=1}^{n-1} (x + q^{j-1}y) - q^{\binom{n-1}{2}} y^{n-1} \right). \end{aligned}$$

This is easily verified.

**Remark**

Let  $g(z) = g(z, x, y, q)$  satisfy

$$g(z) = 1 + (x + y)zg(z) + qxyz^2g(z)g(qz). \quad (2.48)$$

This gives  $q$ - analogues of several classical sequences.

The associated sequences are  $s(n) = q^n(x + y)$  and  $t(n) = q^{2n+1}xy$ .

Define

$$f(z) = f(z, x, y, q) = 1 + xzf(z). \quad (2.49)$$

Then  $f(z) = \sum_{n \geq 0} b(n, x, y, q)z^n$  satisfies the identity

$$f(z) = 1 + xzf(z) - yzf(qz) + yzf(z)f(qz). \quad (2.50)$$

This implies

$$\begin{aligned} f(z, x, y, q) &= \frac{1 - yzf(qz, x, y, q)}{1 - yzf(qz, x, y, q) - xz} = \frac{1}{1 - \frac{xz}{1 - yzf(qz, x, y, q)}} \\ &= \frac{1}{1 - \frac{xz}{1 - \frac{yz}{1 - \frac{qxz}{1 - qyzf(q^2z, x, y, q)}}}}} = \dots = \frac{1}{1 - \frac{xz}{1 - \frac{yz}{1 - \frac{qxz}{1 - \frac{qyz}{1 - \frac{q^2xz}{1 - \dots}}}}}}} \end{aligned}$$

Therefore

$$f(z, x, y, q) = \frac{1}{1 - xzf(z, y, qx, q)}.$$

We thus get

$$f(z, x, y, q) = 1 + xzf(z, x, y, q)f(z, y, qx, q) \quad (2.51)$$

or

$$f(z, x, y, q) = 1 + xzf(z, x, y, q) + xyz^2f(z, x, y, q)g(z, y, qx, q). \quad (2.52)$$

Therefore the associated sequences are

$$s(0) = x, s(n) = q^{n-1}(qx + y), t(n) = q^{2n}y. \quad (2.53)$$

It is easily verified that

$$d(n, 0) = q^{\binom{n}{3}}(xy)^{\binom{n}{2}}, \quad (2.54)$$

$$d(n, 1) = x^n(xy)^{\binom{n}{2}} \sum_{k=0}^{n-1} q^{k^2} \quad (2.55)$$

and

$$d(n, 2) = x^n (qxy)^{\binom{n}{2}} \frac{x^{n+1} - y^{n+1}}{x - y} q^{\sum_{k=0}^{n-1} k^2} = q^{2\binom{n+1}{3}} x^n (xy)^{\binom{n}{2}} \frac{x^{n+1} - y^{n+1}}{x - y}. \quad (2.56)$$

From (2.50) we get the recurrence relation

$$b(n, x, y, q) = xb(n-1, x, y, q) + y \sum_{k=0}^{n-2} q^k b(k, x, y, q) b(n-1-k, x, y, q) \quad (2.57)$$

with initial value  $b(0, x, y, q) = 1$ .

For  $x = 1$  this reduces to a variant of the Pólya-Gessel  $q$ -Catalan numbers  $C_n(y; q, q^{-1})$  (cf. [5], (5.5)). The well-known fact that  $C_n(q; q^2, q^{-2}) = C_n(q)$  can easily be seen by comparing the associated sequences (2.41) of  $C_n(q)$  and (2.53) of  $b(n, 1, q, q^2)$  which turn out to be  $s(0) = 1, s(n) = q^{2^{n-1}}(1 + q)$  and  $t(n) = q^{4^{n+1}}$ .

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