

# A CURIOUS $q$ -ANALOGUE OF HERMITE POLYNOMIALS

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ABSTRACT. Two well-known  $q$ -Hermite polynomials are the continuous and discrete  $q$ -Hermite polynomials. In this paper we consider a new family of  $q$ -Hermite polynomials and prove several curious properties about these polynomials. One striking property is the connection with  $q$ -Fibonacci and  $q$ -Lucas polynomials. The latter relation yields a generalization of the Touchard-Riordan formula.

## 1. INTRODUCTION

The classical Hermite polynomials have two important properties: (i) they form a family of orthogonal polynomials and (ii) are intimately connected with the commutation properties between the multiplication operator  $x$  and the differentiation operator  $D$ . In contrast to the discrete  $q$ -Hermite polynomials, which generalize both aspects, the continuous  $q$ -Hermite polynomials generalize only the first one. The purpose of this paper is to introduce a  $q$ -analogue which generalizes the second property and establish the missing link with the continuous  $q$ -Hermite polynomials. It turns out that these new polynomials are in some sense dual to the continuous  $q$ -Hermite polynomials. Moreover, they provide interesting connections with  $q$ -Fibonacci and  $q$ -Lucas polynomials and the Touchard-Riordan formula for the moments of the continuous  $q$ -Hermite polynomials. In order to provide the reader with the necessary background we first collect some well-known results about the classical Hermite polynomials and their known  $q$ -analogues.

The normalized Hermite polynomials  $H_n(x, s) = s^{n/2}H_n(x/\sqrt{s}, 1)$  ( $n \geq 0$ ) may be defined by the recurrence relation:

$$H_{n+1}(x, s) = xH_n(x, s) - nsH_{n-1}(x, s), \quad (1.1)$$

with initial values  $H_0(x, s) = 1$  and  $H_{-1}(x, s) = 0$ . By induction, we have

$$H_n(x, s) = (x - s\mathcal{D})^n \cdot 1, \quad (1.2)$$

where  $\mathcal{D} = \frac{d}{dx}$  denotes the differentiation operator. It follows that

$$\mathcal{D}H_n(x, s) = nH_{n-1}(x, s). \quad (1.3)$$

The Hermite polynomials have the explicit formula (see [1, Chapter 6])

$$H_n(x, s) = \sum_{k=0}^n \binom{n}{2k} (-s)^k (2k-1)!! x^{n-2k}.$$

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The first few polynomials are

$$1, x, -s + x^2, -3sx + x^3, 3s^2 - 6sx^2 + x^4, 15s^2x - 10sx^3 + x^5.$$

The Hermite polynomials are orthogonal with respect to the linear functional defined by the moments

$$\mu_n = \frac{1}{\sqrt{2\pi}} \int_{-\infty}^{\infty} x^n e^{-x^2/2} dx = \begin{cases} (n-1)!! & \text{if } n \text{ is even,} \\ 0 & \text{otherwise.} \end{cases}$$

In other words, the  $n$ -th moment  $\mu_n$  of the measure of the Hermite polynomials is the number of the *complete matchings* on  $[n] := \{1, \dots, n\}$ , i.e.,  $\mu_{2n} = (2n-1)!!$  and  $\mu_{2n+1} = 0$ .

Consider the rescaled Hermite polynomials  $p_n(z, x, s) = H_n(z-x, -s)$ , also determined by

$$p_{n+1}(z, x, s) = (z-x)p_n(z, x, s) + snp_{n-1}(z, x, s) \quad (1.4)$$

with initial values  $p_0(z, x, s) = 1$  and  $p_{-1}(z, x, s) = 0$ . Let  $\mathcal{F}$  be the linear functional on polynomials in  $z$  defined by  $\mathcal{F}(p_n(z, x, s)) = \delta_{n,0}$ . Then the moments  $\mathcal{F}(z^n)$  are again the Hermite polynomials

$$\mathcal{F}(z^n) = (\sqrt{-s})^n \sum_{k=0}^n \binom{n}{k} (x/\sqrt{-s})^{n-k} \mu_k = H_n(x, s). \quad (1.5)$$

This is equivalent to saying that the generating function of the Hermite polynomials  $H_n(x, s)$  has the following continued fraction expansion:

$$H(z, x, s) = \sum_{n \geq 0} H_n(x, s) z^n = \frac{1}{1 - xz + \frac{sz^2}{1 - xz + \frac{2sz^2}{1 - xz + \frac{3sz^2}{\dots}}}}. \quad (1.6)$$

Two important classes of orthogonal  $q$ -analogues of  $H_n(x, s)$  are the continuous and the discrete  $q$ -Hermite I polynomials, which are both special cases of the Al-Salam–Chihara polynomials. Before we describe these  $q$ -Hermite polynomials, we introduce some standard  $q$ -notations (see [6]). For  $n \geq 1$  let

$$[n] := [n]_q = \frac{1-q^n}{1-q}, \quad [n]_q! = \prod_{k=1}^n [k]_q, \quad [2n-1]_q!! = \prod_{k=1}^n [2k-1]_q,$$

and  $(a; q)_n = (1-a)(1-aq) \cdots (1-aq^{n-1})$  with  $(a; q)_0 = 1$ . The  $q$ -binomial coefficient is defined by

$$\begin{bmatrix} n \\ k \end{bmatrix} := \begin{bmatrix} n \\ k \end{bmatrix}_q = \frac{(q; q)_n}{(q; q)_k (q; q)_{n-k}}$$

for  $0 \leq k \leq n$  and zero otherwise.

Recall [8] that the Al-Salam–Chihara polynomials  $P_n(x; a, b, c)$  satisfy the three term recurrence:

$$P_{n+1}(x; a, b, c) = (x - aq^n)P_n(x; a, b, c) - (c + bq^{n-1})[n]_q P_{n-1}(x; a, b, c) \quad (1.7)$$

with initial values  $P_{-1}(x; a, b, c) = 0$  and  $P_0(x; a, b, c) = 1$ .

**Definition 1.** Let  $\mathcal{F}_{a,b,c}$  be the unique linear functional acting on the polynomials in  $z$  that satisfies

$$\mathcal{F}_{a,b,c}(P_n(z; a, b, c)) = \delta_{n,0}. \quad (1.8)$$

Then the continuous  $q$ -Hermite polynomials are

$$\tilde{H}_n(x, s|q) = P_n(x; 0, 0, s) \quad (1.9)$$

and are also the moments (see [8] and Proposition 16):

$$\tilde{H}_n(x, s|q) = \mathcal{F}_{x,-s,0}(z^n). \quad (1.10)$$

The discrete  $q$ -Hermite polynomials I are

$$\tilde{h}_n(x, s; q) = P_n(x; 0, (1-q)s, 0), \quad (1.11)$$

and the discrete  $q$ -Hermite polynomials II are

$$\tilde{h}_n(x; q) = (-i)^n \tilde{h}_n(ix, 1; q^{-1}). \quad (1.12)$$

It is also convenient to introduce the polynomials

$$h_n(x, s; q) := P_n(0; -x, 0, s), \quad (1.13)$$

which are actually a rescaled version of  $\tilde{h}_n(x; q)$  (see Section 4). The main purpose of this paper is to study another  $q$ -analogue of Hermite polynomials.

**Definition 2.** The  $q$ -Hermite polynomials  $H_n(x, s|q)$  are defined by

$$H_n(x, s|q) := \mathcal{F}_{x,0,-s}(z^n). \quad (1.14)$$

The  $q$ -Hermite polynomials  $\tilde{H}_n(x, s|q)$  have, amongst other facts,

- (1) orthogonality with an explicit measure,
- (2) an explicit 3-term recurrence relation,
- (3) explicit expressions,
- (4) a combinatorial model using matchings,
- (5) are moments for other orthogonal polynomials,
- (6) a closed form expression for Hankel determinants,
- (7) an explicit Jacobi continued fraction as generating function.

The new  $q$ -Hermite polynomials  $H_n(x, s|q)$  are not orthogonal, i.e., they do not have (1) and (2). Instead they have a nice  $q$ -analogue of the operator formula (1.2) for the ordinary Hermite polynomials (see Theorem 5), the coefficients of the  $H_n(x, s|q)$  appear in the inverse matrix of the coefficients in the continuous  $q$ -Hermite polynomials (cf. Theorem 6), they have simple connection coefficients with  $q$ -Lucas and  $q$ -Fibonacci polynomials (cf. Theorem 12). The discrete  $q$ -Hermite polynomials  $h_n(x, s; q)$  also have (1)–(4), and we will show in Theorem 7 that they are also moments. Moreover, the quotients of two consecutive polynomials  $h_n(x, s; q)$  (see Eq.(4.21)) appear as coefficients in the expansion of the  $S$ -continued fraction of the generating function of the  $H_n(x, s|q)$ 's, which leads to a second proof of Theorem 5.

This paper is organized as follows: in Section 2, we recall some well-known facts about the general theory of orthogonal polynomials and show how to prove (1.10) by using this theory; we prove the main properties of  $H_n(x, s|q)$  and  $h_n(x, s; q)$  in Section 3 and Section 4, respectively; in Section 5 we shall establish the connection between our new  $q$ -Hermite polynomials and the

$q$ -Fibonacci and  $q$ -Lucas polynomials. This yields, in particular, a generalization of Touchard-Riordan's formula for the moments of continuous  $q$ -Hermite polynomials (cf. Proposition 15), first obtained by Josuat-Vergès [10].

## 2. SOME WELL-KNOWN FACTS

In this section we recall some well-known facts about orthogonal polynomials (see [2, 18, 17]). Let  $p_n(x)$  be a sequence of polynomials which satisfies the three term recurrence relation

$$p_{n+1}(x) = (x - b_n)p_n(x) - \lambda_n p_{n-1}(x) \quad (2.1)$$

with initial values  $p_0(x) = 1$  and  $p_{-1}(x) = 0$ .

Define the coefficients  $a(n, k)$  ( $0 \leq k \leq n$ ) by

$$\sum_{k=0}^n a(n, k) p_k(x) = x^n. \quad (2.2)$$

These are characterized by the *Stieltjes tableau*:

$$\begin{aligned} a(0, k) &= \delta_{k,0}, \\ a(n, 0) &= b_0 a(n-1, 0) + \lambda_1 a(n-1, 1), \\ a(n, k) &= a(n-1, k-1) + b_k a(n-1, k) + \lambda_{k+1} a(n-1, k+1). \end{aligned} \quad (2.3)$$

If  $\mathcal{F}$  is the linear functional such that  $\mathcal{F}(p_n(x)) = \delta_{n,0}$ , then

$$\mathcal{F}(x^n) = a(n, 0). \quad (2.4)$$

The generating function of the moments has the continued fraction expansion

$$\sum_{n \geq 0} \mathcal{F}(x^n) z^n = \frac{1}{1 - b_0 z - \frac{\lambda_1 z^2}{1 - b_1 z - \frac{\lambda_2 z^2}{1 - \dots}}}. \quad (2.5)$$

The Hankel determinants for the moments are

$$d(n, 0) = \det(\mathcal{F}(z^{i+j}))_{i,j=0}^{n-1} = \prod_{i=1}^{n-1} \prod_{k=1}^i \lambda_k, \quad (2.6)$$

and

$$d(n, 1) = \det(\mathcal{F}(z^{i+j+1}))_{i,j=0}^{n-1} = d(n, 0)(-1)^n p_n(0). \quad (2.7)$$

By using the Stieltjes tableau we can give a simple proof of (1.10).

**Proposition 3.** *The continuous  $q$ -Hermite polynomials  $\tilde{H}_n(x, s|q)$  defined by (1.9), i.e.,*

$$\tilde{H}_{n+1}(x, s|q) = x\tilde{H}_n(x, s|q) - s[n]_q \tilde{H}_{n-1}(x, s|q), \quad (2.8)$$

*are the moments of the measure of the orthogonal polynomials  $p_n(z) := P_n(z; x, -s, 0)$  defined by the recurrence*

$$p_{n+1}(z) = (z - xq^n)p_n(z) + sq^{n-1}[n]_q p_{n-1}(z). \quad (2.9)$$

*Proof.* Let  $b_n = q^n x$  and  $\lambda_{n+1} = (-s)q^n [n+1]_q$  for  $n \geq 0$ . It is sufficient to verify that in this case (2.3) is satisfied with

$$a(n, k) = \begin{bmatrix} n \\ k \end{bmatrix} \tilde{H}_{n-k}(z, s|q). \quad (2.10)$$

This is clearly equivalent to (2.8). ■

As a consequence of the previous proposition, and in view of (2.6) and (2.7), we can derive immediately the Hankel determinants

$$d(n, 0) = (-s)^{\binom{n}{2}} q^{\binom{n}{3}} \prod_{j=0}^{n-1} [j]_q!, \quad (2.11)$$

and

$$d(n, 1) = d(n, 0)r(n), \quad (2.12)$$

where  $r(n) = (-1)^n p_n(0; x, -s, 0)$ .

Note that the polynomials  $r(n)$  satisfy

$$r(n) = q^{n-1} x r(n-1) + q^{n-2} s [n-1]_q r(n-2).$$

This implies that

$$r(n) = q^{\frac{n(n-2)}{2}} \tilde{H}_n \left( x\sqrt{q}, -s \left| \frac{1}{q} \right. \right). \quad (2.13)$$

The first few polynomials of the sequence  $\tilde{H}_n(x, s|q)$  are

$$1, x, -s + x^2, x(-2 + q)s + x^2, (1 + q + q^2)s^2 - (3 + 2q + q^2)sx^2 + x^4, \\ x((3 + 4q + 4q^2 + 3q^3 + q^4)s^2 - (4 + 3q + 2q^2 + q^3)sx^2 + x^4).$$

From their recurrence relation we see that

$$\tilde{H}_{2n}(0, s|q) = (-s)^n [2n-1]_q!! \quad \text{and} \quad \tilde{H}_{2n+1}(0, s|q) = 0.$$

### 3. THE $q$ -HERMITE POLYNOMIALS $H_n(x, s|q)$

By (1.8) the  $q$ -Hermite polynomials  $H_n(x, s|q)$  are the moments of the measure of the orthogonal polynomials  $P_n(z)$  satisfying the recurrence:

$$P_{n+1}(z) = (z - xq^n)P_n(z) + s[n]_q P_{n-1}(z). \quad (3.1)$$

Recall [13, p.80] that the Al-Salam–Chihara polynomials  $Q_n(x) := Q_n(x; \alpha, \beta)$  satisfy the three term recurrence:

$$Q_{n+1}(x) = (2x - (\alpha + \beta)q^n)Q_n(x) - (1 - q^n)(1 - \alpha\beta q^{n-1})Q_{n-1}(x), \quad (3.2)$$

with  $Q_0(x) = 1$  and  $Q_{-1}(x) = 0$ . They have the following explicit formulas:

$$Q_n(x; \alpha, \beta|q) = (\alpha e^{i\theta}; q)_n e^{-i\theta} {}_2\phi_1 \left( \begin{matrix} q^{-n}, & \beta e^{-i\theta} \\ \alpha^{-1} q^{-n+1} e^{-i\theta} & |q; \alpha^{-1} q e^{i\theta} \end{matrix} \right), \quad (3.3)$$

where  $x = \cos \theta$ .

Comparing (3.1) and (3.2) we have  $P_n(z) = \frac{1}{(2a)^n} Q_n(az; \alpha, 0)$  with

$$a = \frac{1}{2} \sqrt{\frac{q-1}{s}} \quad \text{and} \quad \alpha = x \sqrt{\frac{q-1}{s}}. \quad (3.4)$$

Using the known formula for Al-Salam–Chihara polynomials we obtain

$$\begin{aligned} P_n(z) &= \frac{1}{(2a\alpha)^n} \sum_{k=0}^n \frac{(q^{-n}; q)_k}{(q; q)_k} q^k \prod_{i=0}^{k-1} (1 + \alpha^2 q^{2i} - 2q^i a\alpha z) \\ &= \left( \frac{s}{x(q-1)} \right)^n \sum_{k=0}^n \frac{(q^{-n}; q)_k}{(q; q)_k} \left( \frac{-q}{s} \right)^k \prod_{i=0}^{k-1} ((q-1)q^i xz - s - (q-1)q^{2i} x^2). \end{aligned} \quad (3.5)$$

The first few polynomials  $P_n(z)$  are

$$\begin{aligned} P_1(z) &= z - x, \\ P_2(z) &= z^2 - x(1+q)z + (s + qx^2), \\ P_3(z) &= z^3 - x[3]_q z^2 + (2s + qs + q[3]_q x^2)z - (s + qs + q^2 s + q^3 x^2)x. \end{aligned}$$

A matching  $m$  of  $\{1, 2, \dots, n\}$  is a set of pairs  $(i, j)$  such that  $i < j$  and  $i, j \in [n]$ . Each pair  $(i, j)$  is called an edge of the matching. Let  $\text{ed}(m)$  be the number of edges of  $m$ , so  $n - 2\text{ed}(m)$  is the number of unmatched vertices. Two edges  $(i, j)$  and  $(k, l)$  have a crossing if  $i < k < j < l$  or  $k < i < l < j$ . Let  $\text{cr}(m)$  be the number of crossing numbers in the matching  $m$ . Using the combinatorial theory of Viennot [17], Ismail and Stanton [8, Theorem 6] gave a combinatorial interpretation of the moments of Al-Salam–Chihara polynomials. In particular we derive the following result from [8, Theorem 6].

**Lemma 4.** *The moments of the measure of the orthogonal polynomials  $\{P_n(x)\}$  are the generating functions for all matchings  $m$  of  $[n]$ :*

$$\mathcal{F}_{x,0,-s}(z^n) = \sum_m x^{n-2\text{ed}(m)} (-s)^{\text{ed}(m)} q^{c(m)+\text{cr}(m)}, \quad (3.6)$$

where  $c(m) = \sum_{a-\text{vertices}} |\{\text{edges } i < j : i < a < j\}|$  and the sum extends over all matchings  $m$  of  $[n]$ .

Let  $M(n, k)$  be the set of matchings of  $\{1, \dots, n\}$  with  $k$  unmatched vertices. Then

$$\mathcal{F}_{x,0,-s}(z^n) = \sum_k c(n, k, q) x^k (-s)^{\frac{n-k}{2}}, \quad (3.7)$$

where

$$c(n, k, q) = \sum_{m \in M(n, k)} q^{c(m)+\text{cr}(m)}. \quad (3.8)$$

It is easy to verify that

$$c(n, k, q) = c(n-1, k-1, q) + [k+1]_q c(n-1, k+1, q) \quad (3.9)$$

with  $c(0, k, q) = \delta_{k,0}$  and  $c(n, 0, q) = c(n-1, 1, q)$ . Indeed, if  $n$  is an unmatched vertex then for the restriction  $m_0$  of  $m$  to  $[n-1]$  we get  $c(m_0) = c(m)$  and  $\text{cr}(m_0) = \text{cr}(m)$ . If  $n$  is matched with  $m(n)$ , such that there are  $i$  unmatched vertices and  $j$  endpoints of edges which cross the

edge  $(m(n), n)$  between  $m(n)$  and  $n$ , then  $c(m) = c(m_0) + i - j$  and  $\text{cr}(m) = \text{cr}(m_0) + j$ . Thus  $c(m) + \text{cr}(m) = c(m_0) + \text{cr}(m_0) + i$ . Since each  $i$  with  $0 \leq i \leq k$  can occur we get (3.9).

Let now  $\mathcal{D}_q$  be the  $q$ -derivative operator defined by

$$\mathcal{D}_q f(z) = \frac{f(z) - f(qz)}{(1-q)z}.$$

We have then the following  $q$ -analogue of (1.2).

**Theorem 5.** *The  $q$ -Hermite polynomials  $H_n(x, s|q)$ , defined as moments  $\mathcal{F}_{x,0,-s}(z^n)$ , have the following operator formula:*

$$H_n(x, s|q) = (x - s\mathcal{D}_q)^n \cdot 1. \quad (3.10)$$

*Proof.* We know that

$$H_n(x, s|q) = \sum_k c(n, k, q) x^k (-s)^{\frac{n-k}{2}}, \quad (3.11)$$

where  $c(n, k, q)$  satisfies (3.9). Therefore

$$\begin{aligned} H_n(x, s|q) &= \sum_k c(n-1, k-1, q) x^k (-s)^{\frac{n-k}{2}} + \sum_k [k+1]_q c(n-1, k+1, q) x^k (-s)^{\frac{n-k}{2}} \\ &= xH_{n-1}(x, s|q) - s\mathcal{D}_q H_{n-1}(x, s|q). \end{aligned}$$

The result then follows by induction on  $n$ . ■

**Remark.** It should be noted that the method of Varvak [16] (see also [10]) can also be applied to prove Theorem 5. In fact her method proves first that  $(x - s\mathcal{D}_q)^n \cdot 1$  is a generating function of some rook placements, which is then shown to count involutions with respect to the statistic  $c(m) + \text{cr}(m)$  (see [16, Theorem 6.4]). We will give another proof of (3.10) by using continued fractions, see the remark after Theorem 9.

The first few polynomials  $H_n(x, s|q)$  are

$$\begin{aligned} &1, \quad x, \quad -s + x^2, \quad x(-2 + q)s + x^2, \quad (2 + q)s^2 - (3 + 2q + q^2)sx^2 + x^4, \\ &x((5 + 6q + 3q^2 + q^3)s^2 - (4 + 3q + 2q^2 + q^3)sx^2 + x^4), \dots \end{aligned}$$

Let

$$\tilde{H}_n(x, s|q) = \sum_k b(n, k, q) x^k (-s)^{\frac{n-k}{2}}. \quad (3.12)$$

**Theorem 6.** *The matrices  $(c(i, j, q))_{i,j=0}^{n-1}$  and  $(b(i, j, q)(-1)^{\frac{i-j}{2}})_{i,j=0}^{n-1}$  are mutually inverse.*

*Proof.* We first show by induction that

$$\tilde{H}_n(x + s\mathcal{D}_q, s|q) \cdot 1 = x^n. \quad (3.13)$$

For this is obvious for  $n = 0$ . If it is already shown for  $n$  we get

$$\begin{aligned} \tilde{H}_{n+1}(x + s\mathcal{D}_q, s|q) \cdot 1 &= (x + s\mathcal{D}_q)\tilde{H}_n(x + s\mathcal{D}_q, s|q) \cdot 1 - s[n]_q \tilde{H}_{n-1}(x + s\mathcal{D}_q, s|q) \cdot 1 \\ &= (x + s\mathcal{D}_q)x^n - s[n]_q x^{n-1} = x^{n+1}. \end{aligned}$$

On the other hand we have

$$\begin{aligned}
\tilde{H}_n(x + s\mathcal{D}_q, s|q) \cdot 1 &= \sum_{k=0}^n b(n, k, q)(-s)^{\frac{n-k}{2}} (x + s\mathcal{D}_q)^k \cdot 1 \\
&= \sum_{k=0}^n b(n, k, q)(-s)^{\frac{n-k}{2}} \sum_{j=0}^k c(k, j, q)s^{\frac{k-j}{2}} x^j \\
&= \sum_{j=0}^n s^{\frac{n-j}{2}} x^j \sum_{k=j}^n b(n, k, q)(-1)^{\frac{n-k}{2}} c(k, j, q). \tag{3.14}
\end{aligned}$$

The result then follows by comparing (3.13) and (3.14). ■

**Remark.** If we set  $q = 0$  then (3.9) reduces to the well-known Catalan triangle (see [2, Chap. 7]), which implies

$$\begin{aligned}
c(2n, 0, 0) &= C_n = \frac{1}{n+1} \binom{2n}{n}, \\
c(2n, 2k, 0) &= \frac{2k+1}{n+k+1} \binom{2n}{n-k} = \binom{2n}{n-k} - \binom{2n}{n-k-1}, \\
c(2n+1, 2k+1, 0) &= \frac{2k+2}{n+k+2} \binom{2n+1}{n-k} = \binom{2n+1}{n-k} - \binom{2n+1}{n-k-1}.
\end{aligned}$$

The recurrence (3.1) implies that the Hankel determinants of  $H_n(x, s|q)$  are

$$\det(H_{i+j}(x, s|q))_{i,j}^{n-1} = (-s)^{\binom{n}{2}} \prod_{j=0}^{n-1} [j]_q! \tag{3.15}$$

and

$$\det(H_{i+j+1}(x, s; q))_{i,j}^{n-1} = h_n(x, -s; q)(-s)^{\binom{n}{2}} \prod_{j=0}^{n-1} [j]_q!, \tag{3.16}$$

where

$$h_n(x, -s; q) = (-1)^n P_n(0) = \left( \frac{s}{x(1-q)} \right)^n \sum_{k=0}^n \frac{(q^{-n}; q)_k}{(q; q)_k} q^k \prod_{i=0}^{k-1} (1 + x^2(q-1)q^{2i}/s).$$

#### 4. THE RESCALED DISCRETE $q$ -HERMITE POLYNOMIALS II

By definition (1.13) and (1.7) we have

$$h_{n+1}(x, s; q) = q^n x h_n(x, s; q) - [n]_q s h_{n-1}(x, s; q). \tag{4.1}$$



Comparing with the three-term recurrence relation for the discrete  $q$ -Hermite polynomials II (see (1.12) and (1.7)), we derive

$$h_n(x, s; q) = q^{\binom{n}{2}} \sqrt{s^n} \tilde{h}_n\left(\frac{x}{\sqrt{s}}; q\right) \quad (4.2)$$

$$= \sum_{k=0}^n q^{\binom{n-2k}{2}} \begin{bmatrix} n \\ 2k \end{bmatrix} [2k-1]_q! (-s)^k x^{n-2k}, \quad (4.3)$$

where the last expression follows from the known formula for  $\tilde{h}_n(x; q)$ .

Since  $\mathcal{D}_q(fg) = \mathcal{D}_q(f)g + f(qx)\mathcal{D}_q(g)$  and  $\mathcal{D}_q(x) = 1$ , we see that

$$\mathcal{D}_q(h_{n+1}(x)) = q^n x \mathcal{D}_q(h_n(x)) + q^n h_n(qx) - [n]_q s \mathcal{D}_q(h_{n-1}(x)).$$

We find by induction on  $n$  that

$$\mathcal{D}_q h_n(x, s; q) = [n]_q h_{n-1}(qx, s; q). \quad (4.4)$$

The first few polynomials  $h_n(x, s; q)$  are

$$1, \quad x, \quad qx^2 - s, \quad q^3 x^3 - s[3]_q x, \quad q^6 x^4 - s(q^5 + q^4 + 2q^3 + q^2 + q)x^2 + s^2[3]_q.$$

The following result shows that the polynomials  $h_n(x, s; q)$  are moments of some orthogonal polynomials.

**Theorem 7.** *The generating function of  $h_n(x, s; q)$  has the continued fraction expansion:*

$$\sum_{m \geq 0} h_m(x, s; q) t^m = \frac{1}{1 - b_0 t - \frac{\lambda_1 t^2}{1 - b_1 t - \frac{\lambda_2 t^2}{1 - b_2 t - \frac{\lambda_3 t^2}{1 - \dots}}}},$$

with

$$b_n = q^{n-1}(q^n + q^{n+1} - 1)x \quad \text{and} \quad \lambda_n = -q^{n-1}[n]_q(s + q^{2n-2}(1-q)x^2). \quad (4.5)$$

*Proof.* To prove this it suffices to show that the Stieltjes tableau (2.3) is satisfied with

$$a(n, k) = \begin{bmatrix} n \\ k \end{bmatrix} h_{n-k}(q^k x, s; q).$$

This is easily verified. ■

Using (2.6) and (2.7), Theorem 7 implies the following Hankel determinant evaluations:

$$\det(h_{i+j}(x, s; q))_{i,j}^{n-1} = (-1)^{\binom{n}{2}} q^{\binom{n}{3}} \prod_{j=0}^{n-1} ([j]_q! (s + q^{2j}(1-q)x^2)^{n-1-j}) \quad (4.6)$$

and

$$\frac{\det(h_{i+j+1}(x, s; q))_{i,j}^{n-1}}{\det(h_{i+j}(x, s; q))_{i,j}^{n-1}} = w(n), \quad (4.7)$$

where  $w(n)$  satisfies

$$w(n+1) = q^{n-1}(q^n + q^{n+1} - 1)xw(n) + q^{n-1}[n]_q(s + q^{2n-2}(1-q)x^2)w(n-1).$$

It is easily verified that

$$w(n) = \sum_{k=0}^n q^{2\binom{n-k}{2}} \begin{bmatrix} n \\ 2k \end{bmatrix} [2k-1]_q!! s^k x^{n-2k} \quad (4.8)$$

satisfies the same recurrence with the same initial values.

**Lemma 8.** *Let  $L_n(x) := h_n(x, (1-q)s; q)$ . Then*

$$sL_n(x) + xL_{n+1}(x) = (x^2 + s)L_n(qx). \quad (4.9)$$

*Proof.* First we note that the constant terms of both sides of (4.9) are equal to  $sL_n(0)$ . So it suffices to show that the derivatives of the two sides are equal. Applying  $\mathcal{D}_q$  to (4.9) and using (4.4) we obtain, after replacing  $x$  by  $x/q$ ,

$$s[n]L_{n-1}(x) + xq[n-1]L_n(x) + L_{n+1}(x) = (x^2 + s)q[n]L_{n-1}(qx).$$

Since  $L_{n+1}(x) = q^n x L_n(x) - (1-q^n)sL_{n-1}(x)$ , we can rewrite the above equation as follows:

$$sL_{n-1}(x) + xL_n(x) = (x^2 + s)L_{n-1}(qx). \quad (4.10)$$

The proof is thus completed by induction on  $n$ . ■

We shall prove the following Jacobi continued fraction expansion for the generating function of  $(x + (1-q)s\mathcal{D}_q)^n \cdot 1$ . This is equivalent to Theorem 5.

**Theorem 9.** *Let  $T_n(x, s) = (x + (1-q)s\mathcal{D}_q)^n \cdot 1$ . Then*

$$\sum_{n \geq 0} T_n(x, s)t^n = \frac{1}{1 - b_0t - \frac{\lambda_1 t^2}{1 - b_1t - \frac{\lambda_2 t^2}{1 - \dots}}}, \quad (4.11)$$

where the coefficients are

$$b_n = q^n x, \quad \text{for } n \geq 0; \quad \text{and } \lambda_n = (1 - q^n)s, \quad \text{for } n \geq 1. \quad (4.12)$$

*Proof.* Since  $T_n(x, s) = (x + (1-q)s\mathcal{D}_q)T_{n-1}(x, s)$ , we have

$$T_n(x, s) = \left(x + \frac{s}{x}\right)T_{n-1}(x, s) - \frac{s}{x}T_{n-1}(qx, s).$$

Equivalently the generating function  $G(x, t) = \sum_{n \geq 0} T_n(x, s)t^n$  satisfies the functional equation:

$$\left(1 - \frac{x^2 + s}{x}t\right)G(x, t) = 1 - \frac{s}{x}tG(qx, t). \quad (4.13)$$

Suppose that

$$G(x, t) = \frac{1}{1 - \frac{c_1 t}{1 - \frac{c_2 t}{1 - \frac{c_3 t}{1 - \dots}}}}, \quad (4.14)$$

where  $c_n = (g_n - 1)g_{n-1}A$  with  $A := A(x) = -\frac{x^2+s}{x}$  and  $g_i := g_i(x)$ .

Substituting (4.14) in (4.13) and then replacing  $t$  by  $t/A$  we obtain

$$\frac{1+t}{1 - \frac{(g_1-1)t}{1 - \frac{(g_2-1)g_1 t}{1 - \frac{(g_3-1)g_2 t}{1 - \frac{(g_4-1)g_3 t}{1 - \dots}}}}} = 1 + \frac{\frac{s}{x^2+s}t}{1 - \frac{(g'_1-1)\frac{A'}{A}t}{1 - \frac{(g'_2-1)g'_1\frac{A'}{A}t}{1 - \frac{(g'_3-1)g'_2\frac{A'}{A}t}{1 - \dots}}}}, \quad (4.15)$$

where  $A' := A(qx)$  and  $g'_i := g_i(qx)$ . Comparing this with Wall's formula (see [12]):

$$\frac{1+z}{1 - \frac{(g_1-1)z}{1 - \frac{(g_2-1)g_1 z}{1 - \frac{(g_3-1)g_2 z}{1 - \frac{(g_4-1)g_3 z}{1 - \dots}}}}} = 1 + \frac{g_1 z}{1 - \frac{(g_1-1)g_2 z}{1 - \frac{(g_2-1)g_3 z}{1 - \frac{(g_3-1)g_4 z}{1 - \dots}}}}, \quad (4.16)$$

we derive that  $g_0 = 1$  and for  $n \geq 1$ ,

$$\begin{cases} g_{2n} = \frac{A'}{A} \frac{g'_{2n-1} - 1}{g_{2n-1} - 1} g'_{2n-2}, \\ g_{2n+1} = \frac{A'}{A} \frac{g'_{2n} - 1}{g_{2n} - 1} g'_{2n-1}. \end{cases} \quad (4.17)$$

For example,

$$\begin{aligned} g_1 &= \frac{s}{x^2 + s}, & g_3 &= \frac{A'}{A} \frac{g'_2 - 1}{g_2 - 1} g'_1 = \frac{s}{x^2 + s} \frac{1}{q}, \\ g_2 &= \frac{A' g'_1 - 1}{A g_1 - 1} = q, & g_4 &= \frac{A'}{A} \frac{g'_3 - 1}{g_3 - 1} g'_2 = \frac{-s + qs + q^3 x^2}{-s + qs + qx^2}. \end{aligned}$$

In general we have the following result

$$\begin{cases} g_{2n} = \frac{sL_n(x) + xL_{n+1}(x)}{(x^2 + s)L_n(x)}, \\ g_{2n+1} = \frac{sL_n(x)}{sL_n(x) + xL_{n+1}(x)}, \end{cases} \quad (n \geq 0). \quad (4.18)$$

This can be verified by induction on  $n$ . Suppose that the formula (4.18) is true for  $n \geq 0$ . We prove that the formula holds for  $n + 1$ . By (4.17) we have

$$g_{2n+2} = \frac{A'}{A} \frac{g'_{2n+1} - 1}{g_{2n+1} - 1} g'_{2n} = \frac{sL_n(x) + xL_{n+1}(x)}{(x^2 + s)L_{n+1}(x)} \frac{L_{n+1}(qx)}{L_n(qx)}.$$

It follows from Lemma 1 that

$$g_{2n+2} = \frac{sL_{n+1}(x) + xL_{n+2}(x)}{(x^2 + s)L_{n+1}(x)}. \quad (4.19)$$

Since

$$L_{n+1}(x) - xL_n(x) = (q^n - 1)(xL_n(x) + sL_{n-1}(x)), \quad (4.20)$$

the verification for  $g_{2n+3}$  is then straightforward. We derive from (4.14) and (4.18) that

$$\begin{cases} c_{2n} = (g_{2n} - 1)g_{2n-1}A = (1 - q^n)s \frac{L_{n-1}(x)}{L_n(x)}, & \text{for } n \geq 1; \\ c_{2n+1} = (g_{2n+1} - 1)g_{2n}A = \frac{L_{n+1}(x)}{L_n(x)}, & \text{for } n \geq 0. \end{cases} \quad (4.21)$$

Invoking the *contraction formula* (see [19]), which transforms a  $S$ -continued fraction to a  $J$ -continued fraction,

$$\frac{1}{1 - \frac{c_1 z}{1 - \frac{c_2 z}{1 - \frac{c_3 z}{1 - \frac{c_4 z}{\dots}}}}} = \frac{1}{1 - c_1 z - \frac{c_1 c_2 z^2}{1 - (c_2 + c_3)z - \frac{c_3 c_4 z^2}{\dots}}}, \quad (4.22)$$

we obtain

$$\begin{cases} b_n = \frac{h_{n+1}(x, (1-q)s; q)}{h_n(x, (1-q)s; q)} + (1 - q^n)s \frac{h_{n-1}(x, (1-q)s; q)}{h_n(x, (1-q)s; q)} = q^n x, \\ \lambda_n = \frac{h_n(x, (1-q)s; q)}{h_{n-1}(x, (1-q)s; q)} \cdot (1 - q^n)s \frac{h_{n-1}(x, (1-q)s; q)}{h_n(x, (1-q)s; q)} = (1 - q^n)s. \end{cases} \quad (4.23)$$

This completes the proof. ■

**Remark.** Instead of the contraction formula (4.22), we can also proceed as follows. Define a table  $(A(n, k))_{n, k \geq 0}$  by

$$\begin{aligned} A(0, k) &= \delta_{k,0}, \\ A(n, 0) &= c_1 A(n-1, 1), \\ A(n, k) &= A(n-1, k-1) + c_{k+1} A(n-1, k+1). \end{aligned} \quad (4.24)$$

In this case  $A(2n, 2k+1) = A(2n+1, 2k) = 0$  for all  $n, k$ . If we define

$$a(n, k) = A(2n, 2k),$$

then it is easily verified that  $a(n, k)$  satisfy (2.3) with

$$b_0 = c_1, \quad b_n = c_{2n} + c_{2n+1}, \quad \lambda_n = c_{2n} c_{2n-1}. \quad (4.25)$$

Substituting the values in (4.21) for  $c_n$  we obtain (4.23). Therefore

$$\sum_n A(2n, 0)t^n = \sum_n a(n, 0)t^n = \sum_n T_n(x, s)t^n.$$

As another application of this remark we prove the following result.

**Proposition 10.** *Let  $w_n(m, q) = q^{\frac{n(2m+1)n+1}{2}}$ . Then*

$$\sum_{m \geq 0} w_n(m, q)t^n = \frac{1}{1 - b_0t - \frac{\lambda_1 t^2}{1 - b_1t - \frac{\lambda_2 t^2}{1 - b_2t - \frac{\lambda_3 t^2}{1 - \dots}}}},$$

where

$$b_n = q^{(2m+1)n-m}(q^{(2m+1)n} - 1) + q^{(2m+1)(2n+1)-m},$$

$$\lambda_n = q^{(2m+1)(3n-1)-2m}(q^{(2m+1)n} - 1).$$

*Proof.* Let

$$A(2n, 2k) = \frac{w_n(m, q)}{w_k(m, q)} \begin{bmatrix} n \\ k \end{bmatrix}_{q^{2m+1}} \quad \text{and} \quad A(2n+1, 2k+1) = \frac{w_{n+1}(m, q)}{w_{k+1}(m, q)} \begin{bmatrix} n \\ k \end{bmatrix}_{q^{2m+1}}.$$

Then it is easily verified that the table (4.24) holds with  $c_{2n} = q^{(2m+1)n-m}(q^{(2m+1)n} - 1)$  and  $c_{2n+1} = q^{(2m+1)(2n+1)-m}$ . Therefore

$$\sum_n A(2n, 0)t^n = \sum_n a(n, 0)t^n = \sum_n w_n(m, q)t^n. \blacksquare$$

## 5. CONNECTION WITH $q$ -FIBONACCI POLYNOMIALS AND $q$ -LUCAS POLYNOMIALS

In this section we derive some explicit expansion formulae for the  $q$ -Hermite polynomials  $H_n(x, s|q)$  in terms of  $q$ -Fibonacci polynomials and  $q$ -Lucas polynomials. We first recall some basic results about the latter polynomials in the  $q = 1$  case and then define their  $q$ -analogue with the ordinary Fibonacci and Lucas polynomials and  $q$ -operator  $\mathcal{D}_q$ .

The Lucas polynomials are defined by the recurrence

$$l_n(x, s) = xl_{n-1}(x, s) + sl_{n-2}(x, s) \quad \text{for } n > 2,$$

with initial values  $l_1(x, s) = x$  and  $l_2(x, s) = x^2 + 2s$ . They have the explicit formula

$$l_n(x, s) = \sum_{2k \leq n} \frac{n}{n-k} \binom{n-k}{k} s^k x^{n-2k} \quad (n > 0). \quad (5.1)$$

Furthermore we define  $l_0(x, s) = 1$ . Note that this definition differs from the usual one in which  $l_0(x, s) = 2$ .

The Fibonacci polynomials are defined by

$$f_n(x, s) = xf_{n-1}(x, s) + sf_{n-2}(x, s)$$

with  $f_0(x, s) = 0$  and  $f_1(x, s) = 1$ . They have the explicit formula

$$f_n(x, s) = \sum_{k=0}^{\lfloor \frac{n-1}{2} \rfloor} \binom{n-1-k}{k} s^k x^{n-1-2k}. \quad (5.2)$$

We first establish the following inversion of (5.1) and (5.2), which will be used in the proof of Theorem 12.

**Lemma 11.**

$$x^n = \sum_{2k \leq n} \binom{n}{k} s^k l_{n-2k}(x, -s), \quad (5.3)$$

$$x^n = \sum_{2k \leq n+1} \left( \binom{n}{k} - \binom{n}{k-1} \right) s^k f_{n+1-2k}(x, -s). \quad (5.4)$$

*Proof.* Recall the Tchebyshev inverse relations [15, p. 54-62]:

$$b_n = \sum_{k=0}^{\lfloor \frac{n}{2} \rfloor} (-1)^k \frac{n}{n-k} \binom{n-k}{k} a_{n-2k} \iff a_n = \sum_{k=0}^{\lfloor \frac{n}{2} \rfloor} \binom{n}{k} b_{n-2k}, \quad (5.5)$$

where  $a_0 = b_0 = 1$ , and

$$b_n = \sum_{k=0}^{\lfloor \frac{n}{2} \rfloor} (-1)^k \binom{n-k}{k} a_{n-2k} \iff a_n = \sum_{k=0}^{\lfloor \frac{n}{2} \rfloor} \left[ \binom{n}{k} - \binom{n}{k-1} \right] b_{n-2k}. \quad (5.6)$$

We derive immediately (5.3) from (5.1) and (5.5). Clearly (5.2) is equivalent to the left identity in (5.6) with  $a_n = \left( \frac{x}{\sqrt{s}} \right)^n$  and  $b_n = \frac{f_{n+1}(x, -s)}{(\sqrt{s})^n}$ . By inversion we find

$$x^n = \sum_{k=0}^{\lfloor \frac{n}{2} \rfloor} \left( \binom{n}{k} - \binom{n}{k-1} \right) s^k f_{n+1-2k}(x, -s). \quad (5.7)$$

Now, noticing that

- if  $n$  is odd, then  $\binom{n}{k} = \binom{n}{k-1}$  for  $k = \lfloor \frac{n+1}{2} \rfloor$ ,
- if  $n$  is even, then  $\lfloor \frac{n+1}{2} \rfloor = \lfloor \frac{n}{2} \rfloor$ ,

we see the equivalence of (5.4) and (5.7). ■

Define the  $q$ -Lucas and  $q$ -Fibonacci polynomials by

$$L_n(x, s) = l_n(x + (q-1)s\mathcal{D}_q, s) \cdot 1, \quad (5.8)$$

$$F_n(x, s) = f_n(x + (q-1)s\mathcal{D}_q, s) \cdot 1. \quad (5.9)$$

It is known (see [3] and [4]) that they have the explicit formulae

$$L_n(x, s) = \sum_{k=0}^{\lfloor \frac{n}{2} \rfloor} q^{\binom{k}{2}} \frac{[n]}{[n-k]} \begin{bmatrix} n-k \\ k \end{bmatrix} s^k x^{n-2k}, \quad (5.10)$$

$$F_n(x, s) = \sum_{k=0}^{\lfloor \frac{n-1}{2} \rfloor} q^{\binom{k+1}{2}} \begin{bmatrix} n-1-k \\ k \end{bmatrix} s^k x^{n-1-2k}, \quad (5.11)$$

for  $n > 0$ , with  $L_0(x, s) = 1$  and  $F_0(x, s) = 0$ .

**Theorem 12.** *We have*

$$H_n(x, (q-1)s|q) = \sum_{k=0}^{\lfloor \frac{n}{2} \rfloor} \binom{n}{k} s^k L_{n-2k}(x, -s) \quad (5.12)$$

$$= \sum_{k=0}^{\lfloor \frac{n+1}{2} \rfloor} \left( \binom{n}{k} - \binom{n}{k-1} \right) s^k F_{n+1-2k}(x, -s). \quad (5.13)$$

*Proof.* Since

$$\begin{aligned} L_n(x, -s) &= l_n(x - (q-1)s\mathcal{D}_q, s) \cdot 1, \\ F_n(x, -s) &= f_n(x - (q-1)s\mathcal{D}_q, s) \cdot 1, \end{aligned}$$

the theorem follows by applying the homomorphism  $x \mapsto x - (q-1)s\mathcal{D}_q$  to (5.3) and (5.4). ■

We derive some consequences of the formula (5.13).

**Corollary 13.** *We have*

$$H_n(1, q-1|q) = \sum_{k=-\lfloor (n+1)/3 \rfloor}^{\lfloor n/3 \rfloor} (-1)^k q^{\frac{k(3k+1)}{2}} \binom{n}{\lfloor \frac{n-3k}{2} \rfloor}. \quad (5.14)$$

*Proof.* Let  $r(j) = \frac{j(3j+1)}{2}$ . Then, it follows from [3] that

$$F_{3n}(1, -1) = \sum_{j=-n}^{n-1} (-1)^j q^{r(j)}, \quad F_{3n+1}(1, -1) = F_{3n+2}(1, -1) = \sum_{j=-n}^n (-1)^j q^{r(j)},$$

or

$$F_n(1, -1) = \sum_{-n \leq 3j \leq n-1} (-1)^j q^{r(j)}.$$

Let  $w(n) = \sum_{k \geq 0} \left( \binom{n}{k} - \binom{n}{k-1} \right) F_{n+1-2k}(1, -1)$ . Consider a fixed term  $(-1)^j q^{r(j)}$ . This term occurs in  $F_n(1, -1)$  if  $-\frac{n}{3} \leq j \leq \frac{n-1}{3}$ . We are looking for all  $k$ , such that this term occurs in  $F_{n+1-2k}(1, -1)$ . If  $j \geq 0$  then the largest such number is  $k_0 = \lfloor \frac{n-3j}{2} \rfloor$ . For  $j \leq \frac{n-2k}{3}$  is

equivalent with  $k \leq k_0$ . Therefore the coefficient of  $(-1)^j q^{r(j)}$  in  $w(n)$  is  $\sum_{k=0}^{k_0} \left( \binom{n}{k} - \binom{n}{k-1} \right) = \binom{n}{k_0}$ . If  $j < 0$  then  $-\frac{n+1-2k}{3} \leq j$  is equivalent with  $k \leq \lfloor \frac{n+1+3j}{2} \rfloor$ . This gives

$$H_n(1, q-1|q) = \sum_{j=0}^{\lfloor n/3 \rfloor} (-1)^j q^{\frac{j(3j+1)}{2}} \binom{n}{\lfloor \frac{n-3j}{2} \rfloor} + \sum_{j=1}^{\lfloor (n+1)/3 \rfloor} (-1)^j q^{\frac{j(3j-1)}{2}} \binom{n}{\lfloor \frac{n-3j+1}{2} \rfloor}. \quad (5.15)$$

Now, we have

$$\binom{n}{\lfloor \frac{n-3j+1}{2} \rfloor} = \binom{n}{\lfloor \frac{n+3j}{2} \rfloor}$$

because  $\lfloor \frac{n-3j+1}{2} \rfloor + \lfloor \frac{n+3j}{2} \rfloor = n$ . So (5.15) is equivalent to (5.14).  $\blacksquare$

**Corollary 14.** *We have*

$$H_{2n} \left( 1, \frac{q-1}{q} | q \right) = q^{-n} \sum_{j=-n}^n \left( \binom{2n}{n-3j} - \binom{2n}{n-3j-1} \right) q^{2j(3j+1)}, \quad (5.16)$$

and

$$H_{2n+1} \left( 1, \frac{q-1}{q} | q \right) = q^{-n} \sum_{j=-n}^n \left( \binom{2n+1}{n-3j} - \binom{2n+1}{n-3j-1} \right) q^{2j(3j+2)}. \quad (5.17)$$

*Proof.* Note that

$$H_{2n} \left( 1, \frac{q-1}{q} | q \right) = \frac{1}{q^n} \sum_{k=0}^n \left( \binom{2n}{n-k} - \binom{2n}{n-k-1} \right) q^k F_{2k+1} \left( 1, -\frac{1}{q} \right), \quad (5.18)$$

$$H_{2n+1} \left( 1, \frac{q-1}{q} | q \right) = \frac{1}{q^n} \sum_{k=0}^{n+1} \left( \binom{2n+1}{n+1-k} - \binom{2n+1}{n-k} \right) q^{k-1} F_{2k} \left( 1, -\frac{1}{q} \right). \quad (5.19)$$

Recall (see [3]) that

$$F_{3n} \left( 1, -\frac{1}{q} \right) = 0, \quad F_{3n+1} \left( 1, -\frac{1}{q} \right) = (-1)^n q^{r(n)}, \quad F_{3n+2} \left( 1, -\frac{1}{q} \right) = (-1)^n q^{r(-n)}. \quad (5.20)$$

Hence

- if  $k = 3j$  then  $2k+1 = 6j+1$  and  $q^k F_{2k+1} \left( 1, -\frac{1}{q} \right) = q^{3j} F_{6j+1} \left( 1, -\frac{1}{q} \right) = q^{2j(3j+1)}$ .
- if  $k = 3j+1$  then  $2k+1 = 6j+3$  and  $q^k F_{2k+1} \left( 1, -\frac{1}{q} \right) = 0$ .
- If  $k = 3j+2$  then  $2k+1 = 6j+5$  and  $q^k F_{2k+1} \left( 1, -\frac{1}{q} \right) = q^{3j+2}$ .
- if  $k = 3j$  then  $2k = 6j$  and  $q^{k-1} F_{2k} \left( 1, -\frac{1}{q} \right) = 0$ .
- if  $k = 3j+1$  then  $2k = 6j+2$  and  $q^{k-1} F_{2k} \left( 1, -\frac{1}{q} \right) = q^{2j(3j+2)}$ .
- If  $k = 3j+2$  then  $2k = 6j+4$  and  $q^{k-1} F_{2k} \left( 1, -\frac{1}{q} \right) = -q^{(3j+1)(2j+2)}$ .

Substituting the above values into (5.18) and (5.19) yields (5.16) and (5.17).  $\blacksquare$

Finally, from (5.12) and (3.8) we derive two explicit formulae for the coefficient  $c(n, k, q)$ .



**Proposition 15.** *If  $k \equiv n \pmod{2}$  then*

$$\begin{aligned} c(n, k, q) &= \sum_{m \in M(n, k)} q^{c(m) + \text{cr}(m)} \\ &= (1 - q)^{-\frac{n-k}{2}} \sum_{j \geq 0} \binom{n}{\frac{n-k-2j}{2}} (-1)^j q^{\binom{j}{2}} \begin{bmatrix} k+2j \\ [k+j] \end{bmatrix} \begin{bmatrix} k+j \\ j \end{bmatrix} \end{aligned} \quad (5.21)$$

$$= (1 - q)^{-\frac{n-k}{2}} \sum_{j \geq 0} \left( \binom{n}{\frac{n-k-2j}{2}} - \binom{n}{\frac{n-k-2j-2}{2}} \right) (-1)^j q^{\binom{j+1}{2}} \begin{bmatrix} k+j \\ k \end{bmatrix}. \quad (5.22)$$

We now give a second proof of Proposition 15 using Theorem 6 and the orthogonality of the continuous  $q$ -Hermite polynomials.

*Proof.* Clearly Theorem 6 is equivalent to

$$x^n = \sum_{k \equiv n \pmod{2}} c(n, k, q) s^{(n-k)/2} \tilde{H}_k(x, s|q). \quad (5.23)$$

To compute  $c(n, k, q)$  we can take  $s = 1$  and let  $\tilde{H}_n(x|q) = \tilde{H}_n(x, s|q)$ . It is known (see [9]) that the continuous  $q$ -Hermite polynomials ( $\tilde{H}_n(x|q)$ ) are orthogonal with respect to the linear functional  $\varphi$  defined by

$$\varphi(x^n) = \int_{-2/\sqrt{1-q}}^{2/\sqrt{1-q}} x^n v(x, q) dx, \quad (5.24)$$

where

$$v(x, q) = \frac{\sqrt{(1-q)}(q)_\infty}{\sqrt{1-(1-q)x^2/44\pi}} \prod_{k=0}^{\infty} \{1 + (2 - (1-q)x^2)q^k + q^{2k}\}.$$

Since  $\varphi((\tilde{H}_k(x|q))^2) = [k]_q!$ , it follows from (5.23) that, for  $k \equiv n \pmod{2}$ ,

$$c(n, k, q) = \frac{1}{[k]_q!} \varphi(x^n \tilde{H}_k(x|q)). \quad (5.25)$$

Recall the well-known formula (see [9])

$$x^{2n} = \sum_{j=-n}^n \binom{2n}{n+j} T_{2j}(x/2), \quad (5.26)$$

where  $T_n(\cos \theta) = \cos(n\theta) = T_{-n}(\cos \theta)$  is the  $n$ th Chebyshev polynomial of the first kind. By using the Jacobi triple product formula and the terminating  $q$ -binomial formula, we can prove (see [7, p. 307]) that, for any integer  $j$  and  $a = \sqrt{1-q}$ ,

$$\varphi(T_{n-2j}(ax/2) \tilde{H}_n(x|q)) = \frac{(-1)^{n+j}}{2a^n} q^{\binom{n-j}{2}} \{(q^{-n+j+1}; q)_n + q^{n-j} (q^{-n+j}; q)_n\}. \quad (5.27)$$

It follows from (5.25), (5.26) and (5.27) that

$$\begin{aligned} c(2n, 2k, q) &= \frac{a^{-2n}}{[2k]_q!} \sum_{j=-n}^n \binom{2n}{n+j} \varphi(T_{2j}(ax/2) \tilde{H}_{2k}(x|q)) \\ &= \frac{(1-q)^{-(n-k)}}{(q; q)_{2k}} \sum_{j=-n}^n \binom{2n}{n+j} \frac{(-1)^{k+j}}{2} q^{\binom{k+j}{2}} \{(q^{-k-j+1}; q)_{2k} + q^{k+j} (q^{-k-j}; q)_{2k}\}. \end{aligned}$$

Since  $(q^{-k-j+1}; q)_{2k}$  is zero if  $j \neq -n, \dots, -k$  and  $j \neq k+1, \dots, n$ , and  $(q^{-k-j}; q)_{2k}$  is zero if  $j \neq -n, \dots, -k-1$  or  $j \neq k, \dots, n$ , we can split the last summation into the following four summations:

$$\begin{aligned} S_1 &= \sum_{j=-n}^{-k} \binom{2n}{n+j} \frac{(-1)^{k+j}}{2} q^{\binom{k+j}{2}} (q^{-k-j+1}; q)_{2k}, \\ S_2 &= \sum_{j=k+1}^n \binom{2n}{n+j} \frac{(-1)^{k+j}}{2} q^{\binom{k+j}{2}} (q^{-k-j+1}; q)_{2k}, \\ S_3 &= \sum_{j=-n}^{-k-1} \binom{2n}{n+j} \frac{(-1)^{k+j}}{2} q^{\binom{k+j}{2}} q^{k+j} (q^{-k-j}; q)_{2k}, \\ S_4 &= \sum_{j=k}^n \binom{2n}{n+j} \frac{(-1)^{k+j}}{2} q^{\binom{k+j}{2}} q^{k+j} (q^{-k-j}; q)_{2k}. \end{aligned}$$

It is readily seen, by replacing  $j$  by  $-j$  in  $S_1$  and  $S_3$ , that  $S_1 = S_4$  and  $S_2 = S_3$ . Therefore,

$$\begin{aligned} c(2n, 2k, q) &= \frac{(1-q)^{-(n-k)}}{(q; q)_{2k}} (S_2 + S_4) \\ &= (1-q)^{-(n-k)} \sum_{j \geq 0} \binom{2n}{n+k+j} (-1)^j q^{\binom{j}{2}} \frac{[2k+2j]}{[2k+j]} \begin{bmatrix} 2k+j \\ j \end{bmatrix}. \end{aligned} \quad (5.28)$$

This corresponds to (5.21) for even indices. To derive the formula for odd indices we can use (3.9) to get

$$c(2n+1, 2k+1, q) = [2k+2]_q c(2n, 2k+2, q) + c(2n, 2k, q),$$

and then apply (5.28). ■

Some remarks about the above formula are in order.

- (a) Formula (5.22) has been obtained by different means by Josuat-Vergès [10, Proposition 12] and is also used in [5]. It is easy to see that (5.21) and (5.22) are equal by writing

$$\frac{[k+2j]}{[k+j]} = q^j + \frac{[j]}{[k+j]}.$$

- (b) When  $k = 0$ , we recover a formula of Touchard-Riordan (see [2, 9, 14]):

$$c(2n, 0, q) = \sum_{m \in M(2n, 0)} q^{\text{cr}(m)} = \frac{1}{(1-q)^n} \sum_{j=-n}^n \binom{2n}{n+j} (-1)^j q^{\binom{j}{2}}. \quad (5.29)$$

(c) Notice that  $H_{2n}(0, -1|q) = c(2n, 0, q)$  and  $H_{2n+1}(0, -1|q) = c(2n + 1, 0, q) = 0$ . Hence

$$\sum_{n \geq 0} c(n, 0, q)t^n = \frac{1}{1 - \frac{t^2}{1 - \frac{[2]_q t^2}{1 - \frac{[3]_q t^2}{1 - \dots}}}}.$$

We derive a known result (see [9]): the coefficient  $c(n, 0, q)$  coincides with the  $n$ -th moment of the continuous  $q$ -Hermite polynomials  $\tilde{H}(x, 1|q)$ , i.e.,

$$\mathcal{F}(z^n) = c(n, 0, q),$$

where  $\mathcal{F}$  is the linear functional acting on the polynomials in  $z$  defined by  $\mathcal{F}(\tilde{H}_n(z, 1|q)) = \delta_{n,0}$ .

As in [11] we can derive another double sum expression for  $H_n(x, s|q)$ . The proof is omitted.

**Proposition 16.** *We have*

$$H_n(x, s|q) = \sum_{k=0}^n (-1)^k q^{-\binom{k}{2}} \sum_{i=0}^k \left( \frac{s}{x(q-1)} q^{-i} + xq^i \right)^n \times \prod_{j=0, j \neq i}^k \frac{1}{q^{-i} - q^{-j} + x^2 \frac{q-1}{s} (q^i - q^j)}. \quad (5.30)$$

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