

q-Fibonacci polynomials and q-Catalan numbers

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The Fibonacci polynomials

$$F_n(x, s) = \sum_{k=0}^{n-1} \binom{n-1-k}{k} s^k x^{n-1-2k} \quad (1)$$

satisfy the recurrence

$$F_n(x, s) = xF_{n-1}(x, s) + sF_{n-2}(x, s) \quad (2)$$

with initial values $F_0(x, s) = 0$ and $F_1(x, s) = 1$.

These polynomials are intimately related to the Catalan numbers $C_n = \frac{1}{n+1} \binom{2n}{n}$: The

polynomials $F_{n+1}(x, -1), n \geq 0$, are a basis of the vector space of polynomials. If we define the linear functional L by

$$L(F_{n+1}(x, -1)) = [n = 0] \quad (3)$$

we get

$$L(x^{2n+1}) = 0 \text{ and}$$

$$L(x^{2n}) = C_n. \quad (4)$$

I don't know who has observed this well-known fact for the first time.

In this note I want to give an overview about some more or less known generalizations of this result.

1. The q-Fibonacci polynomials of Leonard Carlitz

A well-known q -analogue of the Fibonacci polynomials, which apparently has first been introduced by L. Carlitz [4] and has further been studied in [6] and [8], is

$$F_n(x, s, q) = \sum_{k=0}^{n-1} \begin{bmatrix} n-k-1 \\ k \end{bmatrix} q^{2\binom{k}{2}} s^k x^{n-2k-1}. \quad (5)$$

It satisfies the recurrence

$$\begin{aligned} F_n(x, s, q) &= xF_{n-1}(x, s, q) + q^{n-3} s F_{n-2}(x, s, q), \\ F_0(x, s, q) &= 0, F_1(x, s, q) = 1. \end{aligned} \quad (6)$$

The polynomials $F_{n+1}(x, -1, q), n \geq 0$, are a basis of the vector space $\mathbb{C}(q)[x]$ of all polynomials in x whose coefficients are rational functions in q . We can therefore define a linear functional L on $\mathbb{C}(q)[x]$ by

$$L(F_{n+1}(x, -1, q)) = [n = 0]. \quad (7)$$

We define coefficients $a(n, k)$ by

$$x^n = \sum_{k=0}^n a(n, k) F_{k+1}(x, -1, q). \quad (8)$$

From

$$\begin{aligned} \sum_k a(n, k) F_{k+1}(x, -1, q) &= x \cdot x^{n-1} = \sum_k a(n-1, k) (x F_{k+1}(x, -1, q)) \\ &= \sum_k a(n-1, k) (F_{k+2}(x, -1, q) + q^{k-1} F_k(x, -1, q)) \\ &= \sum_k F_{k+1}(x, -1, q) (a(n-1, k-1) + q^k a(n-1, k+1)) \end{aligned}$$

we get

$$\begin{aligned} a(0, k) &= [k = 0] \\ a(n, 0) &= a(n-1, 1) \\ a(n, k) &= a(n-1, k-1) + q^k a(n-1, k+1). \end{aligned} \quad (9)$$

From this we get $a(n, 0) = L(x^n)$.

If we set

$$\hat{F}_n(x) = q^{-\binom{n-1}{2}} F_n(x, -1, q), \quad (10)$$

then we have $x \hat{F}_n(x) = q^{n-1} \hat{F}_{n+1} + \hat{F}_{n-1}$.

Define now the numbers $a_{n,k} = L(x^n \hat{F}_{k+1})$.

They satisfy

$$\begin{aligned} a_{0,k} &= [k = 0] \\ a_{n,k} &= a_{n-1, k-1} + q^k a_{n-1, k+1} \end{aligned}$$

where $a_{n,k} = 0$ if $k < 0$. Therefore we have $a_{n,k} = a(n, k)$, in other words

$$a(n, k) = L(x^n \hat{F}_{k+1}). \quad (11)$$

These numbers have an obvious combinatorial interpretation: Consider all nonnegative lattice paths in \mathbb{R}^2 which start in $(0, 0)$ with upward steps $(1, 1)$ and downward steps $(1, -1)$. We associate to each upward step ending on the height k the weight 1 and to each downward step ending on the height k the weight q^k . The weight of the path is the product of the weights of all steps of the path.

Then $a(n, k)$ is the weight of all lattice paths from $(0, 0)$ to (n, k) .

It is clear that $a(2n+1,0) = 0$.

Let $a(2n,0) = C_n(q)$. Then we have

$$C_n(q) = \sum_{k=0}^{n-1} q^k C_k(q) C_{n-k-1}(q). \quad (12)$$

To obtain this recurrence decompose each lattice path from $(0,0)$ to $(2n,0)$ into the first path which returns to the x -axis and the rest path. The first path goes from $(0,0)$ to $(2k+2,0)$, $0 \leq k \leq n-1$, and consists of a rising segment followed by a path from $(0,0)$ to $(2k,0)$ (but one level higher) and a falling segment. The weight of each of the k downward steps on this higher level is q times the corresponding weight of the steps of the normal level.

This shows that $C_n(q)$ is a q -analogue of the Catalan numbers $C_n = \frac{1}{n+1} \binom{2n}{n}$ which satisfy the recurrence

$$C_n = \sum_{k=0}^{n-1} C_k C_{n-k-1}. \quad (13)$$

This q -analogue of the Catalan numbers has been introduced by Carlitz and Riordan [3].

It is well-known that in the classical case $q = 1$ all $a(n,k)$ are given by a closed formula.

More precisely we have

$$a(2n,2k) = \frac{2k+1}{n+k+1} \binom{2n}{n-k} \quad (14)$$

and

$$a(2n+1,2k+1) = \frac{2k+2}{n+k+2} \binom{2n+1}{n-k}. \quad (15)$$

This is equivalent with

$$a(n,n-2k) = \frac{n-2k+1}{n-k+1} \binom{n}{k} = \binom{n}{k} - \binom{n}{k-1}. \quad (16)$$

For $q \neq 1$ no such explicit formulas are known. For small values of k it is easy to compute $a(n,n-2k)$. In general this is a polynomial in $\mathbb{C}(q)[q^n]$ of order k . But there are no known formulae for $a(n,k)$. Probably these sequences and especially $(C_n(q))$ are not q -holonomic, but I don't know if this has already been proved.

If we write

$$F_{n+1}(x,-1,q) = \sum_{k=0}^n p(n,k) x^k, \quad (17)$$

then we have $p(2n,2k+1) = p(2n+1,2k) = 0$ and

$$p(2n,2k) = \begin{bmatrix} n+k \\ n-k \end{bmatrix} q^{2 \binom{n-k}{2}} (-1)^{n-k} \quad (18)$$

and

$$p(2n+1, 2k+1) = \begin{bmatrix} n+k+1 \\ n-k \end{bmatrix} q^{2\binom{n-k}{2}} (-1)^{n-k}. \quad (19)$$

From (8) we see that the matrix

$(a(i, j))_{i,j=0}^{n-1}$ is the inverse of $(p(i, j))_{i,j=0}^{n-1}$,

i.e.

$$(a(i, j))_{i,j=0}^{n-1} \cdot (p(j, k))_{i,j=0}^{n-1} = I_n. \quad (20)$$

There is also another connection with Fibonacci polynomials. Define the generating function

$$A_m(s) = \sum_{n \geq m} a(2n-m, m) s^n. \quad (21)$$

Then Carlitz and Riordan [3] have shown that

$$q^{\binom{m}{2}} A_m(s) = F_{m+1}(1, -s, q) A_0(s) - F_m(1, -qs, q). \quad (22)$$

This can easily be proved by induction.

First we observe that from

$$\begin{aligned} a(2n-m, m) &= a(2n-m-1, m-1) + q^m a(2n-m-1, m+1) \\ &= a(2(n-1)-(m-1), m-1) + q^m a(2n-(m+1), m+1) \end{aligned}$$

we get

$$A_m(s) = sA_{m-1}(s) + q^m A_{m+1}(s)$$

or

$$q^{m-1} A_m(s) = A_{m-1}(s) - sA_{m-2}(s). \quad (23)$$

For small values of m formula (22) is obviously true.

Then we get

$$\begin{aligned} q^{\binom{m+1}{2}} A_{m+1}(s) &= q^{\binom{m}{2}} (A_m(s) - sA_{m-1}(s)) = q^{\binom{m}{2}} A_m(s) - q^{m-1} s q^{\binom{m-1}{2}} A_{m-1}(s) \\ &= F_{m+1}(1, -s, q) A_0(s) - F_m(1, -qs, q) - q^{m-1} s (F_m(1, -s, q) A_0(s) - F_{m-1}(1, -qs, q)) \\ &= (F_{m+1}(1, -s, q) - q^{m-1} s F_m(1, -s, q)) A_0(s) - (F_m(1, -qs, q) - q^{m-1} s F_{m-1}(1, -qs, q)) \\ &= F_{m+2}(1, -s, q) A_0(s) - F_{m+1}(1, -qs, q). \end{aligned}$$

Formula (22) can be stated in the following form

$$\sum_{n=0}^{m-1} (-1)^n q^{n^2} \begin{bmatrix} m-1-n \\ n \end{bmatrix} s^n + \sum_{n \geq m} q^{\binom{m}{2}} a(2n-m, m) s^n = \sum_{k=0}^n s^n \sum_{k=0}^n (-1)^k q^{k^2-k} \begin{bmatrix} m-k \\ k \end{bmatrix} C_{n-k}(q).$$

Comparing coefficients we get for $n = m$

$$\sum_{k=0}^n (-1)^k q^{k^2-k} \begin{bmatrix} n-k \\ k \end{bmatrix} C_{n-k}(q) = q^{\binom{n}{2}}. \quad (24)$$

This is also an immediate consequence of (11) if we interpret the left hand side as $L(x^n F_{n+1}(x, -1))$.

Remark

Carlitz [4] has shown formula (8) in the equivalent form $x^n = \sum_{k=0}^{\lfloor \frac{n}{2} \rfloor} (-1)^k q^k c_{n,k} F_{n-2k+1}(x, q)$ for some q -polynomials $c_{n,k}$. It is easy to verify that $c_{n,k} = a(n, n-2k)$. He computed the first values but apparently did not notice that $c_{2n,n} = a(2n, 0) = C_n(q)$, although some ten years earlier he and Riordan [3] already had studied $C_n(q)$.

2. The q -Catalan numbers of George Andrews

George Andrews [1] has found a q -analogue of the Catalan numbers where the $a(n, k)$ have a closed formula and where there exists a q -analogue of the recursion (13) too.

There exist corresponding q -Fibonacci polynomials (cf.[6]).

Let

$$t(n) = \frac{4q^{n+2}}{(1+q^{n+1})(1+q^{n+2})}. \quad (25)$$

Define polynomials by the recurrence

$$\begin{aligned} f_n(x, s) &= x f_{n-1}(x, s) - t(n-3) s f_{n-2}(x, s), \\ f_0(x, s) &= 0, f_1(x, s) = 1. \end{aligned} \quad (26)$$

It can easily be verified that

$$f_n(x, s) = \sum_{k=0}^{n-1} \begin{bmatrix} n-1-k \\ k \end{bmatrix} x^{n-1-2k} (-1)^k \frac{4^k q^{k^2+k} s^k}{\prod_{j=1}^k (1+q^j)(1+q^{n-k+j-1})}. \quad (27)$$

We also need the polynomials $\tilde{f}_n(x, s)$ which satisfy

$$\begin{aligned}\tilde{f}_n(x, s) &= x\tilde{f}_{n-1}(x, s) - t(n-2)s\tilde{f}_{n-2}(x, s), \\ \tilde{f}_0(x, s) &= 0, \tilde{f}_1(x, s) = 1.\end{aligned}\tag{28}$$

They are given by

$$\tilde{f}_n(x, s) = \sum_{k=0}^{n-1} \begin{bmatrix} n-1-k \\ k \end{bmatrix} x^{n-1-2k} (-1)^k \frac{4^k q^{k^2+2k} s^k}{\prod_{j=1}^k (1+q^{j+1})(1+q^{n-k+j})}.\tag{29}$$

Then the polynomials $f_{n+1}(x, 1), n \geq 0$, are a basis of the vector space $\mathbb{C}(q)[x]$ of all polynomials in x whose coefficients are rational functions in q . We can therefore define a linear functional L on $\mathbb{C}(q)[x]$ by

$$L(f_{n+1}(x, 1)) = [n = 0].\tag{30}$$

Now we define coefficients $a(n, k)$ by

$$x^n = \sum_{k=0}^n a(n, k) f_{k+1}(x, 1).\tag{31}$$

From

$$\begin{aligned}\sum_k a(n, k) f_{k+1}(x, 1) &= x \cdot x^{n-1} = \sum_k a(n-1, k) (x f_{k+1}(x, 1)) \\ &= \sum_k a(n-1, k) (f_{k+2}(x, 1) + t(k-1) f_k(x, 1)) \\ &= \sum_k f_{k+1}(x, 1) (a(n-1, k-1) + t(k) a(n-1, k+1))\end{aligned}$$

we get

$$\begin{aligned}a(0, k) &= [k = 0] \\ a(n, 0) &= t(0) a(n-1, 1) \\ a(n, k) &= a(n-1, k-1) + t(k) a(n-1, k+1).\end{aligned}\tag{32}$$

From this we get $a(n, 0) = L(x^n)$.

$$\text{Let } \hat{f}_n(x) = \frac{f_n(x, 1)}{t(0)t(1)\cdots t(n-2)}.$$

Then we have

$$x\hat{f}_n(x) = t(n-1)\hat{f}_{n+1} + \hat{f}_{n-1}.$$

Define now the numbers $a_{n,k} = L(x^n \hat{f}_{k+1}(x))$.

They satisfy

$$\begin{aligned} a_{0,k} &= [k=0] \\ a_{n,k} &= a_{n-1,k-1} + t(k)a_{n-1,k+1} \end{aligned}$$

where $a_{n,k} = 0$ if $k < 0$.

Therefore we get

$$a(n,k) = L(x^n \hat{f}_{k+1}(x)). \quad (33)$$

From (32) it can easily be verified that

$$\begin{aligned} a(2n, 2k) &= \frac{[2k+1]}{[n+k+1]} \left[\begin{matrix} 2n \\ n-k \end{matrix} \right] \frac{1+q^{2k+1}}{1+q^{n+k+1}} \frac{(2q)^{2n-2k}}{\prod_{j=1}^{n-k} (1+q^j)(1+q^{2k+j})} \\ &= \frac{[2k+1]_{q^2}}{[n+k+1]_{q^2}} \left[\begin{matrix} 2n \\ n-k \end{matrix} \right]_{q^2} \frac{4^{n-k} (q^2)^{n-k}}{\prod_{j=2k+1}^{2n} (1+q^j)}. \end{aligned} \quad (34)$$

and

$$\begin{aligned} a(2n+1, 2k+1) &= \frac{[2k+2]}{[n+k+2]} \left[\begin{matrix} 2n+1 \\ n-k \end{matrix} \right] \frac{1+q^{2k+2}}{1+q^{n+k+2}} \frac{(2q)^{2n-2k}}{\prod_{j=1}^{n-k} (1+q^j)(1+q^{2k+j+1})} \\ &= \frac{[2k+2]_{q^2}}{[n+k+2]_{q^2}} \left[\begin{matrix} 2n+1 \\ n-k \end{matrix} \right]_{q^2} \frac{4^{n-k} (q^2)^{n-k}}{\prod_{j=2k+2}^{2n+1} (1+q^j)} \end{aligned} \quad (35)$$

If we write

$$f_{n+1}(x, 1) = \sum_{k=0}^n p(n, k) x^k, \quad (36)$$

then we have $p(2n, 2k+1) = p(2n+1, 2k) = 0$ and

$$p(2n, 2k) = \left[\begin{matrix} n+k \\ n-k \end{matrix} \right] (-1)^{n-k} \frac{4^{n-k} q^{2 \binom{n-k+1}{2}}}{\prod_{j=1}^{n-k} (1+q^j)(1+q^{k+j+n})} = (-1)^{n-k} \left[\begin{matrix} n+k \\ n-k \end{matrix} \right]_{q^2} \frac{4^{n-k} q^{2 \binom{n-k+1}{2}}}{\prod_{j=2k+1}^{2n} (1+q^j)} \quad (37)$$

and

$$p(2n+1, 2k+1) = \left[\begin{matrix} n+k+1 \\ n-k \end{matrix} \right] (-1)^{n-k} \frac{4^{n-k} q^{2 \binom{n-k+1}{2}}}{\prod_{j=1}^{n-k} (1+q^j)(1+q^{k+j+n+1})}. \quad (38)$$

From (31) we have again that $(a(i, j))_{i, j=0}^{n-1}$ is the inverse of $(p(i, j))_{i, j=0}^{n-1}$.

Therefore we know that

$$\sum_{j=0}^n a(2n, 2k) p(2k, 2m) = [n = m]. \quad (39)$$

This means

$$\sum_{k=m}^n \frac{[2k+1]_{q^2}}{[n+k+1]_{q^2}} \begin{bmatrix} 2n \\ n-k \end{bmatrix}_{q^2} \frac{4^{n-k} (q^2)^{n-k}}{\prod_{j=2k+1}^{2n} (1+q^j)} (-1)^{k-m} \begin{bmatrix} k+m \\ k-m \end{bmatrix}_{q^2} \frac{4^{k-m} q^{2\binom{k-m+1}{2}}}{\prod_{j=2m+1}^{2k} (1+q^j)} = [n = m]$$

or

$$\sum_{\ell=0}^{n-m} \frac{[2\ell+2m+1]_{q^2}}{[n+\ell+m+1]_{q^2}} \begin{bmatrix} 2n \\ n-\ell-m \end{bmatrix}_{q^2} (q^2)^{n-\ell-m} q^{2\binom{\ell+1}{2}} (-1)^\ell \begin{bmatrix} \ell+2m \\ \ell \end{bmatrix}_{q^2} = [n-m=0].$$

Replacing n by $n+m$ we get

$$\sum_{\ell=0}^n \frac{[2\ell+2m+1]_{q^2}}{[n+\ell+2m+1]_{q^2}} \begin{bmatrix} 2n+2m \\ n-\ell \end{bmatrix}_{q^2} (q^2)^{n-\ell} q^{2\binom{\ell+1}{2}} (-1)^\ell \begin{bmatrix} \ell+2m \\ \ell \end{bmatrix}_{q^2} = [n=0]$$

If we let $q^2 \rightarrow q$ we get the equivalent formula

$$\sum_{\ell=0}^n \frac{[2\ell+2m+1]}{[n+\ell+2m+1]} \begin{bmatrix} 2n+2m \\ n-\ell \end{bmatrix} q^{n-\ell} q^{\binom{\ell+1}{2}} (-1)^\ell \begin{bmatrix} \ell+2m \\ \ell \end{bmatrix} = [n=0]$$

or

$$q^n \frac{[2n+2m]!}{[n]![2m]!} \sum_{\ell=0}^n \begin{bmatrix} n \\ \ell \end{bmatrix} \frac{[2\ell+2m+1]}{[n+\ell+2m+1]} (-1)^\ell q^{\binom{\ell}{2}} [\ell+2m]! = [n=0].$$

Therefore (39) is equivalent with

$$\sum_{\ell=0}^n (-1)^\ell q^{\binom{\ell}{2}} \begin{bmatrix} n \\ \ell \end{bmatrix} (1 - q^{2\ell+2m+1}) \frac{[\ell+2m]!}{[n+\ell+2m+1]!} = [n=0]. \quad (40)$$

Let now

$$c_n(q) = a(2n, 0) = \frac{1}{[n+1]} \begin{bmatrix} 2n \\ n \end{bmatrix} \frac{1+q}{1+q^{n+1}} \frac{(2q)^{2n}}{\prod_{j=1}^n (1+q^j)^2} = \frac{1}{[n+1]_{q^2}} \begin{bmatrix} 2n \\ n \end{bmatrix}_{q^2} \frac{(2q)^{2n}}{\prod_{j=1}^{2n} (1+q^j)}. \quad (41)$$

This can also be written in the form

$$c_n(q) = (-1)^n q^{n^2} (2q)^{2n} (1+q) \begin{bmatrix} \frac{1}{2} \\ n+1 \end{bmatrix}_{q^2}. \quad (42)$$

The q -Vandermonde formula gives

$$\sum_{k=0}^n q^k q^{k^2-k} \begin{bmatrix} \frac{1}{2} \\ k \end{bmatrix}_{q^2} q^{(n-k)^2-(n-k)} \begin{bmatrix} \frac{1}{2} \\ n-k \end{bmatrix}_{q^2} = q^{n^2-n} \begin{bmatrix} \frac{1}{2} \\ n \end{bmatrix}_{q^2}.$$

Defining

$$h(z) = \sum_k q^{n^2-n} \begin{bmatrix} \frac{1}{2} \\ n \end{bmatrix}_{q^2} z^n, \quad (43)$$

we conclude that

$$h(z)h(qz) = 1 + z. \quad (44)$$

Therefore the generating function $f(z) = \sum_n c_n(q)z^n$ satisfies

$$f(z) = -\frac{1+q}{4qz} \sum_{n \geq 0} q^{(n+1)^2-(n+1)} \begin{bmatrix} \frac{1}{2} \\ n+1 \end{bmatrix} (-4qz)^{n+1} = \frac{1+q}{4qz} (1-h(-4qz)). \quad (45)$$

For $q=1$ this reduces to the well-known formula $\sum_{n \geq 0} C_n z^n = \frac{1-\sqrt{1-4z}}{2z}$.

From

$$\left(1 - \frac{4qz}{1+q} f(z)\right) \left(1 - \frac{4q^2 z}{1+q} f(qz)\right) = 1 - 4qz$$

we get

$$\frac{f(z) + f(qz)}{1+q} = 1 + \frac{4q^2}{(1+q)^2} z f(z) f(qz). \quad (46)$$

Comparing coefficients we obtain the recurrence relation

$$c_n(q) = \frac{4q^2}{(1+q)(1+q^{n+1})} \sum_{k=0}^{n-1} q^k c_k(q) c_{n-k-1}(q) \quad (47)$$

with initial value $c_0(q) = 1$.

Define now the generating function

$$A_m(s) = \sum_{n \geq m} a(2n - m, m) s^n.$$

Then we get as above

$$\begin{aligned} a(2n - m, m) &= a(2n - m - 1, m - 1) + t(m)a(2n - m - 1, m + 1) \\ &= a(2(n - 1) - (m - 1), m - 1) + t(m)a(2n - (m + 1), m + 1) \end{aligned}$$

and therefore

$$A_m(s) = sA_{m-1}(s) + t(m)A_{m+1}(s)$$

or

$$t(m-1)A_m(s) = A_{m-1}(s) - sA_{m-2}(s). \quad (48)$$

This implies

$$t(0)t(1)\cdots t(m-1)A_m(s) = f_{m+1}(1, s)A_0(s) - \tilde{f}_m(1, s). \quad (49)$$

This relation obviously holds for small m . In general we have

$$\begin{aligned} t(0)t(1)\cdots t(m-1)t(m)A_{m+1}(s) &= t(0)t(1)\cdots t(m-1)(A_m(s) - sA_{m-1}(s)) \\ &= f_{m+1}(1, s)A_0(s) - \tilde{f}_m(1, s) - t(m-1)s(f_m(1, s)A_0(s) - \tilde{f}_{m-1}(1, s)) \\ &= (f_{m+1}(1, s) - t(m-1)sf_m(1, s))A_0(s) - (\tilde{f}_m(1, s) - t(m-1)s\tilde{f}_{m-1}(1, s)) = f_{m+2}(1, s)A_0(s) - \tilde{f}_{m+1}(1, s). \end{aligned}$$

Comparing the coefficient of s^m we get

$$\sum_{k=0}^n (-1)^k \begin{bmatrix} n-k \\ k \end{bmatrix} \frac{4^k q^{k^2+k}}{\prod_{j=1}^k (1+q^j)(1+q^{n-k+j})} c_{n-k}(q) = \prod_{j=0}^{n-1} t(j). \quad (50)$$

This is also a consequence of (33).

3. Another q -analogue of the Fibonacci polynomials

Finally we consider the q -analogue $Fib_n(x, s) = \sum_{k=0}^{n-1} q^{\binom{k}{2}} \begin{bmatrix} n-k-1 \\ k \end{bmatrix} s^k x^{n-2k-1}$ which has been studied in [7] and [9].

If we set

$$Fib_n(x, -1) = \sum_{k=0}^n p(n, k) x^k,$$

then we have $p(2n, 2k+1) = p(2n+1, 2k) = 0$ and

$$p(2n, 2k) = \begin{bmatrix} n+k \\ n-k \end{bmatrix} q^{\binom{n-k}{2}} (-1)^{n-k} \quad (51)$$

and

$$p(2n+1, 2k+1) = \begin{bmatrix} n+k+1 \\ n-k \end{bmatrix} q^{\binom{n-k}{2}} (-1)^{n-k}. \quad (52)$$

Let now L be the linear functional defined by

$$L(\text{Fib}_{n+1}(x, -1)) = [n = 0]. \quad (53)$$

Let

$$x^n = \sum_{k=0}^n a(n, k) \text{Fib}_{k+1}(x, -1). \quad (54)$$

Then we get

$$a(2n, 2k) = \frac{[2k+1]}{[n+k+1]} \begin{bmatrix} 2n \\ n-k \end{bmatrix} \quad (55)$$

and

$$a(2n+1, 2k+1) = \frac{[2k+2]}{[n+k+2]} \begin{bmatrix} 2n+1 \\ n-k \end{bmatrix}. \quad (56)$$

As a special case we obtain

$$L(x^{2n}) = \frac{1}{[n+1]} \begin{bmatrix} 2n \\ n \end{bmatrix}. \quad (57)$$

To prove this we observe that (54) implies that

$$(a(i, j))_{i,j=0}^{n-1} \text{ is the inverse of } (p(i, j))_{i,j=0}^{n-1}.$$

In order to show (55) it thus suffices to show that

$$\sum_{k=m}^n \frac{[2k+1]}{[n+k+1]} \begin{bmatrix} 2n \\ n-k \end{bmatrix} \begin{bmatrix} k+m \\ k-m \end{bmatrix} q^{\binom{k-m}{2}} (-1)^{k-m} = [m = n]$$

or

$$\sum_{\ell=0}^n \frac{[2\ell+2m+1]}{[n+\ell+2m+1]} \begin{bmatrix} 2n+2m \\ n-\ell \end{bmatrix} q^{\binom{\ell}{2}} (-1)^\ell \begin{bmatrix} \ell+2m \\ \ell \end{bmatrix} = [n = 0].$$

But this is equivalent with (40).

The same argument proves (56).

$$\sum_{k=m}^n \frac{[2k+1]}{[n+k+1]} \begin{bmatrix} 2n \\ n-k \end{bmatrix} \begin{bmatrix} k+m \\ k-m \end{bmatrix} q^{\binom{k-m}{2}} (-1)^{k-m} = [m=n]$$

$$\sum_{k=m}^n \frac{[2k+2]}{[n+k+2]} \begin{bmatrix} 2n+1 \\ n-k \end{bmatrix} \begin{bmatrix} k+m+1 \\ k-m \end{bmatrix} q^{\binom{k-m}{2}} (-1)^{k-m} = [m=n].$$

It is easy to verify that (54), (55) and (56) are equivalent with

$$\sum_{k=0}^{\lfloor \frac{n}{2} \rfloor} \left(\begin{bmatrix} n \\ k \end{bmatrix} - \begin{bmatrix} n \\ k-1 \end{bmatrix} \right) \frac{1}{q^k} \text{Fib}_{n+1-2k}(x, -1) = x^n. \quad (58)$$

This identity follows also from an inversion formula by L. Carlitz [2]. He uses the fact that

$$d(n, k) = \sum_{j=0}^k (-1)^j q^{\binom{j+1}{2}} \begin{bmatrix} k \\ j \end{bmatrix} \begin{bmatrix} n-j \\ k \end{bmatrix} = 1 \quad (59)$$

for $0 \leq k \leq n$.

This is an immediate consequence from the recursion $\begin{bmatrix} n \\ k \end{bmatrix} - q^k \begin{bmatrix} n-1 \\ k \end{bmatrix} = \begin{bmatrix} n-1 \\ k-1 \end{bmatrix}$, if we write it

in the operator form

$$(1 - q^k U) \begin{bmatrix} n \\ k \end{bmatrix} = \begin{bmatrix} n-1 \\ k-1 \end{bmatrix} \text{ where } U \begin{bmatrix} n \\ k \end{bmatrix} = \begin{bmatrix} n-1 \\ k \end{bmatrix}.$$

If we iterate this we get

$$(1 - qU) \cdots (1 - q^k U) = \begin{bmatrix} n-k \\ 0 \end{bmatrix} = 1.$$

Since the left hand side can be written in the form $\sum_{j=0}^k (-1)^j q^{\binom{j+1}{2}} \begin{bmatrix} k \\ j \end{bmatrix} U^j$ we get (59).

His inversion formula reads as follows:

Let

$$u_n = \sum_{2k \leq n} \left(\begin{bmatrix} n \\ k \end{bmatrix} - \begin{bmatrix} n \\ k-1 \end{bmatrix} \right) v_{n-2k}, \quad (60)$$

then

$$v_n = \sum_{2k \leq n} (-1)^k q^{\binom{k+1}{2}} \begin{bmatrix} n-k \\ k \end{bmatrix} u_{n-2k}. \quad (61)$$

To prove this observe that

$$\begin{aligned}
& \sum_{2k \leq n} (-1)^k q^{\binom{k+1}{2}} \begin{bmatrix} n-k \\ k \end{bmatrix} \sum_{2j \leq n-2k} \left(\begin{bmatrix} n-2k \\ j \end{bmatrix} - \begin{bmatrix} n-2k \\ j-1 \end{bmatrix} \right) v_{n-2k-2j} \\
&= \sum_{2m \leq n} v_{n-2m} \sum_{k=0}^m (-1)^k q^{\binom{k+1}{2}} \begin{bmatrix} n-k \\ k \end{bmatrix} \left(\begin{bmatrix} n-2k \\ m-k \end{bmatrix} - \begin{bmatrix} n-2k \\ m-k-1 \end{bmatrix} \right) = v_n.
\end{aligned} \tag{62}$$

Now for $0 < 2m \leq n$

$$\begin{aligned}
& \sum_{j=0}^m (-1)^j q^{\binom{j+1}{2}} \begin{bmatrix} n-j \\ j \end{bmatrix} \begin{bmatrix} n-2j \\ m-j \end{bmatrix} = \sum_{j=0}^m (-1)^j q^{\binom{j+1}{2}} \frac{[n-j]![m]!}{[j]![m-j]![n-m-j]![m]!} \\
&= \sum_{j=0}^m (-1)^j q^{\binom{j+1}{2}} \begin{bmatrix} m \\ j \end{bmatrix} \begin{bmatrix} n-j \\ m \end{bmatrix} = 1
\end{aligned}$$

and

$$\begin{aligned}
& \sum_{j=0}^m (-1)^j q^{\binom{j+1}{2}} \begin{bmatrix} n-j \\ j \end{bmatrix} \begin{bmatrix} n-2j \\ m-j-1 \end{bmatrix} = \sum_{j=0}^m (-1)^j q^{\binom{j+1}{2}} \frac{[n-j]![m-1]!}{[j]![m-j-1]![n-m-j+1]![m-1]!} \\
&= \sum_{j=0}^m (-1)^j q^{\binom{j+1}{2}} \begin{bmatrix} m-1 \\ j \end{bmatrix} \begin{bmatrix} n-j \\ m-1 \end{bmatrix} = 1.
\end{aligned}$$

Therefore all sums $\sum_{k=0}^m (-1)^k q^{\binom{k+1}{2}} \begin{bmatrix} n-k \\ k \end{bmatrix} \left(\begin{bmatrix} n-2k \\ m-k \end{bmatrix} - \begin{bmatrix} n-2k \\ m-k-1 \end{bmatrix} \right)$ with $m > 0$ vanish and (62) is proved.

If we choose $u_n = x^n$, then $v_n = \sum_{2k \leq n} (-1)^k q^{\binom{k+1}{2}} \begin{bmatrix} n-k \\ k \end{bmatrix} x^{n-2k} = \text{Fib}_{n+1}(x, q)$ and we get

$$\sum_{2k \leq n} \left(\begin{bmatrix} n \\ k \end{bmatrix} - \begin{bmatrix} n \\ k-1 \end{bmatrix} \right) \text{Fib}_{n+1-2k}(x, -q) = x^n.$$

By comparing coefficients we see that for all s

$$\sum_{2k \leq n} \left(\begin{bmatrix} n \\ k \end{bmatrix} - \begin{bmatrix} n \\ k-1 \end{bmatrix} \right) \text{Fib}_{n+1-2k}(x, -s) \frac{s^k}{q^k} = x^n.$$

This proves (58).

Remark

In the case of the q -Catalan numbers of Carlitz and Andrews the corresponding q -Fibonacci polynomials are orthogonal with respect to the linear functional L . This follows immediately from (11) and (33).

For in the first case we get

$$L(F_{n+1}(x, -1, q)F_{m+1}(x, -1, q)) = L\left(\sum_{k=0}^n p(n, k)q^{\binom{m}{2}}x^k \hat{F}_{m+1}(x)\right) = q^{\binom{m}{2}}\sum_{k=0}^n a(m, k)p(n, k) = q^{\binom{m}{2}}[m = n]$$

and in the second one

$$L(f_{n+1}(x, 1)f_{m+1}(x, 1)) = L\left(\prod_{i=0}^{m-1} t(i)\sum_{k=0}^n p(n, k)x^k \hat{f}_{m+1}(x)\right) = \prod_{i=0}^{m-1} t(i)\sum_{k=0}^n a(m, k)p(n, k) = \prod_{i=0}^{m-1} t(i)[m = n].$$

With respect to the functional L defined in (53) the polynomials $Fib_n(x, -1)$ are not orthogonal, since e.g. $L(Fib_2(x, -1)Fib_4(x, -1)) = L(xFib_4(x, -1)) = (q-1)q \neq 0$.

More generally we have $L(xFib_{2n}(x, -1)) = (q-1)q^{n^2-n-1}$ for $n \geq 2$.

This follows from the recurrence

$$Fib_n(x, -1) = xFib_{n-1}(x, -1) - q^{n-3}xFib_{n-3}(x, -1) + q^{n-4}Fib_{n-4}(x, -1),$$

which has been proved in [9].

For this implies

$$L(xFib_{2n+2}(x, 1)) = q^{2n}L(xFib_{2n}(x, 1))$$

for $n \geq 2$.

There are many other q -analogues of the Catalan numbers (cf. [10]).

A simple class are the Pólya-Gessel q -Catalan numbers $c(n, q, s)$ defined by

$$c(n, q, s) = c(n-1, q, s) + s\sum_{k=0}^{n-2} q^k c(k, q, s)c(n-1-k, q, s)$$

with initial value $c(0, q, s) = 1$.

For these numbers we define Fibonacci-like polynomials $\varphi(n, x, s)$ by

$$\varphi(n, x, s) = x\varphi(n-1, x, s) - t(n-3)\varphi(n-2, x, s) \text{ with initial values } \varphi(0, x, s) = 0 \text{ and } \varphi(1, x, s) = 1. \text{ Here } t(n) \text{ is defined by } t(2n) = q^n, t(2n+1) = q^n s.$$

As is shown in [5] the orthogonal polynomials $\Phi_n(x, s)$ with respect to the linear functional

L defined by the moments $L(x^n) = c(n, q, s)$ are

$$\Phi_n(x, s) = \varphi(2n+1, \sqrt{x}, s) = \sum_{k=0}^n (-1)^{n-k} q^{\binom{n-k}{2}} x^k \sum_{j=0}^{n-k} \begin{bmatrix} n-j \\ k \end{bmatrix} \begin{bmatrix} k+j-1 \\ j \end{bmatrix} s^j.$$

For $q=1$ and $s=1$ this reduces to $\sum_{k=0}^n (-1)^{n-k} \binom{n+k}{n-k} x^k$.

For other q -analogues I don't know if there are analogues of the Fibonacci polynomials which are in the same relation as in the above cases.

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