

# Remarks on some sequences of binomial sums

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## Abstract

We give simple proofs for the recurrence relations of some sequences of binomial sums which have previously been obtained by other more complicated methods.

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## 1. Introduction

Modifying an idea of E. Brietzke [2] we give simple proofs for the recurrence relations of

sequences of binomial sums of the form  $a(n, m, k, z) = \sum_{j \in \mathbb{Z}} z^j \binom{n}{\lfloor \frac{n-mj+k}{2} \rfloor}$ , which have been

obtained by other methods in [3].

In order to motivate the method we consider first the well-known special case

$$a(n, 5, k, -1) = \sum_{j \in \mathbb{Z}} (-1)^j \binom{n}{\lfloor \frac{n-5j+k}{2} \rfloor} = (-1)^k \sum_j t(n, k-5j),$$

with  $t(n, k) = (-1)^k \binom{n}{\lfloor \frac{n+k}{2} \rfloor}$ .

We use the fact that  $t(n, k) = -t(n-1, k-1) - t(n-1, k+1)$  with  $t(0, 0) = 1, t(0, 1) = -1$  and  $t(0, k) = 0$  for all other  $k \in \mathbb{Z}$ .

Define the operator  $K$  by  $Kf(n, k) = f(n, k-1)$  and the operator  $N$  by  $Nf(n, k) = f(n+1, k)$ . Then

$$t(n) = Nt(n-1) = -(K + K^{-1})t(n-1) = (-1)^n (K + K^{-1})^n t(0).$$

Let  $s(n, k)$  on  $\mathbb{N} \times \mathbb{Z}$  be the function which satisfies the same recurrence with initial values  $s(0, k) = [k=0]$ . Then we have  $t(0) = (1-K)s(0)$ . Since  $K$  is a linear operator we also have  $t(n) = (1-K)s(n)$ .

Let  $\mathcal{F}$  be the vector space of all functions on  $\mathbb{N} \times \mathbb{Z}$  which are finite linear combinations of functions  $K^j s, j \in \mathbb{Z}$ . For  $f \in \mathcal{F}$  we have  $Nf = -(K + K^{-1})f$ .

Let  $T$  be the linear operator on  $\mathcal{F}$  defined by

$$Tf = N^2 f - Nf - f = (K + K^{-1})^2 f + (K + K^{-1})f - f = (K^{-2} + K^{-1} + 1 + K + K^2)f.$$

Then  $\sum_{j \in \mathbb{Z}} K^{5j} T K^i s(0) = \sum_{j \in \mathbb{Z}} K^j s(0) = 1$  for all  $i \in \mathbb{Z}$  since  $KT = TK$ .

Furthermore

$$\sum_{j \in \mathbb{Z}} K^{5j} T t(n) = \sum_{j \in \mathbb{Z}} K^{5j} T (-1)^n (K + K^{-1})^n (1 - K) s(0) = (-1)^n (K + K^{-1})^n (1 - K) \sum_{j \in \mathbb{Z}} K^{5j} T s(0) = 0.$$

Since  $a(n, 5, k, -1) = (-1)^k \sum_j t(n, k - 5j)$  is a finite sum for each  $k$ , the sequence

$(a(n, 5, k, -1))$  satisfies the recurrence  $a(n + 2, 5, k, -1) - a(n + 1, 5, k, -1) - a(n, 5, k, -1) = 0$  for  $n \geq 0$ .

Since the Fibonacci numbers  $F_n$  satisfy the same recurrence with initial values  $F_0 = 0$  and  $F_1 = 1$ , we get the following results (cf. G.E. Andrews [1]):

### Proposition 1

For  $k \equiv 0, 1 \pmod{10}$  the initial conditions are  $a(0, 5, k) = a(1, 5, k) = 1$  and therefore  $a(n, 5, k) = F_{n+1}$ .

For  $k \equiv 2, 9 \pmod{10}$  we have  $a(0, 5, k) = 0, a(1, 5, k) = 1$  and therefore  $a(n, 5, k) = F_n$ .

For  $k \equiv 3, 8 \pmod{10}$  we get  $a(0, 5, k) = a(1, 5, k) = 0$  and therefore  $a(n, 5, k) = 0$ . Furthermore  $a(n, 5, k + 5) = -a(n, 5, k)$ .

It is interesting to observe that this result has first been proved by I. Schur [6] in a

strengthened version: Let  $\begin{bmatrix} n \\ k \end{bmatrix} = \frac{(1 - q^{n-k+1}) \cdots (1 - q^n)}{(1 - q) \cdots (1 - q^k)}$  be a  $q$ -binomial coefficient. Then the

following polynomial version of the celebrated Rogers-Ramanujan identity

$$\sum_{k=0}^n q^{k^2} \begin{bmatrix} n-k \\ k \end{bmatrix} = \sum_{k \in \mathbb{Z}} (-1)^k q^{\frac{k(5k-1)}{2}} \begin{bmatrix} n \\ \left\lfloor \frac{n+5k}{2} \right\rfloor \end{bmatrix}$$

holds, which for  $q = 1$  reduces to

$$\sum_{k=0}^n \binom{n-k}{k} = F_{n+1} = \sum_{j \in \mathbb{Z}} (-1)^j \begin{bmatrix} n \\ \left\lfloor \frac{n+5j}{2} \right\rfloor \end{bmatrix}.$$

An elementary proof of this  $q$ -identity may be found in [5].

## 2. A useful method

After this example let us consider a more general case.

For  $a, b \in \mathbb{R}$  let  $s_{a,b}$  be the function on  $\mathbb{N} \times \mathbb{Z}$  defined by  $s_{a,b}(0, k) = [k = 0]$  and the recurrence relation

$$s_{a,b}(n, k) = a s_{a,b}(n-1, k-1) + b s_{a,b}(n-1, k) + a s_{a,b}(n-1, k+1). \quad (1)$$

This can be written in the form

$$s_{a,b}(n) = (aK^{-1} + b + aK)s_{a,b}(n-1) = (aK^{-1} + b + aK)^n s_{a,b}(0).$$

Let  $\mathcal{F}$  be the vector space of all functions on  $\mathbb{N}$  which are finite linear combinations of functions  $K^j s_{a,b}, j \in \mathbb{Z}$ .

For any polynomial  $p(x) = \sum_{i=0}^m a_i x^i$  we denote by  $p(N)$  the linear operator on  $\mathcal{F}$  defined by

$$p(N)f(n) = \sum_{i=0}^m a_i f(n+i). \text{ Then we have } p(N) = p(aK^{-1} + b + aK).$$

We are looking for an operator  $p(N)$  with analogous properties as  $T$  had in the above example.

To this end we define a sequence of polynomials  $p_n(x, a, b) = \sum_{k=0}^n p_{n,k}(a, b)x^k$  by the recurrence

$$p_n(x, a, b) = (x-b)p_{n-1}(x, a, b) - a^2 p_{n-2}(x, a, b) \quad (2)$$

with initial values  $p_0(x, a, b) = 1$  and  $p_1(x, a, b) = x + a - b$ .

### Lemma 1

For all  $k \in \mathbb{Z}$  the following identity holds

$$p_m(N, a, b)s_{a,b}(0, k) = \sum_{i=0}^m p_{m,i}(a, b)s_{a,b}(i, k) = a^m \left[ |k| \leq m \right]. \quad (3)$$

### Proof

It suffices to show that on  $\mathcal{F}$

$$p_m(N, a, b) = a^m \sum_{j=-m}^m K^j. \quad (4)$$

It is immediately verified that (4) is true for  $m = 0$  and  $m = 1$ , since

$$(N + a - b) = (aK + a + aK^{-1}).$$

If has already been shown for  $m-1$  and  $m-2$  we get

$$\begin{aligned} p_m(N, a, b) &= (N-b)p_{m-1}(N, a, b) - a^2 p_{m-2}(N, a, b) \\ &= a(K + K^{-1})a^{m-1} \sum_{j=-m+1}^{m-1} K^j - a^2 a^{m-2} \sum_{j=-m+2}^{m-2} K^j = a^m \sum_{j=-m}^m K^j. \end{aligned}$$

From (3) we get

$$\sum_{i=0}^m p_{m,i}(a, b) \sum_{j \in \mathbb{Z}} s_{a,b}(i, k - (2m+1)j) = a^m \quad (5)$$

for each  $k \in \mathbb{Z}$ .

### Application

As an application we consider for each  $m \in \mathbb{N}$  the sequence

$$a(n, 2m+1, k, -1) = \sum_{j \in \mathbb{Z}} (-1)^j \binom{n}{\frac{n - (2m+1)j + k}{2}} = (-1)^k \sum_j t(n, k - (2m+1)j).$$

As shown above we have  $t = (1 - K)s_{-1,0}$ . Therefore by (5) we get

$$\sum_{i=0}^m p_{m,i}(-1, 0) a(0, 2m+1, k, -1) = 0.$$

Formula (1) implies that  $t(n)$  is a finite linear combination of functions  $K^j t(0)$ . Therefore

$$\text{we also get } p_m(N, -1, 0) a(n, 2m+1, k, -1) = \sum_{i=0}^m p_{m,i}(-1, 0) a(n, 2m+1, k, -1) = 0.$$

Now we look for an explicit expression for  $p_n(x, -1, 0)$ .

We know that it satisfies the recurrence  $p_n(x, -1, 0) = xp_{n-1}(x, -1, 0) - p_{n-2}(x, -1, 0)$  with initial values  $p_0(x, -1, 0) = 1$  and  $p_1(x, -1, 0) = x - 1$ .

Recall that the Fibonacci polynomials

$$F_n(x, s) = \sum_{k=0}^{n-1} \binom{n-1-k}{k} s^k x^{n-2k-1} = \frac{1}{\sqrt{x^2 + 4s}} \left( \left( \frac{x + \sqrt{x^2 + 4s}}{2} \right)^n - \left( \frac{x - \sqrt{x^2 + 4s}}{2} \right)^n \right) \quad (6)$$

are characterized by the recurrence

$$F_n(x, s) = xF_{n-1}(x, s) + sF_{n-2}(x, s) \quad (7)$$

with initial conditions  $F_0(x, s) = 0$  and  $F_1(x, s) = 1$ . Therefore

$$p_n(x, -1, 0) = F_{n+1}(x, -1) - F_n(x, -1).$$

The first values of the polynomials  $p_n(x, -1, 0)$  are

$$1, x - 1, x^2 - x - 1, x^3 - x^2 - 2x + 1, x^4 - x^3 - 3x^2 + 2x + 1, \dots$$

This gives

### Theorem 1

The sequence  $a(n, 2m+1, k, -1) = \sum_{j \in \mathbb{Z}} (-1)^j \binom{n}{\frac{n - (2m+1)j + k}{2}}$  satisfies the recurrence

relation of order  $m$

$$(F_{m+1}(N, -1) - F_m(N, -1)) a(n, 2m+1, k, -1) = 0 \quad (8)$$

for each  $k \in \mathbb{Z}$ .

**Remark**

This theorem has been proved in [3] with a more complicated method. The recurrence (8) is not for all  $k$  the minimal recurrence, because e.g.  $a(n, 2m+1, m+1, -1) \equiv 0$ . But it is so for  $a(n, 2m+1, 0, -1)$ , which has a simple combinatorial interpretation. It is the number of the set of all lattice paths in  $\mathbb{R}^2$  which start at the origin, consist of  $\lfloor \frac{n}{2} \rfloor$  northeast steps (1,1) and  $\lfloor \frac{n+1}{2} \rfloor$  southeast steps (1,-1) and which are contained in the strip  $-m-1 < y < m$ . (cf. e.g. [4], [5]).

It is easy to see that the initial values of  $a(n, 2m+1, 0, -1)$  are  $a(j, 2m+1, 0, -1) = \binom{j}{\lfloor \frac{j}{2} \rfloor}$  for

$$0 \leq j < 2m.$$

As a special case of Theorem 1 we mention that  $a(n, 3, 0, -1) = 1$ . This means

$$\sum_{j \in \mathbb{Z}} (-1)^j \binom{n}{\lfloor \frac{n-3j}{2} \rfloor} = 1 \text{ for all } n \in \mathbb{N}.$$

The generating function of the sequence  $(a(n, 2m+1, 0, -1))_{n \geq 0}$  has the form

$$\sum_{n \geq 0} a(n, 2m+1, 0, -1) x^n = \frac{c_m(x)}{d_m(x)}, \text{ where}$$

$$d_m(x) = p_m \left( \frac{1}{x}, -1, 0 \right) x^m = x^m \left( F_{m+1} \left( \frac{1}{x}, -1 \right) - F_{m+1} \left( \frac{1}{x}, -1 \right) \right) = F_{m+1}(1, -x^2) - x F_m(1, -x^2)$$

and  $c_m(x)$  is a polynomial of degree less than  $m$ .

The first values of  $(c_m(x))_{m \geq 1}$  are

$$c_1(x) = 1, c_2(x) = 1, c_3(x) = 1 - x^2, c_4(x) = 1 - 2x^2, c_5(x) = 1 - 3x^2 + x^4, \dots$$

This suggests that for  $m \geq 2$

$$c_m(x) = \sum_{j=0}^{m-1} (-1)^j \binom{m-1-j}{j} x^{2j} = F_m(1, -x^2).$$

This can be proved in the following way: Both  $d_m(x)$  and  $F_m(1, -x^2)$  satisfy the same recurrence  $h_m(x) = h_{m-1}(x) - x^2 h_{m-2}(x)$ . This implies that for  $a_{2m+1}(x) = \sum_{n \geq 0} a(n, 2m+1, 0, -1) x^n$

we have

$$d_m(x) a_{2m+1}(x) - d_{m-1}(x) a_{2m-1}(x) + x^2 d_{m-2}(x) a_{2m-3}(x) = (d_m(x) - d_{m-1}(x) - x^2 d_{m-2}(x)) a_{2m+1}(x) + d_{m-1}(x) (a_{2m+1}(x) - a_{2m-1}(x)) + x^2 d_{m-2}(x) (a_{2m+1}(x) - a_{2m-3}(x)).$$

Since the coefficients of  $x^j$  for  $0 \leq j \leq 2m-5$  of  $a_{2m-3}(x)$  are the same as those of  $a_{2m-1}(x)$  and  $a_{2m+1}(x)$  we see that for  $2m-4 \geq m-1$  the polynomial

$$d_m(x) a_{2m+1}(x) - d_{m-1}(x) a_{2m-1}(x) + x^2 d_{m-2}(x) a_{2m-3}(x)$$

which has degree  $< m$  must identically vanish. This implies that

$$c_m(x) = d_m(x) a_{2m+1}(x) = F_m(1, -x^2).$$

### Corollary 1

For  $m \geq 2$  the generating function for  $a(n, 2m+1, 0, -1)$  is given by

$$\sum_{n \geq 0} a(n, 2m+1, 0, -1)x^n = \frac{F_m(1, -x^2)}{F_{m+1}(1, -x^2) - xF_m(1, -x^2)}. \quad (9)$$

### 3. A modification of the above method

In order to obtain an analogous result for the sequences  $a(n, 2m, k, -1)$  we define a sequence

of polynomials  $q_n(x, a, b) = \sum_{k=0}^n q_{n,k}(a, b)x^k$  by the same recurrence

$$q_n(x, a, b) = (x-b)q_{n-1}(x, a, b) - a^2q_{n-2}(x, a, b), \quad (10)$$

but with initial values  $q_0(x, a, b) = 2$  and  $q_1(x, a, b) = x - b$ .

### Lemma 2

For all  $k \in \mathbb{Z}$  the following identity holds

$$q_m(N, a, b)s_{a,b}(0, k) = \sum_{i=0}^m q_{m,i}(a, b)s_{a,b}(i, k) = a^m [k] = m]. \quad (11)$$

### Proof

It suffices to show that on  $\mathcal{F}$

$$q_m(N, a, b) = a^m (K^m + K^{-m}). \quad (12)$$

(12) is true for  $m = 0$  and  $m = 1$  by inspection.

If it is already shown for  $m-1$  and  $m-2$  we get

$$\begin{aligned} q_m(N, a, b) &= a(K + K^{-1})a^{m-1}(K^{m-1} + K^{-(m-1)}) - a^2a^{m-2}(K^{m-2} + K^{-(m-2)}) \\ &= a^m(K^m + K^{-m}). \end{aligned}$$

### Application

As an application let  $u(n, k) = \left( \begin{array}{c} n \\ \lfloor \frac{n+k}{2} \rfloor \end{array} \right)$ .

Then  $u(n, k) = u(n-1, k-1) + u(n-1, k+1)$  and  $u(0, k) = [k \in \{0, 1\}]$ . Therefore

$$u(n, k) = s_{1,0}(n, k) + s_{1,0}(n, k-1) \text{ or } u = (1+K)s_{1,0}.$$

We have

$$\begin{aligned}
a(n, 2m, k, -1) &= \sum_{j \in \mathbb{Z}} (-1)^j \binom{n}{\lfloor \frac{n - (2m)j + k}{2} \rfloor} = \sum_{j \in \mathbb{Z}} \left( \binom{n}{\lfloor \frac{n - (2m)2j + k}{2} \rfloor} - \binom{n}{\lfloor \frac{n - (2m)(2j+1) + k}{2} \rfloor} \right) \\
&= \sum_{j \in \mathbb{Z}} (s_{1,0}(n, k - 4mj) - s_{1,0}(n, k - 2m - 4mj)) \\
&+ \sum_{j \in \mathbb{Z}} (s_{1,0}(n, k - 1 - 4mj) - s_{1,0}(n, k - 1 - 2m - 4mj)).
\end{aligned}$$

Here we get  $q_m(N, 1, 0) \sum_{j \in \mathbb{Z}} (s_{1,0}(0, i - 4mj) - s_{1,0}(0, i - 2m - 4mj)) = 0$  for each  $i$ ,

because for  $i - 4mj = m$  we get  $i - 4mj - 2m = -m$  and the sums cancel and for  $i - 4mj = -m$  we get  $i - 4m(j-1) - 2m = m$ . For other values the sum vanishes.

In the same way as above we conclude that

$$q_m(N, 1, 0) \sum_{j \in \mathbb{Z}} (s_{1,0}(n, i - 4mj) - s_{1,0}(n, i - 2m - 4mj)) = 0$$

too.

In order to give a concrete representation of  $q_m(x, 1, 0)$  recall that the Lucas polynomials

$$L_n(x, s) = \sum_{k=0}^{n-1} \binom{n-k}{k} \frac{n}{n-k} s^k x^{n-2k} = \left( \frac{x + \sqrt{x^2 + 4s}}{2} \right)^n + \left( \frac{x - \sqrt{x^2 + 4s}}{2} \right)^n \quad (13)$$

are characterized by the recurrence

$$L_n(x, s) = xL_{n-1}(x, s) + sL_{n-2}(x, s) \quad (14)$$

with initial conditions  $L_0(x, s) = 2$  and  $L_1(x, s) = x$ .

Therefore  $q_n(x, 1, 0) = L_n(x, -1)$ .

The first values of the sequence  $(L_n(x, -1))_{n \geq 1}$  are

$$x, x^2 - 2, x^3 - 3x, x^4 - 4x^2 + 2, \dots$$

## Theorem 2

For  $m \geq 1$  the sequence  $a(n, 2m, k, -1) = \sum_{j \in \mathbb{Z}} (-1)^j \binom{n}{\lfloor \frac{n - (2m)j + k}{2} \rfloor}$  satisfies the recurrence

relation

$$L_m(N, -1)a(n, 2m, k, -1) = 0. \quad (15)$$

## Remark

It should be noted that  $a(n, 2m, 0, -1)$  has the following combinatorial interpretation. It is the number of the set of all lattice paths in  $\mathbb{R}^2$  which start at the origin, consist of  $\lfloor \frac{n}{2} \rfloor$  northeast

steps  $(1, 1)$  and  $\lfloor \frac{n+1}{2} \rfloor$  southeast steps  $(1, -1)$  and which are contained in the strip

$-m < y < m$ . (cf. e.g. [5]).

The generating function of the sequence  $(a(n, 2m, 0, -1))_{n \geq 0}$  is given by

$$\sum_{n \geq 0} a(n, 2m, 0, -1)x^n = \frac{c_m(x)}{d_m(x)}, \text{ where } d_m(x) = q_m\left(\frac{1}{x}, 1, 0\right)x^m = x^m L_m\left(\frac{1}{x}, -1\right) = L_m(1, -x^2) \text{ and } c_m(x) \text{ is a polynomial of degree less than } m.$$

The first values of  $(c_m(x))_{m \geq 1}$  are

$$c_1(x) = 1, c_2(x) = 1 + x, c_3(x) = 1 + x - x^2, c_4(x) = 1 + x - 2x^2 - x^3, c_5(x) = 1 + x - 3x^2 - 2x^3 + x^4, \dots$$

This implies as above that

$$c_m(x) = F_m(1, -x^2) + xF_{m-1}(1, -x^2).$$

## Corollary 2

For  $m \geq 2$  the generating function for  $a(n, 2m, 0, -1)$  is given by

$$\sum_{n \geq 0} a(n, 2m, 0, -1)x^n = \frac{F_m(1, -x^2) + xF_{m-1}(1, -x^2)}{L_m(1, -x^2)}. \quad (16)$$

## 4. Further applications

**4.a)** The same method can be applied to the general sum

$$a(n, m, k, z) = \sum_{j \in \mathbb{Z}} z^j \left[ \left\lfloor \frac{n - mj + k}{2} \right\rfloor \right] = \sum_{j \in \mathbb{Z}} z^{2j} \left[ \left\lfloor \frac{n - 2mj + k}{2} \right\rfloor \right] + \sum_{j \in \mathbb{Z}} z^{2j-1} \left[ \left\lfloor \frac{n - 2mj + k + m}{2} \right\rfloor \right].$$

Here we get

$$L_m(N, -1)a(0, m, k, z) = L_m(N, -1) \sum_{j \in \mathbb{Z}} z^{2j} u(0, k - 2mj) + L_m(N, -1) \sum_{j \in \mathbb{Z}} z^{2j-1} u(0, k + m - 2mj).$$

$$\text{In this case we have } L_m(N, -1)u(0, k - 2mj) = \begin{cases} 1 & \text{if } k = 2mj - m \\ 1 & \text{if } k = 2mj + m \\ 0 & \text{else} \end{cases}$$

or  $L_m(N, -1)u(0, k - 2mj) = u(0, k - m - 2mj) + u(0, k + m - 2mj)$ . This implies

$$\begin{aligned} L_m(N, -1)a(0, m, k, z) &= \sum_{j \in \mathbb{Z}} z^{2j} (u(0, k - m - 2mj) + u(0, k + m - 2mj)) \\ &+ \sum_{j \in \mathbb{Z}} z^{2j-1} (u(0, k + 2m - 2mj) + u(0, k - 2mj)) = \left( z + \frac{1}{z} \right) a(0, m, k, z). \end{aligned}$$



Thus we get

$$\left( L_m(N, -1) - \left( z + \frac{1}{z} \right) \right) a(0, m, k, z) = 0.$$

### Theorem 3

The sequence  $a(n, m, k, z) = \sum_{j \in \mathbb{Z}} z^j \binom{n}{\lfloor \frac{n-mj+k}{2} \rfloor}$  satisfies the recurrence relation

$$\left( L_m(N, -1) - \left( z + \frac{1}{z} \right) \right) a(n, m, k, z) = 0. \quad (17)$$

### Remark

It is easy to see that the initial values of  $a(n, m, 0, z)$  are  $a(n, m, 0, z) = \binom{j}{\lfloor \frac{j}{2} \rfloor}$  for

$$0 \leq j < m-1, \quad a(m-1, m, 0, z) = \binom{m-1}{\lfloor \frac{m-1}{2} \rfloor} + \frac{1}{z}, \quad a(m, m, 0, z) = \binom{m}{\lfloor \frac{m}{2} \rfloor} + \frac{1}{z} + z.$$

The generating function of the sequence  $(a(n, m, 0, z))$  for  $m \geq 1$  has the form

$$\sum_{n \geq 0} a(n, m, 0, z) x^n = \frac{c_m(x, z)}{d_m(x, z)} \quad \text{with} \quad d_m(x, z) = x^m \left( L_m \left( \frac{1}{x}, -1 \right) - \left( z + \frac{1}{z} \right) \right) = d_m(x) - x^m \left( z + \frac{1}{z} \right)$$

$$\text{and} \quad c_m(x, z) = \frac{x^{m-1}}{z} + F_m(1, -x^2) + xF_{m-1}(1, -x^2).$$

Since  $d_m(x) = L_m(1, -x^2)$  and  $F_m(1, -x^2) + xF_{m-1}(1, -x^2)$  satisfy the same recurrence

$h_m(x) = h_{m-1}(x) - x^2 h_{m-2}(x)$  we get

$$\begin{aligned} & \left( d_m(x) - x^m \left( z + \frac{1}{z} \right) \right) a_m(x) - \left( d_{m-1}(x) - x^{m-1} \left( z + \frac{1}{z} \right) \right) a_{m-1}(x) + x^2 \left( d_{m-2}(x) - x^{m-2} \left( z + \frac{1}{z} \right) \right) a_{m-2}(x) \\ &= d_{m-1}(x)(a_m(x) - a_{m-1}(x)) + x^2 d_{m-2}(x)(a_m(x) - a_{m-2}(x)) \\ & - x^m \left( z + \frac{1}{z} \right) a_m(x) + x^{m-1} \left( z + \frac{1}{z} \right) a_{m-1}(x) - x^m \left( z + \frac{1}{z} \right) a_{m-2}(x). \end{aligned}$$

Since  $d_m(0) = 1$  it is easy to verify that for  $m \geq 3$

$$d_{m-1}(x)(a_m(x) - a_{m-1}(x)) = -\frac{x^{m-2}}{z} - x^{m-1}z + x^m(\dots) \quad \text{and}$$

$$x^2 d_{m-2}(x)(a_m(x) - a_{m-2}(x)) = -\frac{x^{m-1}}{z} + x^m(\dots).$$

Therefore we get

$$d_m(x, z)a_m(x) - d_{m-1}(x, z)a_{m-1}(x) + x^2 d_{m-2}(x, z)a_{m-2}(x) = -\frac{x^{m-2}}{z} + x^m(\dots).$$

Now the left hand side must be a polynomial of degree less than  $m$ . Therefore we have in fact

$$d_m(x, z)a_m(x) - d_{m-1}(x, z)a_{m-1}(x) + x^2 d_{m-2}(x, z)a_{m-2}(x) = -\frac{x^{m-2}}{z}.$$

Now  $c_m(x, z)$  satisfies the same recurrence. Since the initial values coincide, we get

### Corollary 3

For  $m \geq 2$  the generating function for  $a(n, m, 0, z)$  is given by

$$\sum_{n \geq 0} a(n, m, 0, z)x^n = \frac{\frac{x^{m-1}}{z} + F_m(1, -x^2) + xF_{m-1}(1, -x^2)}{L_m(1, -x^2) - x^m \left( z + \frac{1}{z} \right)}. \quad (18)$$

### Remark

In the same way we get

$$\sum_{n \geq 0} a(n, 2m+1, m+1, z)x^n = \frac{(1+z)x^m (F_{m+1}(1, -x^2) + xF_m(1, -x^2))}{L_{2m+1}(1, -x^2) - x^{2m+1} \left( z + \frac{1}{z} \right)}.$$

For  $z = -1$  the right hand side vanishes and therefore we get again  $a(n, 2m+1, m+1, -1) = 0$ .

**4.b)** For the special case  $z = 1$  also simpler recurrences can be found.

It is easy to verify that

$$\left( x + \frac{1}{x} - 2 \right) F_m \left( x + \frac{1}{x}, -1 \right) (1+x) = \frac{1}{x^m} - \frac{1}{x^{m-1}} - x^m + x^{m+1}.$$

This implies as above

$$(N-2)F_m(N, -1)u(0) = (K^m - K^{m-1} - K^{-m} + K^{-m-1})s_{1,0}(0).$$

Therefore we get

$$(N-2)F_m(N, -1) \sum_j K^{2jm} u(0) = \sum_j K^{2jm} (K^m - K^{m-1} - K^{-m} + K^{-m-1}) s_{1,0}(0) = 0.$$

From this we conclude as above

**Theorem 4**

The sequence  $a(n, 2m, k, 1) = \sum_{j \in \mathbb{Z}} \binom{n}{\lfloor \frac{n - (2m)j + k}{2} \rfloor}$  satisfies the recurrence relation

$$(N - 2)F_m(N, -1)a(n, 2m, k, 1] = 0. \quad (19)$$

**Corollary 4**

For  $m \geq 1$  the generating function for  $a(n, 2m, 0, 1)$  is given by

$$\sum_{n \geq 0} a(n, 2m, 0, 1)x^n = \frac{F_m(1, -x^2) - xF_{m-1}(1, -x^2)}{(1 - 2x)F_m(1, -x^2)}. \quad (20)$$

**4.c)** It is again easy to verify that

$$\left( L_m\left(x + \frac{1}{x}, -1\right) - L_{m-1}\left(x + \frac{1}{x}, -1\right) \right) (1 + x) = \frac{1}{x^m} - \frac{1}{x^{m-2}} - x^{m-1} + x^{m+1}.$$

Therefore we get

$$\begin{aligned} & \left( L_m(K + K^{-1}, -1) - L_{m-1}(K + K^{-1}, -1) \right) \sum_j K^{(2m-1)j} u(0) \\ &= \sum_j K^{(2m-1)j} (K^m - K^{m-2} - K^{-m+1} + K^{-m-1}) s_{1,0}(0) = 0. \end{aligned}$$

This implies

**Theorem 5**

The sequence  $a(n, 2m - 1, k, 1) = \sum_{j \in \mathbb{Z}} \binom{n}{\lfloor \frac{n - (2m - 1)j + k}{2} \rfloor}$  satisfies the recurrence relation

$$(L_m(N, -1) - L_{m-1}(N, -1))a(n, 2m - 1, k, 1) = 0. \quad (21)$$

**Corollary 5**

For  $m \geq 2$  the generating function for  $a(n, 2m - 1, 0, 1)$  is given by

$$\sum_{n \geq 0} a(n, 2m - 1, 0, 1)x^n = \frac{L_{m-1}(1, -x^2)}{L_m(1, -x^2) - xL_{m-1}(1, -x^2)}. \quad (22)$$

## Remark

For the special cases  $z = \pm 1$  numerator and denominator of the generating function

$$\frac{\frac{x^{m-1}}{z} + F_m(1, -x^2) + xF_{m-1}(1, -x^2)}{L_m(1, -x^2) - x^m \left( z + \frac{1}{z} \right)}$$

have common divisors which can be cancelled.

This can be verified by using the following identities, which are easily deduced from the representations (6) and (13) (cf. e.g. [3]) :

$$L_{2m}(x, -1) - 2 = (x^2 - 4)(F_m(x, -1))^2,$$

$$L_{2m-1}(x, -1) - 2 = \frac{(L_m(x, -1) - L_{m-1}(x, -1))^2}{x - 2},$$

$$L_{2m}(x, -1) + 2 = (L_m(x, -1))^2,$$

$$L_{2m-1}(x, -1) + 2 = (x + 2)(F_m(x, -1) - F_{m-1}(x, -1))^2.$$

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